

# TGD as a Generalized Number Theory I: Quaternions, Octonions, and their Hyper Counterparts

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February 14, 2018

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### Abstract

This chapter is second one in a multi-chapter devoted to the vision about TGD as a generalized number theory. The basic theme is the role of classical number fields in quantum TGD. A central notion is  $M^8 - H$  duality which might be also called number theoretic compactification. This duality allows to identify imbedding space equivalently either as  $M^8$  or  $M^4 \times CP_2$  and explains the symmetries of standard model number theoretically. This duality has been recently extended to a  $H - H$  duality making sense if the dualism respects associativity (co-associativity). This would make the space of preferred extremals category with dualism representing the fundamental arrow.

These number theoretical symmetries induce also the symmetries dictating the geometry of the “world of classical worlds” (WCW) as a union of symmetric spaces. This infinite-dimensional Kähler geometry is expected to be highly unique from the mere requirement of its existence requiring infinite-dimensional symmetries provided by the generalized conformal symmetries of the light-cone boundary  $\delta M_+^4 \times S$  and of light-like 3-surfaces and the answer to the question what makes 8-D imbedding space and  $S = CP_2$  so unique would be the reduction of these symmetries to number theory.

Zero energy ontology (ZEO) has become the corner stone of both quantum TGD and number theoretical vision. In ZEO either light-like or space-like 3-surfaces can be identified as the fundamental dynamical objects, and the extension of general coordinate invariance leads to effective 2-dimensionality (strong form of holography) in the sense that the data associated with partonic 2-surfaces and the distribution of 4-D tangent spaces at them located at the light-like boundaries of causal diamonds (CDs) defined as intersections of future and past directed light-cones code for quantum physics and the geometry of WCW.

The basic number theoretical structures are complex numbers, quaternions and octonions, and their complexifications obtained by introducing additional commuting imaginary unit  $\sqrt{-1}$ . Hyper-octonionic (-quaternionic,-complex) sub-spaces for which octonionic imaginary units are multiplied by commuting  $\sqrt{-1}$  have naturally Minkowskian signature of metric. The question is whether and how the hyper-structures could allow to understand quantum TGD in terms of classical number fields. The answer which looks the most convincing one relies on the existence of octonionic representation of 8-D gamma matrix algebra.

1. The first guess is that associativity condition for the sub-algebras of the local Clifford algebra defined in this manner could select 4-D surfaces as surface having as tangent spaces associative (co-associative) sub-spaces of this algebra and define WCW purely number theoretically. The associative sub-spaces in question would be spanned by space-time tangent vectors spanning associative (co-associative) sub-algebra of complexified octonions generated by imbedding space tangent vectors. A more concrete representation of vectors of complexified tangent space as imbedding space gamma matrices is not necessary. One can consider also octonionic representation of imbedding space gamma matrices but whether it has any physical content, remains an open question. The answer to the question whether octonions could correspond to the Kähler-Dirac gamma matrices associated with Kähler-Dirac action turned out to be “No”.
2. This condition is quite not enough: one must strengthen it with the condition that a preferred commutative (co-commutative) sub-algebra is contained in the tangent space of the space-time surface. This condition actually generalizes somewhat since one can introduce a family of so called Hamilton-Jacobi coordinates for  $M^4$  allowing an integrable distribution of decompositions of tangent space to the space of non-physical and physical polarizations. The physical interpretation is as a number theoretic realization of gauge invariance selecting a preferred local commutative plane of non-physical polarizations.
3. As has become clear, one can define also the notions of co-associativity and co-commutativity applying in the regions of space-time surface with Euclidian signature of the induced metric. The basic unproven conjecture is that the decomposition of space-time surfaces to associative and co-associative regions containing preferred commutative *resp.* co-commutative 2-plane in the 4-D tangent plane is equivalent with the preferred extremal property of Kähler action and the hypothesis that space-time surface allows a slicing by string world sheets and by partonic 2-surfaces.

## 1 Introduction

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notion is  $M^8 - H$  duality which might be also called number theoretic compactification. This duality allows to identify imbedding space equivalently either as  $M^8$  or  $M^4 \times CP_2$  and explains the symmetries of standard model number theoretically. These number theoretical symmetries induce also the symmetries dictating the geometry of the “world of classical worlds” (WCW) as a union of symmetric spaces. This infinite-dimensional Kähler geometry is expected to be highly unique from the mere requirement of its existence requiring infinite-dimensional symmetries provided by the generalized conformal symmetries of the light-cone boundary  $\delta M^4_+ \times S$  and of light-like 3-surfaces and the answer to the question what makes 8-D imbedding space and  $S = CP_2$  so unique would be the reduction of these symmetries to number theory.

Zero energy ontology has become the corner stone of both quantum TGD and number theoretical vision. In zero energy ontology either light-like or space-like 3-surfaces can be identified as the fundamental dynamical objects, and the extension of general coordinate invariance leads to effective 2-dimensionality (strong form of holography) in the sense that the data associated with partonic 2-surfaces and the distribution of 4-D tangent spaces at them located at the light-like boundaries of causal diamonds (CDs) defined as intersections of future and past directed light-cones code for quantum physics and the geometry of WCW.

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1. The first guess is that associativity condition for the sub-algebras of the local Clifford algebra defined in this manner could select 4-D surfaces as surface having as tangent spaces associative (co-associative) sub-spaces of this algebra and define WCW purely number theoretically. The associative sub-spaces in question would be spanned by space-time tangent vectors spanning associative (co-associative) sub-algebra of complexified octonions generated by imbedding space tangent vectors. A more concrete representation of vectors of complexified tangent space as imbedding space gamma matrices is not necessary. One can consider also octonionic representation of imbedding space gamma matrices but whether it has any physical content, remains an open question. The answer to the question whether octonions could correspond to the modified (Kähler Dirac) gamma matrices associated with Kähler-Dirac action turned out to be “No”.
2. This condition is quite not enough: one must strengthen it with the condition that a preferred commutative and thus hyper-complex sub-algebra is contained in the tangent space of the space-time surface. This condition actually generalizes somewhat since one can introduce a family of so called Hamilton-Jacobi coordinates for  $M^4$  allowing an integrable distribution of decompositions of tangent space to the space of non-physical and physical polarizations [K3]. The physical interpretation is as a number theoretic realization of gauge invariance selecting a preferred local commutative plane of non-physical polarizations.
3. Even this is not yet the whole story: one can define also the notions of co-associativity and co-commutativity applying in the regions of space-time surface with Euclidian signature of the induced metric. The basic unproven conjecture is that the decomposition of space-time surfaces to associative and co-associative regions containing preferred commutative *resp.* co-commutative 2-plane in the 4-D tangent plane is equivalent with the preferred extremal property of Kähler action and the hypothesis that space-time surface allows a slicing by string world sheets and by partonic 2-surfaces [K8].

## 1.1 Hyper-Octonions And Hyper-Quaternions

The discussions for years ago with Tony Smith [A13] stimulated very general ideas about space-time surface as an associative, quaternionic sub-manifold of octonionic 8-space. Also the observation that quaternionic and octonionic primes have norm squared equal to prime in complete accordance with p-adic length scale hypothesis, led to suspect that the notion of primeness for quaternions,

and perhaps even for octonions, might be fundamental for the formulation of quantum TGD. The original idea was that space-time surfaces could be regarded as four-surfaces in 8-D imbedding space with the property that the tangent spaces of these spaces can be locally regarded as 4- *resp.* 8-dimensional quaternions and octonions.

It took some years to realize that the difficulties related to the realization of Lorentz invariance might be overcome by replacing quaternions and octonions with hyper-quaternions and hyper-octonions. Hyper-quaternions *resp.* -octonions is obtained from the algebra of ordinary quaternions and octonions by multiplying the imaginary part with  $\sqrt{-1}$  and can be regarded as a sub-space of complexified quaternions *resp.* octonions. The transition is the number theoretical counterpart of the transition from Riemannian to pseudo-Riemannian geometry performed already in Special Relativity. The loss of number field and even sub-algebra property is not fatal and has a clear physical meaning. The notion of primeness is inherited from that for complexified quaternions *resp.* octonions.

At the end of the chapter it will be found that it might be possible to do without the hyper-variants of classical number fields (not of course number fields!). The idea is obvious already from string model context.

1. For strings in Minkowskian target space the target space coordinates as function of string world sheet coordinates are analytic with respect to hyper-complex coordinate. Quantum theory is however constructed by performing first a Wick rotation to Euclidian target space, calculating the n-point functions using ordinary Euclidian theory, and performing the reverse of Wick rotation.
2. One could generalize the procedure in TGD framework so that octonionic variant of conformal field theory results by algebraic continuation from complex number field to octonionic realm. Octonionic real-analytic functions  $f(o)$  are expressible as  $f(o) = q_1 + Iq_2$ , where  $q_i$  are quaternion valued functions and  $I$  is octonionic imaginary unit anti-commuting with quaternionic imaginary units. They map the Euclidian variant of  $H = M^4 \times CP_2$  to itself. Space-time surfaces can be identified as quaternionic (co-quaternionic) 4-surfaces defined as surfaces for which the imaginary (real) part of an octonion real-analytic function vanishes. The reversal of Wick rotation maps these Euclidian surfaces to space-time surfaces. One could also see the this process as a complexification in of octonions in which real-analytic functions of complexified octonions are restricted to octonionic and hyper-octonionic sectors. Therefore the two views should be more or less equivalent.

Note that hyper-variants of number fields make also sense p-adically unlike the notions of number fields themselves unless restricted to be algebraic extensions of rational variants of number fields. What deserves separate emphasis is that the basic structure of the standard model would reduce to number theory.

## 1.2 Number Theoretical Compactification And $M^8 - H$ Duality

The notions of associative and hyper-octonionic manifold make sense and one could endow the tangent space of  $H = M^4 \times CP_2$  with hyper-octonionic manifold structure. Situation becomes very simple if  $H$  is replaced with hyper-octonionic  $M^8$ . Suppose that  $X^4 \subset M^8$  consists of associative and co-associative regions. The basic observation is that the associative sub-spaces of  $M^8$  with a fixed hyper-complex structure (containing in their tangent space a fixed hyper-complex subspace  $M^2$  or at least one of the light-like lines of  $M^2$ ) are labeled by points of  $CP_2$ . Hence each associative and co-associative four-surface of  $M^8$  defines a 4-surface of  $M^4 \times CP_2$ . One can loosely say that the number-theoretic analog of spontaneous compactification occurs: this of course has nothing to do with dynamics.

This picture was still too naive and it became clear that not all known extremals of Kähler action contain fixed  $M^2 \subset M^4$  or light-like line of  $M^2$  in their tangent space.

1. The first option represents the minimal form of number theoretical compactification.  $M^8$  is interpreted as the tangent space of  $H$ . Only the 4-D tangent spaces of light-like 3-surfaces  $X_l^3$  (wormhole throats or boundaries) are assumed to be associative or co-associative and contain

fixed  $M^2$  or its light-like line in their tangent space. Hyper-quaternionic regions would naturally correspond to space-time regions with Minkowskian signature of the induced metric and their co-counterparts to the regions for which the signature is Euclidian. What is of special importance is that this assumption solves the problem of identifying the boundary conditions fixing the preferred extremals of Kähler action since in the generic case the intersection of  $M^2$  with the 3-D tangent space of  $X_l^3$  is 1-dimensional. The surfaces  $X^4(X_l^3) \subset M^8$  would be associative or co-associative but would not allow a local mapping between the 4-surfaces of  $M^8$  and  $H$ .

2. One can also consider a more local map of  $X^4(X_l^3) \subset H$  to  $X^4(X_l^3) \subset M^8$ . The idea is to allow  $M^2 \subset M^4 \subset M^8$  to vary from point to point so that  $S^2 = SO(3)/SO(2)$  characterizes the local choice of  $M^2$  in the interior of  $X^4$ . This leads to a quite nice view about strong geometric form of  $M^8 - H$  duality in which  $M^8$  is interpreted as tangent space of  $H$  and  $X^4(X_l^3) \subset M^8$  has interpretation as tangent for a curve defined by light-like 3-surfaces at  $X_l^3$  and represented by  $X^4(X_l^3) \subset H$ . Space-time surfaces  $X^4(X_l^3) \subset M^8$  consisting of associative and co-associative regions would naturally represent a preferred extremal of  $E^4$  Kähler action. The value of the action would be same as  $CP_2$  Kähler action.  $M^8 - H$  duality would apply also at the induced spinor field and at the level of configuration space.
3. Strong form of  $M^8 - H$  duality satisfies all the needed constraints if it represents Kähler isometry between  $X^4(X_l^3) \subset M^8$  and  $X^4(X_l^3) \subset H$ . This implies that light-like 3-surface is mapped to light-like 3-surface and induced metrics and Kähler forms are identical so that also Kähler action and field equations are identical. The only differences appear at the level of induced spinor fields at the light-like boundaries since due to the fact that gauge potentials are not identical.
4. The map of  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  would be crucial for the realization of the number theoretical universality.  $M^8 = M^4 \times E^4$  allows linear coordinates as those preferred coordinates in which the points of imbedding space are rational/algebraic. Thus the point of  $X^4 \subset H$  is algebraic if it is mapped to algebraic point of  $M^8$  in number theoretic compactification. This of course restricts the symmetry groups to their rational/algebraic variants but this does not have practical meaning. Number theoretical compactification could thus be motivated by the number theoretical universality.
5. The possibility to use either  $M^8$  or  $H$  picture might be extremely useful for calculational purposes. In particular,  $M^8$  picture based on  $SO(4)$  gluons rather than  $SU(3)$  gluons could perturbative description of low energy hadron physics. The strong  $SO(4)$  symmetry of low energy hadron physics can be indeed seen direct experimental support for the  $M^8 - H$  duality.

One can imagine an interesting generalization of the  $M^8 - H$  duality to  $H - H$  duality. One can assign to an associative (co-associative) 4-surface of  $H$  a surface of  $H$  by the same rule as in the case of  $M^8 - H$  duality. If the outcome is also associative (co-associative) surface one can iterate this map and get infinite number of associative (co-associative) surfaces serving as candidates for preferred extremals and obviously forming a category.

### 1.3 Romantic Stuff

Octonions and quaternions have generated a lot of romantic speculations and my only defence is that I did not know! Combined with free speculation about dualities this generated a lot of non-sense which has been dropped from this version of the chapter.

1. A long standing romantic speculation was that conformal invariance could somehow extend to 4-D context. Conformal invariance indeed extends to 3-D situation in the case of light-like 3-surfaces and they indeed are the basic dynamical objects of quantum TGD. It seems however un-necessary to extend the conformal invariance to 4-D context except by slicing  $X^4(X_l^3)$  by 3-D light-like slices possessing the 3-D conformal invariance.
2. The triality between 8-D spinors, their conjugates, and vectors has generated a lot of speculative literature and this triality is indeed important in super string models. If  $M^8$  has

hyper-octonionic structure, one can ask whether also the spinors of  $M^8$  could be regarded as complexified octonions. Complexified octonions provide also a representation of 8-D gamma matrices which is not a matrix representation. In this framework the Clifford algebra defined by gamma matrices degenerates to algebra of complexified octonions identifiable as the algebra of octonionic spinors and coordinates of  $M_c^8$ . One can make all kinds of questions. For instance, could it be that hyper-octonionic triality for hyper-octonionic spinor fields could allow construction of N-point functions in interaction vertices? One cannot exclude the possibility that trialities are important but the recent formulation of M-matrix elements does quite well without them.

3. The  $1 + \bar{1} + 3 + \bar{3}$  decomposition of complexified octonion units with respect to group  $SU(3) \subset G_2$  acting as automorphisms of octonions inspired the idea that hyper-octonion spinor field could represent leptons, antileptons, quarks and antiquarks. This proposal is problematic. Hyper-octonionic coordinates would carry color and generic hyper-octonionic spinor is superposition of spinor components which correspond to quarks, leptons and their anti-fermions and a lot of super-selection rules would be needed. The motivations behind these speculations was that in  $H$  picture color would correspond to  $CP_2$  partial waves and spin and ew quantum numbers to spin like quantum numbers whereas in  $M^8$  picture color would correspond to spin like quantum number and spin and electro-weak quantum numbers to  $E^4$  partial waves.

## 1.4 About Literature

The reader not familiar with the basic algebra of quaternions and octonions is encouraged to study some background material: the home page of Tony Smith provides among other things an excellent introduction to quaternions and octonions [A13]. String model builders are beginning to grasp the potential importance of octonions and quaternions and the articles about possible applications of octonions [A7, A11, A10] provide an introduction to octonions using the language of physicist.

Personally I found quite frustrating to realize that I had neglected totally learning of the basic ideas of algebraic geometry, despite its obvious potential importance for TGD and its applications in string models. This kind of losses are the price one must pay for working outside the scientific community. It is not easy for a physicist to find readable texts about algebraic geometry and algebraic number theory from the bookshelves of mathematical libraries. The book “Algebraic Geometry for Scientists and Engineers” by Abhyankar [A12]. which is not so elementary as the name would suggest, introduces in enjoyable manner the basic concepts of algebraic geometry and binds the basic ideas with the more recent developments in the field. “Problems in Algebraic Number Theory” by Esmonde and Murty [A8] in turn teaches algebraic number theory through exercises which concretize the abstract ideas. The book “Invitation to Algebraic Geometry” by K. E. Smith. L. Kahanpää, P. Kekäläinen and W. Traves is perhaps the easiest and most enjoyable introduction to the topic for a novice. It also contains references to the latest physics inspired work in the field.

## 1.5 Notations

Some notational conventions are in order before continuing. The fields of quaternions *resp.* octonions having dimension 4 *resp.* 8 and will be denoted by  $Q$  and  $O$ . Their complexified variants will be denoted by  $Q_C$  and  $O_C$ . The sub-spaces of hyper-quaternions  $HQ$  and hyper-octonions  $HO$  are obtained by multiplying the quaternionic and octonionic imaginary units by  $\sqrt{-1}$ . These sub-spaces are very intimately related with the corresponding algebras, and can be seen as Euclidian and Minkowkian variants of the same basic structure. Also the Abelianized versions of the associative and -octonionic sub-spaces can be considered these algebras have a representation in the space of spinors of imbedding space  $H = M^4 \times CP_2$ .

The appendix of the book gives a summary about basic concepts of TGD with illustrations. Pdf representation of same files serving as a kind of glossary can be found at <http://tgdtheory.fi/tgdglossary.pdf> [?].



## 2 Quaternion And Octonion Structures And Their Hyper Counterparts

In this introductory section the notions of quaternion and octonion structures and their hyper counterparts are introduced with strong emphasis on the physical interpretation. Literature contains several variants of these structures (Hyper Kähler structure and quaternion Kähler structure possessed also by  $CP_2$  [A6] ). The notion introduced here is inspired by the physical motivations coming from TGD. As usual the first proposal based on the notions of (hyper-)quaternion and (hyper-)octonion analyticity was not the correct one. Much later a local variant of the notion based on tangent space emerged.

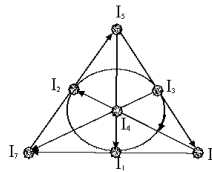
### 2.1 Octonions And Quaternions

In the following only the basic definitions relating to octonions and quaternions are given. There is an excellent article by John Baez [A9] describing octonions and their relations to the rest of mathematics and physics. For the octonionic multiplication table see Fig. ??.

Octonions can be expressed as real linear combinations  $\sum_k x^k I_k$  of the octonionic real unit  $I_0 = 1$  (counterpart of the unit matrix) and imaginary units  $I_a$ ,  $a = 1, \dots, 7$  satisfying

$$\begin{aligned} I_0^2 &= I_0 \equiv 1 \quad , \\ I_a^2 &= -I_0 = -1 \quad , \\ I_0 I_a &= I_a \quad . \end{aligned} \tag{2.1}$$

Octonions are closed with respect to the ordinary sum of the 8-dimensional vector space and with respect to the octonionic multiplication, which is neither commutative ( $ab \neq ba$  in general) nor associative ( $a(bc) \neq (ab)c$  in general).



**Figure 1:** Octonionic triangle: the six lines and one circle containing three vertices define the seven associative triplets for which the multiplication rules of the ordinary quaternion imaginary units hold true. The arrow defines the orientation for each associative triplet. Note that the product for the units of each associative triplets equals to real unit apart from sign factor.

A concise manner to summarize octonionic multiplication is by using octonionic triangle. Each

line (6 altogether) containing 3 octonionic imaginary units forms an associative triple which together with  $I_0 = 1$  generate a division algebra of quaternions. Also the circle spanned by the 3 imaginary units at the middle of the sides of the triangle is associative triple. The multiplication rules for each associative triple are simple:

$$I_a I_b = \epsilon_{abc} I_c , \quad (2.2)$$

where  $\epsilon_{abc}$  is 3-dimensional permutation symbol.  $\epsilon_{abc} = 1$  for the clockwise sequence of vertices (the direction of the arrow along the circumference of the triangle and circle). As a special case this rule gives the multiplication table of quaternions. A crucial observation for what follows is that any pair of imaginary units belongs to one associative triple.

The non-vanishing structure constants  $d_{ab}{}^c$  of the octonionic algebra can be read directly from the octonionic triangle. For a given pair  $I_a, I_b$  one has

$$\begin{aligned} I_a I_b &= d_{ab}{}^c I_c , \\ d_{ab}{}^c &= \epsilon_{ab}{}^c , \\ I_a^2 &= d_{aa}{}^0 I_0 = -I_0 , \\ I_0^2 &= d_{00}{}^0 I_0 , \\ I_0 I_a &= d_{0a}{}^a I_a = I_a . \end{aligned} \quad (2.3)$$

For  $\epsilon_{abc}$   $c$  belongs to the same associative triple as  $ab$ .

Non-associativity means that is not possible to represent octonions as matrices since matrix product is associative. Quaternions can be represented and the structure constants provide the defining representation as  $I_a \rightarrow d_{abc}$ , where  $b$  and  $c$  are regarded as matrix indices of  $4 \times 4$  matrix. The algebra automorphisms of octonions form 14-dimensional group  $G_2$ , one of the so called exceptional Lie-groups. The isotropy group of imaginary octonion unit is the group  $SU(3)$ . The Euclidian inner product of the two octonions is defined as the real part of the product  $\bar{x}y$

$$\begin{aligned} (x, y) &= Re(\bar{x}y) = \sum_{k=0, \dots, 7} x_k y_k , \\ \bar{x} &= x^0 I_0 - \sum_{i=1, \dots, 7} x^i I_i , \end{aligned} \quad (2.4)$$

and is just the Euclidian norm of the 8-dimensional space.

## 2.2 Hyper-Octonions And Hyper-Quaternions

The Euclidicity of the quaternion norm suggests that octonions are not a sensible concept in TGD context. One can imagine two manners to circumvent this conclusion.

1. Minkowskian metric for octonions and quaternions is obtained by identifying Minkowski inner product  $xy$  as the real counterpart of the product

$$x \cdot y \equiv Re(xy) = x^0 y^0 - \sum_k x^k y^k . \quad (2.5)$$

$SO(1, 7)$  ( $SO(1, 3)$  in quaternionic case) Lorentz invariance appears completely naturally as the symmetry of the real part of the octonion (quaternion) product and hence of octonions/quaternions and there is no need to perform the complexification of the octonion algebra. Furthermore, only the signature  $(1, 7)$  ( $(1, 3)$  in the quaternionic case) is possible and this would raise  $M_+^4 \times CP_2$  in a preferred position.

This norm does not give rise to a number theoretic norm defining a homomorphism to real numbers. Indeed, the number theoretic norm defined by the determinant of the linear

mapping defined by the multiplication with quaternion or octonion, is inherently Euclidian. This is in conflict with the idea that quaternionic and octonionic primes and their infinite variants should have key role in TGD [K21].

2. Hyper-octonions and hyper-quaternions provide a possible solution to these problems. These are obtained by multiplying imaginary units by commutative and associative  $\sqrt{-1}$ . These numbers form a sub-space of complexified octonions/quaternions and the cross product of imaginary parts leads out from this sub-space. In this case number theoretic norm induced from  $Q_C/O_C$  gives the fourth/eighth power of Minkowski length and Lorentz group acts as its symmetries. Light-like hyper-quaternions and -octonions causing the failure of the number field property have also a clear physical interpretation.

A criticism against the notion of hyper-quaternionic and octonionic primeness is that the tangent space as an algebra property is lost and the notion of primeness is inherited from  $Q_C/O_C$ . Also non-commutativity and non-associativity could cause difficulties.

Zero energy ontology leads to a possible physical interpretation of complexified octonions. The moduli space for causal diamonds corresponds to a Cartesian product of  $M^4 \times CP_2$  whose points label the position of either tip of  $CD \times CP_2$  and space  $I$  whose points label the relative position of the second tip with respect to the first one. p-Adic length scale hypothesis results if one assumes that the proper time distance between the tips comes in powers of two so that one has union of hyperboloids  $H_n \times CP_2$ ,  $H_n = \{m \in M_+^4 | a = 2^n a_0\}$ . A further quantization of hyperboloids  $H_n$  is obtained by replacing it with a lattice like structure is highly suggestive and would correspond to an orbit of a point of  $H_n$  under a subgroup of  $SL(2, Q_C)$  or  $SL(2, Z_C)$  acting as Lorentz transformations in standard manner. Also algebraic extensions of  $Q_C$  and  $Z_C$  can be considered. Also in the case of  $CP_2$  discretization is highly suggestive so that one would have an orbit of a point of  $CP_2$  under a discrete subgroup of  $SU(3, Q)$ .

The outcome could be interpreted by saying that the moduli space in question is  $H \times I$  such that  $H$  corresponds to hyper-octonions and  $I$  to a discretized version of  $\sqrt{-1}H$  and thus a subspace of complexified octonions. An open question whether the quantization has some deeper mathematical meaning.

### 2.3 Basic Constraints

Before going to details it is useful to make clear the constraints on the concept of the hyper-octonionic structure implied by TGD view about physics.

$M^4 \times CP_2$  cannot certainly be regarded as having any global octonionic structure (for instance in the sense that it could be regarded as a coset space associated with some exceptional group). There are however clear indications for the importance of the hyper-quaternionic and -octonionic structures.

1.  $SU(3)$  is the only simple 8-dimensional Lie-group and acts as the group of isometries of  $CP_2$ : if  $SU(3)$  had some kind of octonionic structure,  $CP_2$  would become unique candidate for the space  $S$ . The decomposition  $SU(3) = h + t$  to  $U(2)$  subalgebra and its complement corresponds rather closely to the decomposition of (hyper-)octonions to (hyper-)quaternionic sub-space and its complement. The electro-weak  $U(2)$  algebra has a natural 1+3 decomposition and generators allow natural hyper-quaternionic structure. Hyper Kähler structure with three covariantly constant quaternionic imaginary units represented Kähler forms is however not possible. The components of the Weyl tensor of  $CP_2$  behave with respect to multiplication like quaternionic imaginary units but only one of them is covariantly constant so that hyper-Kähler structure is not possible. These tensors and metric tensor however define quaternionic structure.
2.  $M_+^4$  has a natural 1+3 decomposition and a unique cosmic time coordinate defined as the light cone proper time. Hyper-quaternionic structure is consistent with the Minkowskian signature of the inner product and hyper quaternion units have a natural representation in terms of covariantly constant self-dual symplectic forms and their contractions with sigma matrices. It is not however clear whether this representation is physically interesting.

## 2.4 How To Define Hyper-Quaternionic And Hyper-Octonionic Structures?

I have considered several proposals for how to define quaternionic and octonionic structures and their hyper-counterparts.

1. (Hyper-)octonionic manifolds would be obtained by gluing together coordinate patches using (hyper-)octonion analytic functions with real Laurent coefficients (this guarantees associativity and commutativity). This definition does not yet involve metric or any other structures (such as Kähler structure). This approach does not seem to be physically realistic.
2. Second option is based on the idea of representing quaternionic and octonionic imaginary units as antisymmetric tensors. This option makes sense for quaternionic manifolds and  $CP_2$  indeed represents an example of this kind of manifold. The problem with the octonionic structure is that antisymmetric tensors cannot define a non-associative product.
3. If the manifold is endowed with metric, octonionic structure should be defined as a local tangent space structure analogous to eight-bein structure and local gauge algebra structures. This can be achieved by contracting octo-bein vectors with the standard octonionic basis to get octonion form  $I_k$ . Each vector field  $a^k$  defines naturally octonion field  $A = a^k I_k$ . The product of two vector fields can be defined by the octonionic multiplication and this leads to the introduction of a tensor field  $d_{klm}$  of these structure constants obtained as the contraction of the octo-bein vectors with the octonionic structure constants  $d_{abc}$ . Hyper-octonion structure can be defined in a completely analogous manner.

It is possible to induce octonionic structure to any 4-dimensional space-time surface by forming the projection of  $I_k$  to the space-time surface and redefining the products of  $I_k$ : s by dropping away that part of the product, which is orthogonal to the space-time surface. This means that the structure constants of the new 4-dimensional algebra are the projections of  $d_{klm}$  to the space-time surface. One can also define similar induced algebra in the 4-dimensional normal space of the space-time surface. The hypothesis would be that the induced tangential is associative or hyper-quaternionic algebra. Also co-associativity defined as associativity of the normal space algebra is possible. This property would give for the 4-dimensionality of the space-time surface quite special algebraic meaning. The problem is now that there is no direct connection with quantum TGD proper- in particular the connection with the classical dynamics defined by Kähler action is lacking.

4. 8-dimensional gamma matrices allow a representation in terms of tensor products of octonions and  $2 \times 2$  matrices. Genuine matrices are of course not in question since the product of the gamma matrices fails to be associative. An associative representation is obtained by restricting the matrices to a quaternionic plane of complex octonions. If the space-time surface is hyper-quaternionic in the sense that induced gamma matrices define a quaternionic plane of complexified octonions at each point of space-time surface the resulting local Clifford algebra is associative and structure constants define a matrix representation for the induced gamma matrices.

A more general definition allows gamma matrices to be Kähler-Dirac gamma matrices defined by Kähler action appearing in the Kähler-Dirac action and forced both by internal consistency and super-conformal symmetry [K26, K8]. The Kähler-Dirac gamma matrices associated with Kähler action do not in general define tangent space of the space-time surface as the induced gamma matrices do. Also co-associativity can be considered if one can identify a preferred imaginary unit such that the multiplication of the Kähler-Dirac gamma matrices with this unit gives a quaternionic basis. This condition makes sense only if the preferred extremals of the action are hyper-quaternionic surfaces in the sense defined by the action. That this is true for Kähler action at least is an unproven conjecture.

In the sequel only the fourth option will be considered.

## 2.5 How To End Up To Quantum TGD From Number Theory?

An interesting possibility is that quantum TGD could emerge from a condition that a local version of hyper-finite factor of type  $II_1$  represented as a local version of infinite-dimensional Clifford algebra exists. The conditions are that “center or mass” degrees of freedom characterizing the position of CD separate uniquely from the “vibrational” degrees of freedom being represented in terms of octonions and that for physical states associativity holds true. The resulting local Clifford algebra would be identifiable as the local Clifford algebra of WCW (being an analog of local gauge groups and conformal fields).

The uniqueness of  $M^8$  and  $M^4 \times CP_2$  as well as the role of hyper-quaternionic space-time surfaces as fundamental dynamical objects indeed follow from rather weak conditions if one restricts the consideration to gamma matrices and spinors instead of assuming that  $M^8$  coordinates are hyper-octonionic as was done in the first attempts.

1. The unique feature of  $M^8$  and any 8-dimensional space with Minkowski signature of metric is that it is possible to have an octonionic representation of the complexified gamma matrices [K26, K5] and of spinors. This does not require octonionic coordinates for  $M^8$ . The restriction to a quaternionic plane for both gamma matrices and spinors guarantees the associativity.
2. One can also consider a local variant of the octonionic Clifford algebra in  $M^8$ . This algebra contains associative subalgebras for which one can assign to each point of  $M^8$  a hyper-quaternionic plane. It is natural to assume that this plane is either a tangent plane of 4-D manifold defined naturally by the induced gamma matrices defining a basis of tangent space or more generally, by Kähler-Dirac gamma matrices defined by a variational principle (these gamma matrices do not define tangent space in general). Kähler action defines a unique candidate for the variational principle in question. Associativity condition would automatically select sub-algebras associated with 4-D hyper-quaternionic space-time surfaces.
3. This vision bears a very concrete connection to quantum TGD. In [K5] the octonionic formulation of the Kähler-Dirac equation is studied and shown to lead to a highly unique general solution ansatz for the equation working also for the matrix representation of the Clifford algebra. An open question is whether the resulting solution as such defined also solutions of the Kähler-Dirac equation for the matrix representation of gammas. Also a possible identification for 8-dimensional counterparts of twistors as octo-twistors follows: associativity implies that these twistors are very closely related to the ordinary twistors. In TGD framework octo-twistors provide an attractive manner to get rid of the difficulties posed by massive particles for the ordinary twistor formalism.
4. Associativity implies hyperquaternionic space-time surfaces (in a more general sense as usual) and this leads naturally to the notion of WCW and local Clifford algebra in this space. Number theoretic arguments imply  $M^8 - H$  duality. The resulting infinite-dimensional Clifford algebra would differ from von Neumann algebras in that the Clifford algebra and spinors assignable to the center of mass degrees of freedom of causal diamond CD would be expressed in terms of octonionic units although they are associative at space-time surfaces. One can therefore say that quantum TGD follows by assuming that the tangent space of the imbedding space corresponds to a classical number field with maximal dimension.
5. The slicing of the Minkowskian space-time surface inside CD by stringy world sheets and by partonic 2-surfaces inspires the question whether the Kähler-Dirac gamma matrices associated with the stringy world sheets *resp.* partonic 2-surfaces could be commutative *resp.* co-commutative. Commutativity would also be seen as the justification for why the fundamental objects are effectively 2-dimensional.

This formulation is undeniably the most convincing one found hitherto since the notion of hyper-quaternionic structure is local and has elegant formulation in terms of Kähler-Dirac gamma matrices.

## 2.6 P-Adic Length Scale Hypothesis And Quaternionic And Hyper-Quaternionic Primes

p-Adic length scale hypothesis [K16] states that fundamental length scales correspond to the p-adic length scales proportional to  $\sqrt{p}$ ,  $p$  prime. Even more: the p-adic primes  $p \simeq 2^k$ ,  $k$  prime or possibly power of prime, are especially interesting physically. The so called elementary particle-blackhole analogy gives a partial theoretical justification for this hypothesis [K16]. A strong empirical support for the hypothesis comes from p-adic mass calculations [K12, K14, K15, K13].

Elementary particles correspond to the so called  $CP_2$  type extremals in TGD Universe [K3, K16]. Elementary particle horizon can be defined as a surface at which the Euclidian signature of the metric of the space-time surface containing topologically condensed  $CP_2$  type extremal, changes to Minkowskian signature. The generalization of the Hawking-Bekenstein formula relates the real counterpart of the p-adic entropy associated with the elementary particle to the area of the elementary particle horizon. If one requires that the radius of the elementary particle horizon corresponds to a p-adic length scale:  $R = L(k)$  or  $k^{n/2}L(k)$  where  $k$  is prime, then  $p$  is automatically near to  $2^{k^n}$  and p-adic length scale hypothesis is reproduced! The proportionality of length scale to  $\sqrt{p}$ , rather than  $p$ , follows from p-adic thermodynamics for mass squared (!) operator and from Uncertainty Principle.

What Tony Smith [A13] suggested, was a beautiful connection with number theory based on the generalization of the concept of a prime number. In the so called  $D^4$  lattice regarded as consisting of integer quaternions, one could identify prime quaternions as the generators of the multiplicative algebra of the integer quaternions. From the basic properties of the quaternion norm it follows directly that prime quaternions correspond to the 3-dimensional spheres  $R^2 = p$ ,  $p$  prime, with integer value  $E^4$  coordinates. The worries are of course raised by the Euclidian signature of the number theoretical norm of quaternions.

### 2.6.1 Hyper-quaternionic and -octonionic primes and effective 2-dimensionality

The notion of prime generalizes to hyper-quaternionic and -octonionic case. The factorization  $n_0^2 - n_3^2 = (n_0 + n_3)(n_0 - n_3)$  implies that any hyper-quaternionic and -octonionic primes can be represented as  $(n_0, n_3, 0, \dots) = (n_3 + 1, n_3, 0, \dots)$ ,  $n_3 = (p - 1)/2$  for  $p > 2$ .  $p = 2$  is exceptional: a representation with minimal number of components is given by  $(2, 1, 1, 0, \dots)$ . The interpretation of hyper-quaternionic primes (or integers) as four-momenta suggests itself. Note that it is not possible to find a rest system for a massive particle unless the energy is allowed to be a square root of integer.

The notion of “irreducible” (see Appendix of [K22] ) is defined as the equivalence class of primes related by a multiplication with a unit (integer with unit norm) and is more fundamental than that of prime. All Lorentz boosts of a hyper prime obtained by multiplication with units labeling  $SO(D - 1)$  cosets of  $SO(D - 1, 1)$ ,  $D = 4, 8$  to a hyper prime, combine to form a hyper irreducible. Note that the units cannot correspond to real particles in the arithmetic quantum field theory in which primes correspond to  $D$ -momenta labeling the physical states.

If the situation for  $p > 2$  is effectively 2-dimensional in the sense that it is always possible to transform the hyper prime to a 2-component form by multiplying it by a suitable unit representing Lorentz boost, the theory for time-like hyper primes effectively reduces to the hyper-complex case. This hypothesis is physically highly attractive since it would imply number theoretic universality and conform with the effective 2-dimensionality.

Hyper-complex numbers  $H_2$  define the maximal sub-algebra of  $HQ$  and  $HO$ . In the case of  $H_2$  the failure of the number field property is due to the existence of light-like hyper-complex numbers with vanishing norm. The light-likeness of hyper-quaternions and -octonions is expected to have a deep physical significance and could define a number theoretic analog of propagator pole and light-like 3-D and 7-D causal determinants.

Also the rigorous notion of hyper primeness seems to require effective 2-dimensionality. If effective 2-dimensionality holds true, hyper integers have a decomposition to a product of hyper primes multiplied by a suitable unit. The representation is obtained by Lorentz boosting the hyper integer first to a 2-component form and then decomposing it to a product of hyper-complex primes. Note that the hyper-octonionic primes related by  $SO(7, 1)$  boosts need not represent physically equivalent states.

The situation becomes more complex if also space-like hyper primes with negative norm squared  $n_0^2 - n_1^2 - \dots = -p$  are allowed. Gaussian primes with  $p \bmod 4 = 1$  would be representable as primes of form  $(0, n_1, n_2, 0)$ :  $n_1^2 + n_2^2 = p$ . If all quaternionic primes allow a representation as a quaternionic integer with three non-vanishing components, they can be identified as space-like hyper-quaternionic primes. Space-like primes with  $p \bmod 4 = 3$  have at least 3 non-vanishing components which are odd integers. By their tachyonity space-like primes are not physically favored.

### 2.6.2 Hyper-quaternionic hyperboloids and p-adic length scale hypothesis

In the hyper-quaternionic case the 3-dimensional sphere  $R^2 = p$  is replaced with Lobatchevski space (hyperboloid of  $M^4$  with points having integer valued  $M^4$  coordinates. Hence integer valued hyper-quaternions allow interpretation as quantized four-momenta.

Prime mass hyperboloids correspond to  $n = p$ . It is not possible to multiply hyperboloids since the cross product leads out of hyper sub-space. It is however possible to multiply the 2-dimensional hyperboloids and act on these by units to get full 3-D hyperboloids. The powers of hyperboloid  $p$  correspond to a multiplicatively closed structure consisting of powers  $p^n$  of the hyperboloid  $p$ . At space-time level the hyper-quaternionic lattice gives rise to a one-dimensional lattices of hyperboloidal lattices labeled by powers  $p^n$ , and the values of light-cone proper time  $a \propto \sqrt{p}$  are expected to define fundamental p-adic time scales.

Also the space-like hyperboloids  $R^2 = -n$  are possible and the notion of primeness makes sense also in this case. The space-like hyperboloids define one-dimensional lattice of space-like hyper-quaternionic lattices and an explanation for the spatial variant of the p-adic length scale hypothesis stating that p-adic length scales are proportional to  $\sqrt{p}$  emerges in this manner naturally.

### 2.6.3 Euclidian version of the p-adic length scale hypothesis

Hyper-octonionic integers have a decomposition into hyper-quaternion and a product of  $\sqrt{-1}K$  with quaternion so that quaternionic primes can be identified as hyper-octonionic space-like primes. The Euclidian version of the p-adic length scale hypothesis follows if one assumes that the Euclidian piece of the space-time surrounding the topologically condensed  $CP_2$  type extremal can be approximated with a quaternion integer lattice with radius squared equal to  $r^2 = k^n$ ,  $k$  prime. One manner to understand the finiteness in the time direction is that topological sum contacts of  $CP_2$  type extremal are not static 3-dimensional topological sum contacts but genuinely four-dimensional: 3-dimensional contact is created, expands to a maximum size and is gradually reduced to point. The Euclidian space-time volume containing the contact would correspond to an Euclidian region  $R^2 = k^n$  of space-time. The distances of the lattice points would be measured using the induced metric. These contacts could have arbitrarily long duration from the point of view of external observer since classical gravitational fields give rise to strong time dilation effects (strongest on the boundary of the Euclidian region where the metric becomes degenerate with the emergence of a light like direction).

Lattice structure is essential for the argument. Lattice structures of type  $D^4$  indeed emerge naturally in the construction of the p-adic counterparts of the space-time surfaces as p-adically analytic surfaces. The essential idea is to construct the p-adic surface by first discretizing space-time surface using a p-adic cutoff in  $k$ : th binary digit and mapping this surface to its p-adic counterpart and complete this to a unique smooth p-adically analytic surface.

This leads to a fractal construction in which a given interval is decomposed to  $p$  smaller intervals, when the resolution is increased. In the 4-dimensional case one naturally obtains a fractal hierarchy of nested  $D^4$  lattices. The interior of the elementary particle horizon with Euclidian signature corresponds to some subset of the quaternionic integer lattice  $D^4$ : an attractive possibility is that the absolute minimization of the Kähler action and the maximization of the Kähler function force this set to be a ball  $R^2 \leq k^n$ ,  $k$  prime.

## 3 Quantum TGD In Nutshell

This section provides a very brief summary about quantum TGD. The discussions are based on the general vision that quantum states of the Universe correspond to the modes of *classical* spinor

fields in the “world of the classical worlds” identified as the infinite-dimensional WCW of light-like 3-surfaces of  $H = M^4 \times CP_2$  (more or less-equivalently, the corresponding 4-surfaces defining generalized Bohr orbits). This implies a radical deviation from path integral formalism, in which one integrates over all space-time surfaces. A second important deviation is due to Zero Energy Ontology. The properties of Kähler action imply a further crucial deviation, which in fact forced the introduction of WCW, and is behind the hierarchy of Planck constants, hierarchy of quantum criticalities, and hierarchy of inclusions of hyper-finite factors.

I include also an excerpt from [K24] representing the most recent view about how scattering amplitudes could be constructed in TGD using the notion of super-symplectic Yangian and generalization of the notion of twistor structure so that it applies at the level of 8-D imbedding space.

### 3.1 Basic Physical And Geometric Ideas

TGD relies heavily on geometric ideas, which have gradually generalized during the years. Symmetries play a key role as one might expect on basis of general definition of geometry as a structure characterized by a given symmetry.

#### 3.1.1 Physics as infinite-dimensional Kähler geometry

1. The basic idea is that it is possible to reduce quantum theory to WCW geometry and spinor structure. The geometrization of loop spaces inspires the idea that the mere existence of Riemann connection fixes WCW Kähler geometry uniquely. Accordingly, WCW can be regarded as a union of infinite-dimensional symmetric spaces labeled by zero modes labeling classical non-quantum fluctuating degrees of freedom.

The huge symmetries of WCW geometry deriving from the light-likeness of 3-surfaces and from the special conformal properties of the boundary of 4-D light-cone would guarantee the maximal isometry group necessary for the symmetric space property. Quantum criticality is the fundamental hypothesis allowing to fix the Kähler function and thus dynamics of TGD uniquely. Quantum criticality leads to surprisingly strong predictions about the evolution of coupling constants.

2. WCW spinors correspond to Fock states and anti-commutation relations for fermionic oscillator operators correspond to anti-commutation relations for the gamma matrices of the WCW. WCW gamma matrices contracted with Killing vector fields give rise to a super-algebra which together with Hamiltonians of WCW forms what I have used to call super-symplectic algebra.

WCW metric can be expressed in two manners. Either as anti-commutators of WCW gamma matrices identified as super-symplectic Noether super charges (this is highly non-trivial!) or in terms of the second derivatives of Kähler function expressible as Kähler action for the space-time regions with 4-D  $CP_2$  projection and Euclidian signature of the induced metric (wormhole contacts).

This leads to a generalization of AdS/CFT duality if one assumes that spinor modes are localized at string world sheets to guarantee well-definedness of em charge for the spinor modes following from the assumption that induced classical  $W$  fields vanish at string world sheets. Also number theoretic argument requiring that octonionic spinor structure for the imbedding space is equivalent with ordinary spinor structure implies the localization. String model in space-time becomes part of TGD.

3. Super-symplectic degrees of freedom represent completely new degrees of freedom and have no electroweak couplings. In the case of hadrons super-symplectic quanta correspond to what has been identified as non-perturbative sector of QCD they define TGD correlate for the degrees of freedom assignable to hadronic strings. They could be responsible for the most of the mass of hadron and resolve spin puzzle of proton.

It has turned out that super-symplectic quanta would naturally give rise to a hierarchy of dark matters labelled by the value of effective Planck constant  $h_{eff} = n \times h$ .  $n$  would



characterize the breaking of super-symplectic symmetry as gauge symmetry and for  $n = 1$  (ordinary matter) there would be no breaking.

Besides super-symplectic symmetries there extended conformal symmetries associated with light-cone boundary and light-like orbits of partonic 2-surfaces and Super-Kac Moody symmetries assignable to light-like 3-surfaces. A further super-conformal symmetry is associated with the spinor modes at string world sheets and it corresponds to the ordinary super-conformal symmetry. The existence of quaternion conformal generalization of these symmetries is suggestive and the notion of quaternion holomorphy [A14] indeed makes sense [K32]. Together these algebras mean a gigantic extension of the conformal symmetries of string models [?]. Some of these symmetries act as dynamical symmetries instead of mere gauge symmetries. The construction of the representations of these symmetries is one of the main challenges of quantum TGD.

The original proposal was that the commutator algebras of super-symplectic and super Kac-Moody algebra annihilate physical states. Recently the possibility that a sub-algebra of super-symplectic algebra (at least this algebra) with conformal weights coming as multiples of integer some integer  $n$  annihilates physical states at both boundaries of CD. This would correspond to broken gauge symmetry and would predict fractal hierarchies of quantum criticalities defined by sequences of integers  $n_{i+1} = \prod_{k < i+1} m_k$ . The conformal algebra of string world sheet could always correspond to  $n = 1$ . Super Virasoro conditions could be regarded as analogs of WCW Dirac equation. These sequences would define hierarchies of inclusions of hyper finite factors of type  $II_1$  and the identification  $n = h_{eff}/h$  would relate this hierarchy to the hierarchy of Planck constants.  $n$  would also characterize the non-determinism of Kähler action: there would be  $n$  conformal gauge equivalence classes connecting members of a pair of 3-surfaces at the boundaries of CD and defining the ends of space-time.

An intriguing possibility consistent with this picture is that the conformal weights of the super-symplectic algebra characterizing the exponent  $h$  of the power  $r_M^h$  of the light-like radial coordinate  $r_M$  appearing in the Hamiltonian of the symplectic transformation of  $\delta M_{\pm}^4 \times CP_2$  is not an integer but a linear combination of zeros of Riemann Zeta with integer coefficients. For physical states the weights would be real integers (if mass squared corresponds to conformal weight): one would have conformal confinement in the sense that the sum of imaginary parts of conformal weights would be zero. This is an old idea that I already gave up but seems rather attractive in the recent framework.

Modular invariance is one aspect of conformal symmetries and plays a key role in the understanding of elementary particle vacuum functionals and the description of family replication phenomenon in terms of the topology of partonic 2-surfaces.

4. WCW spinors define a von Neumann algebra known as hyper-finite factor of type  $II_1$  (HFFs). This realization has led also to a profound generalization of quantum TGD through a generalization of the notion of imbedding space to characterize quantum criticality. The resulting space has a book like structure with various almost-copies of imbedding space representing the pages of the book meeting at quantum critical sub-manifolds. The outcome of this approach is that the exponents of Kähler function and Chern-Simons action are not fundamental objects but reduce to the Dirac determinant associated with the Kähler-Dirac operator assigned to the light-like 3-surfaces.

## 3.2 The Notions Of Imbedding Space, 3-Surface, And Configuration Space

The notions of imbedding space, 3-surface (and 4-surface), and WCW (world of classical worlds ( WCW )) are central to quantum TGD. The original idea was that 3-surfaces are space-like 3-surfaces of  $H = M^4 \times CP_2$  or  $H = M_+^4 \times CP_2$ , and WCW consists of all possible 3-surfaces in  $H$ . The basic idea was that the definition of Kähler metric of WCW assigns to each  $X^3$  a unique space-time surface  $X^4(X^3)$  allowing in this manner to realize general coordinate invariance. During years these notions have however evolved considerably.

### 3.2.1 The notion of imbedding space

Two generalizations of the notion of imbedding space were forced by number theoretical vision [K22, K23, K21].

1. p-Adicization forced to generalize the notion of imbedding space by gluing real and p-adic variants of imbedding space together along rationals and common algebraic numbers. The generalized imbedding space has a book like structure with reals and various p-adic number fields (including their algebraic extensions) representing the pages of the book.
2. With the discovery of zero energy ontology [K26, K5] it became clear that the so called causal diamonds (CDs) interpreted as intersections  $M_+^4 \cap M_-^4$  of future and past directed light-cones of  $M^4 \times CP_2$  define correlates for the quantum states. The position of the “lower” tip of CD characterizes the position of CD in  $H$ . If the temporal distance between upper and lower tip of CD is quantized in power-of-two multiples of  $CP_2$  length, p-adic length scale hypothesis [K16] follows as a consequence. The upper *resp.* lower light-like boundary  $\delta M_+^4 \times CP_2$  *resp.*  $\delta M_-^4 \times CP_2$  of CD can be regarded as the carrier of positive *resp.* negative energy part of the state. All net quantum numbers of states vanish so that everything is creatable from vacuum. Space-time surfaces assignable to zero energy states would reside inside  $CD \times CP_2$ s and have their 3-D ends at the light-like boundaries of  $CD \times CP_2$ . Fractal structure is present in the sense that CDs can contain CDs within CDs, and measurement resolution dictates the length scale below which the sub-CDs are not visible.
3. The realization of the hierarchy of Planck constants [K7] suggests a further generalization of the notion of imbedding space, which has however turned out to be an auxiliary tool only.

Generalized imbedding space would be obtained by gluing together Cartesian products of singular coverings and factor spaces of CD and  $CP_2$  to form a book like structure. The particles at different pages of this book behave like dark matter relative to each other. This generalization also brings in the geometric correlate for the selection of quantization axes in the sense that the geometry of the sectors of the generalized imbedding space with non-standard value of Planck constant involves symmetry breaking reducing the isometries to Cartan subalgebra. Roughly speaking, each CD and  $CP_2$  is replaced with a union of CDs and  $CP_2$ s corresponding to different choices of quantization axes so that no breaking of Poincare and color symmetries occurs at the level of entire WCW .

It is now clear that this generalization only provides a description for the non-determinism realized in terms of  $n$  conformal equivalences of preferred extremals connecting 3-surfaces at the opposite boundaries of CD.

4. The construction of quantum theory at partonic level brings in very important delicacies related to the Kähler gauge potential of  $CP_2$ . Kähler gauge potential must have what one might call pure gauge parts in  $M^4$  in order that the theory does not reduce to mere topological quantum field theory. Hence the strict Cartesian product structure  $M^4 \times CP_2$  breaks down in a delicate manner. These additional gauge components -present also in  $CP_2$ - play key role in the model of anyons, charge fractionization, and quantum Hall effect [K19].

### 3.2.2 The notion of 3-surface

The question what one exactly means with 3-surface turned out to be non-trivial.

1. The original identification of 3-surfaces was as arbitrary space-like 3-surfaces subject to equivalence believed to be implied by General Coordinate Invariance. There was a problem related to the realization of equivalence since it was not at all obvious why the preferred extremal  $X^4(Y^3)$  for  $Y^3$  at  $X^4(X^3)$  and  $\text{Diff}^4$  related  $X^3$  should satisfy  $X^4(Y^3) = X^4(X^3)$ .
2. Much later it became clear that light-like 3-surfaces identified as boundaries between regions of Minkowskian and Euclidian signature (wormhole contacts and exterior) have unique properties for serving as basic dynamical objects, in particular for realizing the General Coordinate Invariance in 4-D sense (obviously the identification resolves the above mentioned problem) and understanding the conformal symmetries of the theory.

The condition that light-like parton orbits and space-like 3-surfaces at the ends of CD are physically equivalent allows to conclude that partonic 2-surfaces and their tangent space data should be enough for physics. One would have strong form of General Coordinate Invariance (GCI) and strong form of holography. The condition that the symplectic Noether charges for the above mentioned sub-algebra of the symplectic algebra vanish for space-like 3-surfaces at the ends of CD would be natural in this framework.

It is however important to emphasize that this indeed holds true only locally. At the level of WCW metric this means that the components of the Kähler form and metric can be expressed in terms of data assignable to 2-D partonic surfaces. It is however essential that information about normal space of the 2-surface is needed.

3. An important step of progress was the realization that light-like 3-surfaces can have singular topology in the sense that they are analogous to Feynman diagrams. The light-like 3-surfaces representing lines of Feynman diagram can be glued along their 2-D ends playing the role of vertices to form what I call generalized Feynman diagrams (“Feynman” could be replaced with twistor, or braid, or something else). The ends of lines are located at boundaries of sub-CDs. This brings in also a hierarchy of time scales: the increase of the measurement resolution means introduction of sub-CDs containing sub-Feynman diagrams. As the resolution is improved, new sub-Feynman diagrams emerge so that effective 2-D character holds true in discretized sense and in given resolution scale only.

### 3.2.3 The notion of space-time surface

The basic vision has been that space-time surfaces correspond to preferred extremals  $X^4(X^3)$  of Kähler action. Kähler function  $K(X^3)$  defining the Kähler geometry of the world of classical worlds would correspond to the Kähler action for the preferred extremal. The precise identification of the preferred extremals turned out to be far from trivial. The recent discussion of this topic can be found at [K33].

1. The obvious first guess motivated by physical intuition was that preferred extremals correspond to the absolute minima of Kähler action for space-time surfaces containing  $X^3$ . This choice would have some nice implications. For instance, one can develop an argument for the existence of an infinite number of conserved charges. If  $X^3$  is light-like surface- either light-like boundary of  $X^4$  or light-like 3-surface assignable to a wormhole throat at which the induced metric of  $X^4$  changes its signature- this identification circumvents the obvious objections.

This choice might well be correct for (non-negative) Kähler function identifiable as Kähler action in Euclidian space-time regions (wormhole contacts). In Minkowskian regions Kähler action is imaginary ( $\sqrt{g}$  factor is imaginary) and gives a complex phase to vacuum functional and clearly serves as the analog of action in quantum field theories. The identification as preferred extremal does not look natural now.

2. The recent identification has been already described: the vanishing of symplectic Noether charges in a sub-algebra isomorphic to the entire algebra would define the conformal gauge and fix the preferred extremals in ZEO highly uniquely. For a generic pair of 3-surfaces at the boundaries of CD it is not clear whether any preferred extremal exists. The non-determinism of Kähler action makes it difficult to make any conclusions in this respect.
3. I have consider many other identifications of preferred extremals during years. In Minkowskian regions the contraction  $j \cdot A$  of Kähler current and Kähler gauge potential vanishes for the known extremals. Together with the weak form of electric-magnetic duality stating  $\epsilon_{ijnt} J^{nt} = k J_{ij}$ ,  $k$  proportionality constant, this condition would reduce Kähler action to 3-D Chern-Simons terms. This would realize TGD as almost topological QFT. Whether this condition makes sense in Euclidian regions and whether it is strong enough remains an open question.

The construction of WCW geometry suggests also the strengthening the boundary conditions to the condition that there exists space-time coordinates in which the induced  $CP_2$  Kähler

form and induced metric satisfy the conditions  $J_{ni} = 0$ ,  $g_{ni} = 0$  hold at  $X_l^3$  ( $n$  denote normal direction). One could say that at  $X_l^3$  situation is static both metrically and for the Maxwell field defined by the induced Kähler form. There are reasons to hope that this is the final step in a long process.

4. One possible identification of preferred extremals would be as quaternionic sub-manifolds of imbedding space with the property that quaternionic tangent space at given point contains a preferred  $M^2$  identifiable as a commutative sub-space of quaternionic tangent spaces. One can also consider the possibility that  $M^2$  depends on the point of space-time surface but that one has an integrable distribution defining string world sheet in  $M^4$ : this leads to the notion of Hamilton-Jacobi structure [K33].  $M^8 - H$  duality allowing to map surfaces of  $M^8$  with this property to surfaces in  $M^8$  by mapping the local tangent space to a point of  $CP_2$  relates closely to this proposal.
5. The localization of the modes of Kähler-Dirac equation to string world sheets with vanishing  $W$  fields (to guarantee well-defined em charge for the modes) requires that Frobenius integrability conditions are satisfied for the 2-D tangent spaces and that the energy momentum currents as vectors of  $X^4$  have no components normal to the string world sheet. It remains to be proven that these conditions can be satisfied.

This suggests that one should construct preferred extremals as a concrete realization of holography. One would start from data given by string world sheets and partonic 2-surfaces and possibly also space-like 3-surface and the light-like orbits of partonic 2-surfaces by posing the conditions that sub-algebra of symplectic algebra acts as gauge algebra. The reason for fixing of 3-surfaces apart from symplectic gauge transformation in an appropriate sub-algebra is that otherwise the possibility of strings and their orbits to get knotted and linked becomes impossible to describe. One clearly would have effective 2-dimensionality.

According to the recent view about Kähler-Dirac action the boundaries of string world sheets are imbedding space geodesics characterizing by light-like 8-momentum. This suggests that the braiding along partonic orbits is probably possible only if one allows intermediate partonic 2-surfaces in which the direction of four-momentum changes. The particle physics interpretation would be that braiding must respect conservation of momentum and thus occurs by exchange of say bosonic quanta. So that braiding diagram would be replaced by the analog of Feynman diagram.

6. One bundle of ideas relates is inspired by basic thinking about massless fields and relies on the observation that the known extremals seems to decompose in Minkowskian regions to pieces having interpretation as classical analogs of massless field quanta allowing local polarization vector and light-like 4-momentum vector orthogonal to each other. The simplest example is provided by massless extremals for which one has linear superposition of modes in the direction of four-momentum. One has therefore very quantal behavior already classically. In particular, linear superposition fails and can be realized only for effects experienced by a particle like 3-surface topologically condensed to several space-time sheets. At GRT-QFT limit superposition of effects becomes superposition of fields when the many-sheeted space-time is approximated with slightly curved  $M^4$ .

Also number theoretical vision led to a related proposal that  $X^4(X_{l,i}^3)$ , where  $X_{l,i}^3$  denotes  $i^t h$  connected component of the light-like 3-surface  $X_l^3$ , contain in their 4-D tangent space  $T(X^4(X_{l,i}^3))$  a subspace  $M_i^2 \subset M^4$  having interpretation as the plane of non-physical polarizations. This means a close connection with super string models. Geometrically this would mean that the deformations of 3-surface in the plane of non-physical polarizations would not contribute to the line element of WCW. This is as it must be since complexification does not make sense in  $M^2$  degrees of freedom.

In number theoretical framework  $M_i^2$  has interpretation as a preferred hyper-complex subspace of hyper-octonions defined as 8-D subspace of complexified octonions with the property that the metric defined by the octonionic inner product has signature of  $M^8$ . A stronger condition would be that the condition holds true at all points of  $X^4(X^3)$  for a global choice  $M^2$  but this is unnecessary and leads to strong un-proven conjectures. The condition  $M_i^2 \subset$

$T(X^4(X_{l,i}^3))$  in principle fixes the tangent space at  $X_{l,i}^3$ , and one has good hopes that the boundary value problem is well-defined and fixes  $X^4(X^3)$  uniquely as a preferred extremal of Kähler action. This picture is rather convincing since the choice  $M_i^2 \subset M^3$  plays also other important roles.

7. The weakest form of number theoretic compactification states that light-like 3-surfaces  $X^3 \subset X^4(X^3) \subset M^8$ , where  $X^4(X^3)$  hyper-quaternionic surface in hyper-octonionic  $M^8$  can be mapped to light-like 3-surfaces  $X^3 \subset X^4(X^3) \subset M^4 \times CP_2$ , where  $X^4(X^3)$  is now preferred extremum of Kähler action. The natural guess is that  $X^4(X^3) \subset M^8$  is a preferred extremal of Kähler action associated with Kähler form of  $E^4$  in the decomposition  $M^8 = M^4 \times E^4$ , where  $M^4$  corresponds to hyper-quaternions. The conjecture would be that the value of the Kähler action in  $M^8$  is same as in  $M^4 \times CP_2$ .

### 3.2.4 The notion of WCW

From the beginning there was a problem related to the precise definition of WCW (“world of classical worlds” ( WCW )). Should one regard  $CH$  as the space of 3-surfaces of  $M^4 \times CP_2$  or  $M_+^4 \times CP_2$  or perhaps something more delicate.

1. For a long time I believed that the question “ $M_+^4$  or  $M^4$ ?” had been settled in favor of  $M_+^4$  by the fact that  $M_+^4$  has interpretation as empty Robertson-Walker cosmology. The huge conformal symmetries assignable to  $\delta M_+^4 \times CP_2$  were interpreted as cosmological rather than laboratory symmetries. The work with the conceptual problems related to the notions of energy and time, and with the symmetries of quantum TGD, however led gradually to the realization that there are strong reasons for considering  $M^4$  instead of  $M_+^4$ .
2. With the discovery of zero energy ontology it became clear that the so called causal diamonds (CDs) define excellent candidates for the fundamental building blocks of WCW or “world of classical worlds” ( WCW ). The spaces  $CD \times CP_2$  regarded as subsets of  $H$  defined the sectors of WCW .
3. This framework allows to realize the huge symmetries of  $\delta M_{\pm}^4 \times CP_2$  as isometries of WCW . The gigantic symmetries associated with the  $\delta M_{\pm}^4 \times CP_2$  are also laboratory symmetries. Poincare invariance fits very elegantly with the two types of super-conformal symmetries of TGD. The first conformal symmetry corresponds to the light-like surfaces  $\delta M_{\pm}^4 \times CP_2$  of the imbedding space representing the upper and lower boundaries of CD. Second conformal symmetry corresponds to light-like 3-surface  $X_l^3$ , which can be boundaries of  $X^4$  and light-like surfaces separating space-time regions with different signatures of the induced metric. This symmetry is identifiable as the counterpart of the Kac Moody symmetry of string models.

A rather plausible conclusion is that WCW is a union of sub- WCW s associated with the spaces  $CD \times CP_2$ . CDs can contain CDs within CDs so that a fractal like hierarchy having interpretation in terms of measurement resolution results. Since the complications due to p-adic sectors and hierarchy of Planck constants are not relevant for the basic construction, it reduces to a high degree to a study of a simple special case  $\delta M_+^4 \times CP_2$ .

### 3.3 Could The Universe Be Doing Yangian Arithmetics?

One of the old TGD inspired really crazy ideas about scattering amplitudes is that Universe is doing some sort of arithmetics so that scattering amplitude are representations for computational sequences of minimum length. The idea is so crazy that I have even given up its original form, which led to an attempt to assimilate the basic ideas about bi-algebras, quantum groups [K2], Yangians [K24], and related exotic things. The work with twistor Grassmannian approach inspired a reconsideration of the original idea seriously with the idea that super-symplectic Yangian could define the arithmetics. I try to describe the background, motivation, and the ensuing reckless speculations in the following.

### 3.3.1 Do scattering amplitudes represent quantal algebraic manipulations?

I seems that tensor product  $\otimes$  and direct sum  $\oplus$  - very much analogous to product and sum but defined between Hilbert spaces rather than numbers - are naturally associated with the basic vertices of TGD. I have written about this a highly speculative chapter - both mathematically and physically [K30]. The chapter [K2] is a remnant of earlier similar speculations.

1. In  $\otimes$  vertex 3-surface splits to two 3-surfaces meaning that the 2 "incoming" 4-surfaces meet at single common 3-surface and become the outgoing 3-surface: 3 lines of Feynman diagram meeting at their ends. This has a lower-dimensional shadow realized for partonic 2-surfaces. This topological 3-particle vertex would be higher-D variant of 3-vertex for Feynman diagrams.
2. The second vertex is trouser vertex for strings generalized so that it applies to 3-surfaces. It does not represent particle decay as in string models but the branching of the particle wave function so that particle can be said to propagate along two different paths simultaneously. In double slit experiment this would occur for the photon space-time sheets.
3. The idea is that Universe is doing arithmetics of some kind in the sense that particle 3-vertex in the above topological sense represents either multiplication or its time-reversal co-multiplication.

The product, call it  $\circ$ , can be something very general, say algebraic operation assignable to some algebraic structure. The algebraic structure could be almost anything: a random list of structures popping into mind consists of group, Lie-algebra, super-conformal algebra quantum algebra, Yangian, etc.... The algebraic operation  $\circ$  can be group multiplication, Lie-bracket, its generalization to super-algebra level, etc...). Tensor product and thus linear (Hilbert) spaces are involved always, and in product operation tensor product  $\otimes$  is replaced with  $\circ$ .

1. The product  $A_k \otimes A_l \rightarrow C = A_k \circ A_l$  is analogous to a particle reaction in which particles  $A_k$  and  $A_l$  fuse to particle  $A_k \otimes A_l \rightarrow C = A_k \circ A_l$ . One can say that  $\otimes$  between reactants is transformed to  $\circ$  in the particle reaction: kind of bound state is formed.
2. There are very many pairs  $A_k, A_l$  giving the same product  $C$  just as given integer can be divided in many manners to a product of two integers if it is not prime. This of course suggests that elementary particles are primes of the algebra if this notion is defined for it! One can use some basis for the algebra and in this basis one has  $C = A_k \circ A_l = f_{klm} A_m$ ,  $f_{klm}$  are the structure constants of the algebra and satisfy constraints. For instance, associativity  $A(BC) = (AB)C$  is a constraint making the life of algebraist more tolerable and is almost routinely assumed.

For instance, in the number theoretic approach to TGD associativity is proposed to serve as fundamental law of physics and allows to identify space-time surfaces as 4-surfaces with associative (quaternionic) tangent space or normal space at each point of octonionic imbedding space  $M^4 \times CP_2$ . Lie algebras are not associative but Jacobi-identities following from the associativity of Lie group product replace associativity.

3. Co-product can be said to be time reversal of the algebraic operation  $\circ$ . Co-product can be defined as  $C = A_k \rightarrow \sum_{lm} f_k^{lm} A_l \otimes A_m$ , where  $f_k^{lm}$  are the structure constants of the algebra. The outcome is quantum superposition of final states, which can fuse to  $C$  (the "reaction"  $A_k \otimes A_l \rightarrow C = A_k \circ A_l$  is possible). One can say that  $\circ$  is replaced with  $\otimes$ : bound state decays to a superposition of all pairs, which can form the bound states by product vertex.

There are motivations for representing scattering amplitudes as sequences of algebraic operations performed for the incoming set of particles leading to an outgoing set of particles with particles identified as algebraic objects acting on vacuum state. The outcome would be analogous to Feynman diagrams but only the diagram with minimal length to which a preferred extremal can be assigned is needed. Larger ones must be equivalent with it.

The question is whether it could be indeed possible to characterize particle reactions as computations involving transformation of tensor products to products in vertices and co-products to tensor products in co-vertices (time reversals of the vertices). A couple of examples gives some idea about what is involved.

1. The simplest operations would preserve particle number and to just permute the particles: the permutation generalizes to a braiding and the scattering matrix would be basically unitary braiding matrix utilized in topological quantum computation.
2. A more complex situation occurs, when the number of particles is preserved but quantum numbers for the final state are not same as for the initial state so that particles must interact. This requires both product and co-product vertices. For instance,  $A_k \otimes A_l \rightarrow f_{kl}^m A_m$  followed by  $A_m \rightarrow f_m^{rs} A_r \otimes A_s$  giving  $A_k \rightarrow f_{kl}^m f_m^{rs} A_r \otimes A_s$  representing 2-particle scattering. State function reduction in the final state can select any pair  $A_r \otimes A_s$  in the final state. This reaction is characterized by the ordinary tree diagram in which two lines fuse to single line and defuse back to two lines. Note also that there is a non-deterministic element involved. A given final state can be achieved from a given initial state after large enough number of trials. The analogy with problem solving and mathematical theorem proving is obvious. If the interpretation is correct, Universe would be problem solver and theorem prover!
3. More complex reactions affect also the particle number. 3-vertex and its co-vertex are the simplest examples and generate more complex particle number changing vertices. For instance, on twistor Grassmann approach one can construct all diagrams using two 3-vertices. This encourages the restriction to 3-vertex (recall that fermions have only 2-vertices)
4. Intuitively it is clear that the final collection of algebraic objects can be reached by a large - maybe infinite - number of ways. It seems also clear that there is the shortest manner to end up to the final state from a given initial state. Of course, it can happen that there is no way to achieve it! For instance, if  $\circ$  corresponds to group multiplication the co-vertex can lead only to a pair of particles for which the product of final state group elements equals to the initial state group element.
5. Quantum theorists of course worry about unitarity. How can avoid the situation in which the product gives zero if the outcome is element of linear space. Somehow the product should be such that this can be avoided. For instance, if product is Lie-algebra commutator, Cartan algebra would give zero as outcome.

### 3.3.2 Generalized Feynman diagram as shortest possible algebraic manipulation connecting initial and final algebraic objects

There is a strong motivation for the interpretation of generalized Feynman diagrams as shortest possible algebraic operations connecting initial and final states. The reason is that in TGD one does not have path integral over all possible space-time surfaces connecting the 3-surfaces at the ends of CD. Rather, one has in the optimal situation a space-time surface unique apart from conformal gauge degeneracy connecting the 3-surfaces at the ends of CD (they can have disjoint components).

Path integral is replaced with integral over 3-surfaces. There is therefore only single minimal generalized Feynman diagram (or twistor diagram, or whatever is the appropriate term). It would be nice if this diagram had interpretation as the shortest possible computation leading from the initial state to the final state specified by 3-surfaces and basically fermionic states at them. This would of course simplify enormously the theory and the connection to the twistor Grassmann approach is very suggestive. A further motivation comes from the observation that the state basis created by the fermionic Clifford algebra has an interpretation in terms of Boolean quantum logic and that in ZEO the fermionic states would have interpretation as analogs of Boolean statements  $A \rightarrow B$ .

To see whether and how this idea could be realized in TGD framework, let us try to find counterparts for the basic operations  $\otimes$  and  $\circ$  and identify the algebra involved. Consider first the basic geometric objects.

1. Tensor product could correspond geometrically to two disjoint 3-surfaces representing 3-particles. Partonic 2-surfaces associated with a given 3-surface represent second possibility. The splitting of a partonic 2-surface to two could be the geometric counterpart for co-product.

2. Partonic 2-surfaces are however connected to each other and possibly even to themselves by strings. It seems that partonic 2-surface cannot be the basic unit. Indeed, elementary particles are identified as pairs of wormhole throats (partonic 2-surfaces) with magnetic monopole flux flowing from throat to another at first space-time sheet, then through throat to another sheet, then back along second sheet to the lower throat of the first contact and then back to the thirteenth throat. This unit seems to be the natural basic object to consider. The flux tubes at both sheets are accompanied by fermionic strings. Whether also wormhole throats contain strings so that one would have single closed string rather than two open ones, is an open question.
3. The connecting strings give rise to the formation of gravitationally bound states and the hierarchy of Planck constants is crucially involved. For elementary particle there are just two wormhole contacts each involving two wormhole throats connected by wormhole contact. Wormhole throats are connected by one or more strings, which define space-like boundaries of corresponding string world sheets at the boundaries of CD. These strings are responsible for the formation of bound states, even macroscopic gravitational bound states.

### 3.3.3 Does super-symplectic Yangian define the arithmetics?

Super-symplectic Yangian would be a reasonable guess for the algebra involved.

1. The 2-local generators of Yangian would be of form  $T_1^A = f_{BC}^A T^B \otimes T^C$ , where  $f_{BC}^A$  are the structure constants of the super-symplectic algebra. n-local generators would be obtained by iterating this rule. Note that the generator  $T_1^A$  creates an entangled state of  $T^B$  and  $T^C$  with  $f_{BC}^A$  the entanglement coefficients.  $T_n^A$  is entangled state of  $T^B$  and  $T_{n-1}^C$  with the same coefficients. A kind replication of  $T_{n-1}^A$  is clearly involved, and the fundamental replication is that of  $T^A$ . Note that one can start from any irreducible representation with well defined symplectic quantum numbers and form similar hierarchy by using  $T^A$  and the representation as a starting point.

That the hierarchy  $T_n^A$  and hierarchies irreducible representations would define a hierarchy of states associated with the partonic 2-surface is a highly non-trivial and powerful hypothesis about the formation of many-fermion bound states inside partonic 2-surfaces.

2. The charges  $T^A$  correspond to fermionic and bosonic super-symplectic generators. The geometric counterpart for the replication at the lowest level could correspond to a fermionic/bosonic string carrying super-symplectic generator splitting to fermionic/bosonic string and a string carrying bosonic symplectic generator  $T^A$ . This splitting of string brings in mind the basic gauge boson-gauge boson or gauge boson-fermion vertex.

The vision about emission of virtual particle suggests that the entire wormhole contact pair replicates. Second wormhole throat would carry the string corresponding to  $T^A$  assignable to gauge boson naturally.  $T^A$  should involve pairs of fermionic creation and annihilation operators as well as fermionic and anti-fermionic creation operator (and annihilation operators) as in quantum field theory.

3. Bosonic emergence suggests that bosonic generators are constructed from fermion pairs with fermion and anti-fermion at opposite wormhole throats: this would allow to avoid the problems with the singular character of purely local fermion current. Fermionic and anti-fermionic string would reside at opposite space-time sheets and the whole structure would correspond to a closed magnetic tube carrying monopole flux. Fermions would correspond to superpositions of states in which string is located at either half of the closed flux tube.
4. The basic arithmetic operation in co-vertex would be co-multiplication transforming  $T_n^A$  to  $T_{n+1}^A = f_{BC}^A T_n^B \otimes T^C$ . In vertex the transformation of  $T_{n+1}^A$  to  $T_n^A$  would take place. The interpretations would be as emission/absorption of gauge boson. One must include also emission of fermion and this means replacement of  $T^A$  with corresponding fermionic generators  $F^A$ , so that the fermion number of the second part of the state is reduced by one unit. Particle reactions would be more than mere braidings and re-grouping of fermions and anti-fermions inside partonic 2-surfaces, which can split.



5. Inside the light-like orbits of the partonic 2-surfaces there is also a braiding affecting the M-matrix. The arithmetics involved would be therefore essentially that of measuring and "co-measuring" symplectic charges.

Generalized Feynman diagrams (preferred extremals) connecting given 3-surfaces and many-fermion states (bosons are counted as fermion-anti-fermion states) would have a minimum number of vertices and co-vertices. The splitting of string lines implies creation of pairs of fermion lines. Whether regroupings are part of the story is not quite clear. In any case, without the replication of 3-surfaces it would not be possible to understand processes like e-e scattering by photon exchange in the proposed picture.

It is easy to hear the comments of the skeptic listener in the back row.

1. The attribute "minimal" - , which could translate to minimal value of Kähler function - is dangerous. It might be very difficult to determine what the minimal diagram is - consider only travelling salesman problem or the task of finding the shortest proof of theorem. It would be much nicer to have simple calculational rules.

The original proposal might help here. The generalization of string model duality was in question. It stated that that it is possible to move the positions of the vertices of the diagrams just as one does to transform s-channel resonances to t-channel exchange. All loops of generalized diagrams could be eliminated by transforming the to tadpoles and snipped away so that only tree diagrams would be left. The variants of the diagram were identified as different continuation paths between different paths connecting sectors of WCW corresponding to different 3-topologies. Each step in the continuation procedure would involve product or co-product defining what continuation between two sectors means for WCW spinors. The continuations between two states require some minimal number of steps. If this is true, all computations connecting identical states are also physically equivalent. The value of the vacuum functional be same for all of them. This looks very natural.

That the Kähler action should be same for all computational sequences connecting the same initial and final states looks strange but might be understood in terms of the vacuum degeneracy of Kähler action closed related to quantum criticality, which means infinite gauge degeneracy associated with the Yangian of a sub-algebra of super-symplectic algebra.

2. QFT perturbation theory requires that should have superposition of computations/continuations. What could the superposition of QFT diagrams correspond to in TGD framework?

Could it correspond to a superposition of generators of the Yangian creating the physical state? After all, already quantum computer perform superpositions of computations. The fermionic state would not be the simplest one that one can imagine. Could AdS/CFT analogy allow to identify the vacuum state as a superposition of multi-string states so that single super-symplectic generator would be replaced with a superposition of its Yangian counterparts with same total quantum numbers but with a varying number of strings? The weight of a given superposition would be given by the total effective string world sheet area. The sum of diagrams would emerge from this superposition and would basically correspond to functional integration in WCW using exponent of Kähler action as weight. The stringy functional integral ("functional" if also wormhole contacts contain string portion, otherwise path integral) would give the perturbation theory around given string world sheet. One would have effective reduction of string theory.

### 3.3.4 How does this relate to the ordinary perturbation theory?

One can of course worry about how to understand the basic results of the usual perturbation theory in this picture. How does one obtain a perturbation theory in powers of coupling constant, what does running coupling constant mean, etc...? I have already discussed how the superposition of diagrams could be understood in the new picture.

1. The QFT picture with running coupling constant is expected at QFT limit, when many-sheeted space-time is replaced with a slightly curved region of  $M^4$  and gravitational field and gauge potentials are identified as sums of the deviations of induced metric from  $M^4$

metric and classical induced gauge potentials associated with the sheets of the many-sheeted space-time. The running coupling constant would be due to the dependence of the size scale of CD, and p-adic coupling constant evolution would be behind the continuous one.

2. The notion of running coupling constant is very physical concept and should have a description also at the fundamental level and be due to a finite computational resolution, which indeed has very concrete description in terms of Noether charges of super-symplectic Yangian creating the states at the ends of space-time surface at the boundaries of CD. The space-time surface and the diagram associated with a given pair of 3-surfaces and stringy Noether charges associated with them can be characterized by a complexity measured in terms of the number of vertices (3-surface at which three 3-surfaces meet).

For instance, 3-particle scattering can be possible only by using the simplest 3-vertex defined by product or co-product for pairs of 3-surfaces. In the generic case one has more complex diagram and what looks first 3-particle vertex has complex substructure rather than being simple product or co-product.

3. Complexity seems to have two separate aspects: the complexities of the positive and negative parts of zero energy state as many-fermion states and the complexity of associated 3-surfaces. The generalization of AdS/CFT however suggests that once the string world sheets and partonic 2-surfaces appearing in the diagram have been fixed, the space-time surface itself is fixed. The principle also suggests that the fixing partonic 2-surface and the strings connecting them at the boundaries of CD fixes the 3-surface apart from the action of sub-algebra of Yangian acting as gauge algebra (vanishing classical Noether charges). If one can determine the minimal sequence of allowed algebraic operation of Yangian connecting initial and final fermion states, one knows the minimum number of vertices and therefore the topological structure of the connecting minimal space-time surface.
4. In QFT spirit one could describe the finite measurement resolution by introducing effective 3-point vertex, which is need not be product/co-produce anymore. 3-point scattering amplitudes in general involve microscopic algebraic structure involving several vertices. One can however give up the nice algebraic interpretation and just talk about effective 3-vertex for practical purposes. Just as the QFT vertex described by running coupling constant decomposes to sum of diagrams, product/co-product in TGD could be replaced with effective product/co-product expressible as a longer computation. This would imply coupling constant evolution.

Fermion lines could however remain as such since they are massless in 8-D sense and mass renormalization does not make sense.

Similar practical simplification could be done the initial and final states to get rid of superposition of the Yangian generators with different numbers of strings (“cloud of virtual particles”). This would correspond to wave function renormalization.

The number of vertices and wormhole contact orbits serves as a measure for the complexity of the diagram.

1. Since fermion lines are associated with wormhole throats assignable with wormhole contacts identifiable as deformations  $CP_2$  type vacuum extremals, one expects that the exponent of the Kähler function defining vacuum functional is in the first approximation the total  $CP_2$  volume of wormhole contacts giving a measure for the importance of the contribution in functional integral. If it converges very rapidly only Gaussian approximation around maximum is needed.
2. Convergence depends on how large the fraction of volume of  $CP_2$  is associated with a given wormhole contact. The volume is proportional to the length of the wormhole contact orbit. One expects exponential convergence with the number of fermion lines and their lengths for long lines. For short distances the exponential damping is small so that diagrams with microscopic structure of diagrams are needed and are possible. This looks like adding small scale details to the algebraic manipulations.

3. One must be of course be very cautious in making conclusions. The presence of  $1/\alpha_K \propto h_{eff}$  in the exponent of Kähler function would suggest that for large values of  $h_{eff}$  only the 3-surfaces with smallest possible number of wormhole contact orbits contribute. On the other hand, the generalization of AdS/CFT duality suggests that Kähler action reducible to area of string world sheet in the effective metric defined by canonical momentum currents of Kähler action behaves as  $\alpha_K^2 \propto 1/h_{eff}^2$ . How  $1/h_{eff}^2$  proportionality might be understood is discussed in [K31] in terms electric-magnetic duality.

To sum up, the identification of vertex as a product or co-product in Yangian looks highly promising approach. The Noether charges of the super-symplectic Yangian are associated with strings and are either linear or bilinear in the fermion field. The fermion fields associated with the partonic 2-surface defining the vertex are contracted with fermion fields associated with other partonic 2-surfaces using the same rule as in Wick expansion in quantum field theories. The contraction gives fermion propagator for each leg pair associated with two vertices. Vertex factor is proportional to the contraction of spinor modes with the operators defining the Noether charge or super charge and essentially Kähler-Dirac gamma matrix and the representation of the action of the symplectic generator on fermion realizable in terms of sigma matrices. This is very much like the corresponding expression in gauge theories but with gauge algebra replaced with symplectic algebra. The possibility of contractions of creation and annihilation operator for fermion lines associated with opposite wormhole throats at the same partonic 2-surface (for Noether charge bilinear in fermion field) gives bosonic exchanges as lines in which the fermion lines turns in time direction: otherwise only regroupings of fermions would take place.

### 3.3.5 This was not the whole story yet

The proposed amplitude represents only the value of WCW spinor field for single pair of 3-surfaces at the opposite boundaries of given CD. Hence Yangian construction does not tell the whole story.

1. Yangian algebra would give only the vertices of the scattering amplitudes. On basis of previous considerations, one expects that each fermion line carries propagator defined by 8-momentum. The structure would resemble that of super-symmetric YM theory. Fermionic propagators should emerge from summing over intermediate fermion states in various vertices and one would have integrations over virtual momenta which are carried as residue integrations in twistor Grassmann approach. 8-D counterpart of twistorialization would apply.
2. Super-symplectic Yangian would give the scattering amplitudes for single space-time surface and the purely group theoretical form of these amplitudes gives hopes about the independence of the scattering amplitude on the pair of 3-surfaces at the ends of CD near the maximum of Kähler function. This is perhaps too much to hope except approximately but if true, the integration over WCW would give only exponent of Kähler action since metric and poorly defined Gaussian and determinants would cancel by the basic properties of Kähler metric. Exponent would give a non-analytic dependence on  $\alpha_K$ .

The Yangian supercharges are proportional to  $1/\alpha_K$  since covariant Kähler-Dirac gamma matrices are proportional to canonical momentum currents of Kähler action and thus to  $1/\alpha_K$ . Perturbation theory in powers of  $\alpha_K = g_K^2/4\pi\hbar_{eff}$  is possible after factorizing out the exponent of vacuum functional at the maximum of Kähler function and the factors  $1/\alpha_K$  multiplying super-symplectic charges.

The additional complication is that the characteristics of preferred extremals contributing significantly to the scattering amplitudes are expected to depend on the value of  $\alpha_K$  by quantum interference effects. Kähler action is proportional to  $1/\alpha_K$ . The analogy of AdS/CFT correspondence states the expressibility of Kähler function in terms of string area in the effective metric defined by the anti-commutators of K-D matrices. Interference effects eliminate string length for which the area action has a value considerably larger than one so that the string length and thus also the minimal size of CD containing it scales as  $h_{eff}$ . Quantum interference effects therefore give an additional dependence of Yangian super-charges on  $h_{eff}$  leading to a perturbative expansion in powers of  $\alpha_K$  although the basic expression for scattering amplitude would not suggest this.

### 3.4 The Construction Of U, M-, And S-Matrices

The general architecture of matrices is now rather well-understood and described in chapter [K29]. A brief summary is also given in the introduction. The key matrix is U-matrix acting in the space of zero states but leaving the states at the second boundary of CD invariant. M-matrix acts between positive and negative energy parts of given zero energy state being the product of a hermitian square root of density matrix and of a unitary S-matrix. The hermitian matrices involved would naturally form a representation of super-symplectic algebra or its sub-algebra and their “moduli squared” define a density matrix characterizing the second part of zero energy state. An open question is whether this density matrix relates to thermodynamics only formally or whether there is a deeper connection.

The recipe reduces the decisive step to a construction of S-matrix for a given CD and of a unitary time evolution operator in the moduli space of CDs providing unitary representation for a discrete subgroup of Lorentz group. The S-matrix for a given CD is  $n$ :th power of fundamental S-matrix  $S^n$  for CD whose size is  $n$  times the minimal size of CD characterized by the  $CP_2$  time scale.

The construction of S-matrix involves several ideas that have emerged during last years and involve symmetries in an essential manner.

#### 3.4.1 Emergence of particles as bound state of fundamental fermions, extended space-time supersymmetry, and generalized twistors

During year 2009 several new ideas emerged and give hopes about a concrete construction of M-matrix.

1. The notion of bosonic emergence [K18] follows from the fact that gauge bosons are identifiable as pairs of fermion and anti-fermion at opposite light-like throats of wormhole contact. As a consequence, bosonic propagators and vertices are generated radiatively from a fundamental action for fermions and their super partners. At QFT limit without super-symmetry this means that Dirac action coupled to gauge bosons is the fundamental action and the counterpart of YM action is generated radiatively. All coupling constants follow as predictions as they indeed must do on basis of the general structure of quantum TGD.
2. Whether the counterparts of space-time supersymmetries are possible in TGD Universe has remained a long-standing open question and my cautious belief has been that the super partners do not exist. The resolution of the problem came with the increased understanding of the dynamics of the Kähler-Dirac action [K8, K9]. In particular, the localization of the electroweakly charged modes at 2-D surfaces - string world sheets and possibly also partonic 2-surfaces- meant an enormous simplification since the solutions of the Kähler-Dirac equation are conformal spinor modes.

The oscillator operators associated with the modes of the induced spinor field satisfy the anti-commutation relations defining the generalization of space-time super-symmetry algebra and these oscillator operators serve as the building blocks of various super-conformal algebras. The number of super-symmetry generators is very large, perhaps even infinite. This forces a generalization of the standard super field concept. The action for chiral super-fields emerges as a generalization of the Dirac action to include all possible super-partners. The huge super-symmetry gives excellent hopes about cancelation of UV divergences. The counterpart of super-symmetric YM action emerges radiatively. This formalism works at the QFT limit. The generalization of the formalism to quantum TGD proper is yet to be carried out.

3. Twistor program has become one of the most promising approaches to gauge theories. This inspired the question whether TGD could allow twistorialization [K25]. Massive states -both real and virtual- are the basic problem of twistor approach. In TGD framework the obvious idea is that massive on mass shell states can be interpreted as massless states in 8-D sense. Massive off-mass shell states in turn could be regarded as pairs of positive and negative on mass shell states. This means opening of the black box of virtual state attempted already in the model for bosonic propagators inspired by the bosonic emergence , and one can even hope that individual loop integrals are finite and that Wick rotation is not needed. The

third observation is that 8-dimensional gamma matrices allow a representation in terms of octonions (matrices are not in question anymore). If the Kähler-Dirac gamma “matrices” associated with space-time surface define a quaternionic sub-algebra of the complexified octonion algebra, they allow a matrix representation defined by octonionic structure constants. This holds true for hyper-quaternionic space-time surfaces so that a connection with number theoretic vision emerges. This would more or less reduce the notion of twistor to its 4-dimensional counterpart.

### 3.4.2 Generalization of Feynman diagrams

An essential difference between TGD and string models is the replacement of stringy diagrams with generalized Feynman diagrams obtained by gluing 3-D light-like surfaces (instead of lines) together at their ends represented as partonic 2-surfaces. This makes the construction of vertices very simple. The notion of number theoretic braid in turn implies discretization having also interpretation in terms of non-commutativity due to finite measurement resolution replacing anti-commutativity along stringy curves with anti-commutativity at points of braids. Braids can replicate at vertices which suggests an interpretation in terms of topological quantum computation combined with non-faithful copying and communication of information. The analogs of stringy diagrams have quite different interpretation in TGD for instance, photons traveling via two different paths in double slit experiment are represented in terms of stringy branching of the photonic 2-surface.

### 3.4.3 Scattering amplitudes as computations in Yangian arithmetics?

One of the old TGD inspired really crazy ideas about scattering amplitudes is that Universe is doing some sort of arithmetics so that scattering amplitudes are representations for computational sequences of minimum length and that all diagrams connecting the same states at the boundaries of CD produce the same scattering amplitude. This would mean enormous calculational simplification.

The idea is so crazy that I have even given up its original form, which led to an attempt to assimilate the basic ideas about bi-algebras, quantum groups [K2], Yangians [K24], and related exotic things. The work with twistor Grassmannian approach inspired a reconsideration of the original idea seriously with the idea that super-symplectic Yangian could define the arithmetics.

The identification of universal 3-vertex as a product or co-product in Yangian looks highly promising approach to the construction of the scattering amplitude. The Noether charges of the super-symplectic Yangian are associated with strings and are either linear or bilinear in the fermion field. The fermion fields associated with the partonic 2-surface defining the vertex are contracted with fermion fields associated with other partonic 2-surfaces using the same rule as in Wick expansion in quantum field theories. The contraction gives fermion propagator for each leg pair associated with two vertices. Vertex factor is proportional to the contraction of spinor modes with the operators defining the Noether charge or super charge and essentially Kähler-Dirac gamma matrix and the representation of the action of the symplectic generator on fermion realizable in terms of sigma matrices.

This resembles strongly the corresponding expression in gauge theories but with gauge algebra replaced with symplectic algebra. The possibility of contractions of creation and annihilation operator for fermion lines associated with opposite wormhole throats at the same partonic 2-surface (for Noether charge bilinear in fermion field) gives bosonic exchanges as lines in which the fermion lines turn in time direction: otherwise only regroupings of fermions would take place.

### 3.4.4 Could correlation functions, S-matrix, and coupling constant evolution be coded the statistical properties of preferred extremals?

How to calculate the correlation functions and coupling constant evolution has remained a basic unresolved challenge. Generalized Feynman diagrams provide a powerful vision which however does not help in practical calculations. Some big idea has been lacking.

Quantum classical correspondence states that all aspects of quantum states should have correlates in the geometry of preferred extremals. In particular, various elementary particle propagators should have a representation as properties of preferred extremals. This would allow to realize the

old dream about being able to say something interesting about coupling constant evolution although it is not yet possible to calculate the M-matrices and U-matrix. The general structure of U-matrix is however understood [K29]. Hitherto everything that has been said about coupling constant evolution has been rather speculative arguments except for the general vision that it reduces to a discrete evolution defined by p-adic length scales. General first principle definitions are however much more valuable than ad hoc guesses even if the latter give rise to explicit formulas.

In quantum TGD and also at its QFT limit various correlation functions in given quantum state should code for its properties. By quantum classical correspondence these correlation functions should have counterparts in the geometry of preferred extremals. Even more: these classical counterparts for a given preferred extremal ought to be identical with the quantum correlation functions for the superposition of preferred extremals. This correspondence could be called quantum ergodicity by its analogy with ordinary ergodicity stating that the member of ensemble becomes representative of ensemble.

This principle would be a quantum generalization of ergodic theorem stating that the time evolution of a single member of ensemble represents the ensemble statistically. This symmetry principle analogous to holography might allow to fix S-matrix uniquely even in the case that the hermitian square root of the density matrix appearing in the M-matrix would lead to a breaking of quantum ergodicity as also 4-D spin glass degeneracy suggests.

This principle would allow to deduce correlation functions from the statistical properties of single preferred extremal alone using just classical intuition. Also coupling constant evolution would be coded by the statistical properties of preferred extremals. Quantum ergodicity would mean an enormous simplification since one could avoid the horrible conceptual complexities involved with the functional integrals over WCW .

This might of course be too optimistic guess. If a sub-algebra of symplectic algebra acts as gauge symmetries of the preferred extremals in the sense that corresponding Noether charges vanish, it can quite well be that correlations functions correspond to averages for extremals belonging to single conformal equivalence class.

1. The marvellous implication of quantum ergodicity would be that one could calculate everything solely classically using the classical intuition - the only intuition that we have. Quantum ergodicity would also solve the paradox raised by the quantum classical correspondence for momentum eigenstates. Any preferred extremal in their superposition defining momentum eigenstate should code for the momentum characterizing the superposition itself. This is indeed possible if every extremal in the superposition codes the momentum to the properties of classical correlation functions which are identical for all of them.
2. The only manner to possibly achieve quantum ergodicity is in terms of the statistical properties of the preferred extremals. It should be possible to generalize the ergodic theorem stating that the properties of statistical ensemble are represented by single space-time evolution in the ensemble of time evolutions. Quantum superposition of classical worlds would effectively reduce to single classical world as far as classical correlation functions are considered. The notion of finite measurement resolution suggests that one must state this more precisely by adding that classical correlation functions are calculated in a given UV and IR resolutions meaning UV cutoff defined by the smallest CD and IR cutoff defined by the largest CD present.
3. The skeptic inside me immediately argues that TGD Universe is 4-D spin glass so that this quantum ergodic theorem must be broken. In the case of the ordinary spin classes one has not only statistical average for a fixed Hamiltonian but a statistical average over Hamiltonians. There is a probability distribution over the coupling parameters appearing in the Hamiltonian. Maybe the quantum counterpart of this is needed to predict the physically measurable correlation functions.

Could this average be an ordinary classical statistical average over quantum states with different classical correlation functions? This kind of average is indeed taken in density matrix formalism. Or could it be that the square root of thermodynamics defined by ZEO actually gives automatically rise to this average? The eigenvalues of the “hermitian square root” of the density matrix would code for components of the state characterized by different classical correlation functions. One could assign these contributions to different “phases”.

4. Quantum classical correspondence in statistical sense would be very much like holography (now individual classical state represents the entire quantum state). Quantum ergodicity would pose a rather strong constraint on quantum states. This symmetry principle could actually fix the spectrum of zero energy states to a high degree and fix therefore the M-matrices given by the product of hermitian square root of density matrix and unitary S-matrix and unitary U-matrix constructible as inner products of M-matrices associated with CDs with various size scales [K29].
5. In TGD inspired theory of consciousness the counterpart of quantum ergodicity is the postulate that the space-time geometry provides a symbolic representation for the quantum states and also for the contents of consciousness assignable to quantum jumps between quantum states. Quantum ergodicity would realize this strongly self-referential looking condition. The positive and negative energy parts of zero energy state would be analogous to the initial and final states of quantum jump and the classical correlation functions would code for the contents of consciousness like written formulas code for the thoughts of mathematician and provide a sensory feedback.

How classical correlation functions should be defined?

1. General Coordinate Invariance and Lorentz invariance are the basic constraints on the definition. These are achieved for the space-time regions with Minkowskian signature and 4-D  $M^4$  projection if linear Minkowski coordinates are used. This is equivalent with the contraction of the indices of tensor fields with the space-time projections of  $M^4$  Killing vector fields representing translations. Accepting this generalization, there is no need to restrict oneself to 4-D  $M^4$  projection and one can also consider also Euclidian regions identifiable as lines of generalized Feynman diagrams.

Quantum ergodicity very probably however forces to restrict the consideration to Minkowskian and Euclidian space-time regions and various phases associated with them. Also  $CP_2$  Killing vector fields can be projected to space-time surface and give a representation for classical gluon fields. These in turn can be contracted with  $M^4$  Killing vectors giving rise to gluon fields as analogs of graviton fields but with second polarization index replaced with color index.

2. The standard definition for the correlation functions associated with classical time evolution is the appropriate starting point. The correlation function  $G_{XY}(\tau)$  for two dynamical variables  $X(t)$  and  $Y(t)$  is defined as the average  $G_{XY}(\tau) = \int_T X(t)Y(t + \tau)dt/T$  over an interval of length  $T$ , and one can also consider the limit  $T \rightarrow \infty$ . In the recent case one would replace  $\tau$  with the difference  $m_1 - m_2 = m$  of  $M^4$  coordinates of two points at the preferred extremal and integrate over the points of the extremal to get the average. The finite time interval  $T$  is replaced with the volume of causal diamond in a given length scale. Zero energy state with given quantum numbers for positive and negative energy parts of the state defines the initial and final states between which the fields appearing in the correlation functions are defined.
3. What correlation functions should be considered? Certainly one could calculate correlation functions for the induced spinor connection given electro-weak propagators and correlation functions for  $CP_2$  Killing vector fields giving correlation functions for gluon fields using the description in terms of Killing vector fields. If one can uniquely separate from the Fourier transform uniquely a term of form  $Z/(p^2 - m^2)$  by its momentum dependence, the coefficient  $Z$  can be identified as coupling constant squared for the corresponding gauge potential component and one can in principle deduce coupling constant evolution purely classically. One can imagine of calculating spinorial propagators for string world sheets in the same manner. Note that also the dependence on color quantum numbers would be present so that in principle all that is needed could be calculated for a single preferred extremal without the need to construct QFT limit and to introduce color quantum numbers of fermions as spin like quantum numbers (color quantum numbers corresponds to  $CP_2$  partial wave for the tip of the CD assigned with the particle).

Many detailed speculations about coupling constant evolution to be discussed in the sections below must be taken as innovative guesses doomed to have the eventual fate of guesses. The notion

of quantum ergodicity could however be one of the really deep ideas about coupling constant evolution comparable to the notion of p-adic coupling constant evolution. Quantum Ergodicity (briefly QE) would also state something extremely non-trivial also about the construction of correlation functions and S-matrix. Because this principle is so new, the rest of the chapter does not yet contain any applications of QE. This should not lead the reader to under-estimate the potential power of QE.

### 3.5 Are Both Symplectic And Conformal Field Theories Needed In TGD Framework?

Before one can say anything quantitative about coupling constant evolution, one must have a formulation for its TGD counterpart and thus also a more detailed formulation for how to calculate  $M$ -matrix elements. There is also the question about infinities. By very general arguments infinities of quantum field theories are predicted to cancel in TGD Universe - basically by the non-locality of Kähler function as a functional of 3-surface and by the general properties of the vacuum functional identified as the exponent of Kähler function. The precise mechanism leading to the cancellation of infinities of local quantum field theories has remained unspecified. Only the realization that the symplectic invariance of quantum TGD provides a mechanism regulating the short distance behavior of N-point functions changed the situation in this respect. This also leads to one possible concrete view about the generalized Feynman diagrams giving  $M$ -matrix elements and at least a resemblance with ordinary Feynman diagrammatics.

It must be of course admitted that there are several apparently competing visions. Twistorial vision [K24] and the vision about scattering amplitudes as representations for sequences of algebraic operations in super-symplectic Yangian [A5] [B9, B7, B8] seem to be consistent views. Symplectic approach seems to be suitable to understand the integration over WCW zero mode degrees of freedom not included in the other approaches.

#### 3.5.1 Symplectic invariance

Symplectic symmetries of  $\delta M_+^4 \times CP_2$  (light-cone boundary briefly) act as isometries of the “world of classical worlds”. One can see these symmetries as analogs of Kac-Moody type symmetries with symplectic transformations of  $S^2 \times CP_2$ , where  $S^2$  is  $r_M = \text{constant}$  sphere of light-cone boundary, made local with respect to the light-like radial coordinate  $r_M$  taking the role of complex coordinate. Thus finite-dimensional Lie group  $G$  is replaced with infinite-dimensional group of symplectic transformations. This inspires the question whether a symplectic analog of conformal field theory at  $\delta M_+^4 \times CP_2$  could be relevant for the construction of n-point functions in quantum TGD and what general properties these n-point functions would have. This section appears already in the previous chapter about symmetries of quantum TGD [K5] but because the results of the section provide the first concrete construction recipe of  $M$ -matrix in zero energy ontology, it is included also in this chapter.

#### 3.5.2 Symplectic QFT at sphere

Actually the notion of symplectic QFT emerged as I tried to understand the properties of cosmic microwave background which comes from the sphere of last scattering which corresponds roughly to the age of  $5 \times 10^5$  years [K17]. In this situation vacuum extremals of Kähler action around almost unique critical Robertson-Walker cosmology imbeddable in  $M^4 \times S^2$ , where there is homologically trivial geodesic sphere of  $CP_2$ . Vacuum extremal property is satisfied for any space-time surface which is surface in  $M^4 \times Y^2$ ,  $Y^2$  a Lagrangian sub-manifold of  $CP_2$  with vanishing induced Kähler form. Symplectic transformations of  $CP_2$  and general coordinate transformations of  $M^4$  are dynamical symmetries of the vacuum extremals so that the idea of symplectic QFT emerges natural. Therefore I shall consider first symplectic QFT at the sphere  $S^2$  of last scattering with temperature fluctuation  $\Delta T/T$  proportional to the fluctuation of the metric component  $g_{aa}$  in Robertson-Walker coordinates.

1. In quantum TGD the symplectic transformation of the light-cone boundary would induce action in the “world of classical worlds” (light-like 3-surfaces). In the recent situation it is convenient to regard perturbations of  $CP_2$  coordinates as fields at the sphere of last scattering



(call it  $S^2$ ) so that symplectic transformations of  $CP_2$  would act in the field space whereas those of  $S^2$  would act in the coordinate space just like conformal transformations. The deformation of the metric would be a symplectic field in  $S^2$ . The symplectic dimension would be induced by the tensor properties of R-W metric in R-W coordinates: every  $S^2$  coordinate index would correspond to one unit of symplectic dimension. The symplectic invariance in  $CP_2$  degrees of freedom is guaranteed if the integration measure over the vacuum deformations is symplectic invariant. This symmetry does not play any role in the sequel.

2. For a symplectic scalar field  $n \geq 3$ -point functions with a vanishing anomalous dimension would be functions of the symplectic invariants defined by the areas of geodesic polygons defined by subsets of the arguments as points of  $S^2$ . Since  $n$ -polygon can be constructed from 3-polygons these invariants can be expressed as sums of the areas of 3-polygons expressible in terms of symplectic form.  $n$ -point functions would be constant if arguments are along geodesic circle since the areas of all sub-polygons would vanish in this case. The decomposition of  $n$ -polygon to 3-polygons brings in mind the decomposition of the  $n$ -point function of conformal field theory to products of 2-point functions by using the fusion algebra of conformal fields (very symbolically  $\Phi_k \Phi_l = c_{kl}^m \Phi_m$ ). This intuition seems to be correct.
3. Fusion rules stating the associativity of the products of fields at different points should generalize. In the recent case it is natural to assume a non-local form of fusion rules given in the case of symplectic scalars by the equation

$$\Phi_k(s_1)\Phi_l(s_2) = \int c_{kl}^m f(A(s_1, s_2, s_3))\Phi_m(s)d\mu_s . \quad (3.1)$$

Here the coefficients  $c_{kl}^m$  are constants and  $A(s_1, s_2, s_3)$  is the area of the geodesic triangle of  $S^2$  defined by the symplectic measure and integration is over  $S^2$  with symplectically invariant measure  $d\mu_s$  defined by symplectic form of  $S^2$ . Fusion rules pose powerful conditions on  $n$ -point functions and one can hope that the coefficients are fixed completely.

4. The application of fusion rules gives at the last step an expectation value of 1-point function of the product of the fields involves unit operator term  $\int c_{kl} f(A(s_1, s_2, s))I dd\mu_s$  so that one has

$$\langle \Phi_k(s_1)\Phi_l(s_2) \rangle = \int c_{kl} f(A(s_1, s_2, s))d\mu_s . \quad (3.2)$$

Hence 2-point function is average of a 3-point function over the third argument. The absence of non-trivial symplectic invariants for 1-point function means that  $n = 1$ - an are constant, most naturally vanishing, unless some kind of spontaneous symmetry breaking occurs. Since the function  $f(A(s_1, s_2, s_3))$  is arbitrary, 2-point correlation function can have both signs. 2-point correlation function is invariant under rotations and reflections.

### 3.5.3 Symplectic QFT with spontaneous breaking of rotational and reflection symmetries

CMB data suggest breaking of rotational and reflection symmetries of  $S^2$ . A possible mechanism of spontaneous symmetry breaking is based on the observation that in TGD framework the hierarchy of Planck constants assigns to each sector of the generalized imbedding space a preferred quantization axes. The selection of the quantization axis is coded also to the geometry of “world of classical worlds”, and to the quantum fluctuations of the metric in particular. Clearly, symplectic QFT with spontaneous symmetry breaking would provide the sought-for really deep reason for the quantization of Planck constant in the proposed manner.

1. The coding of angular momentum quantization axis to the generalized imbedding space geometry allows to select South and North poles as preferred points of  $S^2$ . To the three

arguments  $s_1, s_2, s_3$  of the 3-point function one can assign two squares with the added point being either North or South pole. The difference

$$\Delta A(s_1, s_2, s_3) \equiv A(s_1, s_2, s_3, N) - A(s_1, s_2, s_3, S) \quad (3.3)$$

of the corresponding areas defines a simple symplectic invariant breaking the reflection symmetry with respect to the equatorial plane. Note that  $\Delta A$  vanishes if arguments lie along a geodesic line or if any two arguments co-incide. Quite generally, symplectic QFT differs from conformal QFT in that correlation functions do not possess singularities.

2. The reduction to 2-point correlation function gives a consistency conditions on the 3-point functions

$$\begin{aligned} \langle (\Phi_k(s_1)\Phi_l(s_2))\Phi_m(s_3) \rangle &= c_{kl}^r \int f(\Delta A(s_1, s_2, s)) \langle \Phi_r(s)\Phi_m(s_3) \rangle d\mu_s \\ &= \end{aligned} \quad (3.4)$$

$$c_{kl}^r c_{rm} \int f(\Delta A(s_1, s_2, s)) f(\Delta A(s, s_3, t)) d\mu_s d\mu_t . \quad (3.5)$$

Associativity requires that this expression equals to  $\langle \Phi_k(s_1)(\Phi_l(s_2)\Phi_m(s_3)) \rangle$  and this gives additional conditions. Associativity conditions apply to  $f(\Delta A)$  and could fix it highly uniquely.

3. 2-point correlation function would be given by

$$\langle \Phi_k(s_1)\Phi_l(s_2) \rangle = c_{kl} \int f(\Delta A(s_1, s_2, s)) d\mu_s \quad (3.6)$$

4. There is a clear difference between  $n > 3$  and  $n = 3$  cases: for  $n > 3$  also non-convex polygons are possible: this means that the interior angle associated with some vertices of the polygon is larger than  $\pi$ .  $n = 4$  theory is certainly well-defined, but one can argue that so are also  $n > 4$  theories and skeptic would argue that this leads to an inflation of theories. TGD however allows only finite number of preferred points and fusion rules could eliminate the hierarchy of theories.
5. To sum up, the general predictions are following. Quite generally, for  $f(0) = 0$  n-point correlation functions vanish if any two arguments co-incide which conforms with the spectrum of temperature fluctuations. It also implies that symplectic QFT is free of the usual singularities. For symmetry breaking scenario 3-point functions and thus also 2-point functions vanish also if  $s_1$  and  $s_2$  are at equator. All these are testable predictions using ensemble of CMB spectra.

### 3.5.4 Generalization to quantum TGD

(Number theoretic) braids are identifiable as boundaries of string world sheets at which the modes of induced spinor fields are localized in the generic case in Minkowskian space-time regions. Fundamental fermions can be assigned to these lines. Braids are the basic objects of quantum TGD, one can hope that the n-point functions assignable to them could code the properties of ground states and that one could separate from n-point functions the parts which correspond to the symplectic degrees of freedom acting as symmetries of vacuum extremals and isometries of the “world of classical worlds”.

1. This approach indeed seems to generalize also to quantum TGD proper and the n-point functions associated with partonic 2-surfaces can be decomposed in such a manner that one obtains coefficients which are symplectic invariants associated with both  $S^2$  and  $CP_2$  Kähler form.

2. Fusion rules imply that the gauge fluxes of respective Kähler forms over geodesic triangles associated with the  $S^2$  and  $CP_2$  projections of the arguments of 3-point function serve basic building blocks of the correlation functions. The North and South poles of  $S^2$  and three poles of  $CP_2$  can be used to construct symmetry breaking n-point functions as symplectic invariants. Non-trivial 1-point functions vanish also now.
3. The important implication is that n-point functions vanish when some of the arguments co-incide. This might play a crucial role in taming of the singularities: the basic general prediction of TGD is that standard infinities of local field theories should be absent and this mechanism might realize this expectation.

Next some more technical but elementary first guesses about what might be involved.

1. It is natural to introduce the moduli space for n-tuples of points of the symplectic manifold as the space of symplectic equivalence classes of n-tuples. In the case of sphere  $S^2$  convex n-polygon allows  $n + 1$  3-sub-polygons and the areas of these provide symplectically invariant coordinates for the moduli space of symplectic equivalence classes of n-polygons ( $2^n$ -D space of polygons is reduced to  $n + 1$ -D space). For non-convex polygons the number of 3-sub-polygons is reduced so that they seem to correspond to lower-dimensional sub-space. In the case of  $CP_2$  n-polygon allows besides the areas of 3-polygons also 4-volumes of 5-polygons as fundamental symplectic invariants. The number of independent 5-polygons for n-polygon can be obtained by using induction: once the numbers  $N(k, n)$  of independent  $k \leq n$ -simplices are known for n-simplex, the numbers of  $k \leq n + 1$ -simplices for  $n + 1$ -polygon are obtained by adding one vertex so that by little visual gymnastics the numbers  $N(k, n + 1)$  are given by  $N(k, n + 1) = N(k - 1, n) + N(k, n)$ . In the case of  $CP_2$  the allowance of 3 analogs  $\{N, S, T\}$  of North and South poles of  $S^2$  means that besides the areas of polygons  $(s_1, s_2, s_3)$ ,  $(s_1, s_2, s_3, X)$ ,  $(s_1, s_2, s_3, X, Y)$ , and  $(s_1, s_2, s_3, N, S, T)$  also the 4-volumes of 5-polygons  $(s_1, s_2, s_3, X, Y)$ , and of 6-polygon  $(s_1, s_2, s_3, N, S, T)$ ,  $X, Y \in \{N, S, T\}$  can appear as additional arguments in the definition of 3-point function.
2. What one really means with symplectic tensor is not clear since the naive first guess for the n-point function of tensor fields is not manifestly general coordinate invariant. For instance, in the model of CMB, the components of the metric deformation involving  $S^2$  indices would be symplectic tensors. Tensorial n-point functions could be reduced to those for scalars obtained as inner products of tensors with Killing vector fields of  $SO(3)$  at  $S^2$ . Again a preferred choice of quantization axis would be introduced and special points would correspond to the singularities of the Killing vector fields.  

The decomposition of Hamiltonians of the “world of classical worlds” expressible in terms of Hamiltonians of  $S^2 \times CP_2$  to irreps of  $SO(3)$  and  $SU(3)$  could define the notion of symplectic tensor as the analog of spherical harmonic at the level of WCW . Spin and gluon color would have natural interpretation as symplectic spin and color. The infinitesimal action of various Hamiltonians on n-point functions defined by Hamiltonians and their super counterparts is well-defined and group theoretical arguments allow to deduce general form of n-point functions in terms of symplectic invariants.
3. The need to unify p-adic and real physics by requiring them to be completions of rational physics, and the notion of finite measurement resolution suggest that discretization of also fusion algebra is necessary. The set of points appearing as arguments of n-point functions could be finite in a given resolution so that the p-adically troublesome integrals in the formulas for the fusion rules would be replaced with sums. Perhaps rational/algebraic variants of  $S^2 \times CP_2 = SO(3)/SO(2) \times SU(3)/U(2)$  obtained by replacing these groups with their rational/algebraic variants are involved. Tetrahedra, octahedra, and dodecahedra suggest themselves as simplest candidates for these discretized spaces. Also the symplectic moduli space would be discretized to contain only n-tuples for which the symplectic invariants are numbers in the allowed algebraic extension of rationals. This would provide an abstract looking but actually very concrete operational approach to the discretization involving only areas of n-tuples as internal coordinates of symplectic equivalence classes of n-tuples. The best that one could achieve would be a formulation involving nothing below measurement resolution.

4. This picture based on elementary geometry might make sense also in the case of conformal symmetries. The angles associated with the vertices of the  $S^2$  projection of n-polygon could define conformal invariants appearing in n-point functions and the algebraization of the corresponding phases would be an operational manner to introduce the space-time correlates for the roots of unity introduced at quantum level. In  $CP_2$  degrees of freedom the projections of  $n$ -tuples to the homologically trivial geodesic sphere  $S^2$  associated with the particular sector of  $CH$  would allow to define similar conformal invariants. This framework gives dimensionless areas (unit sphere is considered). p-Adic length scale hypothesis and hierarchy of Planck constants would bring in the fundamental units of length and time in terms of  $CP_2$  length.

The recent view about  $M$ -matrix described in [K4] is something almost unique determined by Connes tensor product providing a formal realization for the statement that complex rays of state space are replaced with  $\mathcal{N}$  rays where  $\mathcal{N}$  defines the hyper-finite sub-factor of type  $II_1$  defining the measurement resolution.  $M$ -matrix defines time-like entanglement coefficients between positive and negative energy parts of the zero energy state and need not be unitary. It is identified as square root of density matrix with real expressible as product of of real and positive square root and unitary S-matrix. This S-matrix is what is measured in laboratory. There is also a general vision about how vertices are realized: they correspond to light-like partonic 3-surfaces obtained by gluing incoming and outgoing partonic 3-surfaces along their ends together just like lines of Feynman diagrams. Note that in string models string world sheets are non-singular as 2-manifolds whereas 1-dimensional vertices are singular as 1-manifolds. These ingredients we should be able to fuse together. So we try once again!

1. *Iteration* starting from vertices and propagators is the basic approach in the construction of n-point function in standard QFT. This approach does not work in quantum TGD. Symplectic and conformal field theories suggest that *recursion* replaces iteration in the construction. One starts from an n-point function and reduces it step by step to a vacuum expectation value of a 2-point function using fusion rules. Associativity becomes the fundamental dynamical principle in this process. Associativity in the sense of classical number fields has already shown its power and led to a hyper-octonionic formulation of quantum TGD promising a unification of various visions about quantum TGD [K23].
2. Let us start from the representation of a zero energy state in terms of a causal diamond defined by future and past directed light-cones. Zero energy state corresponds to a quantum superposition of light-like partonic 3-surfaces each of them representing possible particle reaction. These 3-surfaces are very much like generalized Feynman diagrams with lines replaced by light-like 3-surfaces coming from the upper and lower light-cone boundaries and glued together along their ends at smooth 2-dimensional surfaces defining the generalized vertices.
3. It must be emphasized that the generalization of ordinary Feynman diagrammatics arises and conformal and symplectic QFTs appear only in the calculation of single generalized Feynman diagram. Therefore one could still worry about loop corrections. The fact that no integration over loop momenta is involved and there is always finite cutoff due to discretization together with recursive instead of iterative approach gives however good hopes that everything works. Note that this picture is in conflict with one of the earlier approaches based on positive energy ontology in which the hope was that only single generalized Feynman diagram could define the U-matrix thought to correspond directly to physical S-matrix at that time.
4. One can actually simplify things by identifying generalized Feynman diagrams as maxima of Kähler function with functional integration carried over perturbations around it. Thus one would have conformal field theory in both fermionic and WCW degrees of freedom. The light-like time coordinate along light-like 3-surface is analogous to the complex coordinate of conformal field theories restricted to some curve. If it is possible continue the light-like time coordinate to a hyper-complex coordinate in the interior of 4-D space-time sheet, the correspondence with conformal field theories becomes rather concrete. Same applies to the light-like radial coordinates associated with the light-cone boundaries. At light-cone

boundaries one can apply fusion rules of a symplectic QFT to the remaining coordinates. Conformal fusion rules are applied only to point pairs which are at different ends of the partonic surface and there are no conformal singularities since arguments of n-point functions do not co-incide. By applying the conformal and symplectic fusion rules one can eventually reduce the n-point function defined by the various fermionic and bosonic operators appearing at the ends of the generalized Feynman diagram to something calculable.

5. Finite measurement resolution defining the Connes tensor product is realized by the discretization applied to the choice of the arguments of n-point functions so that discretion is not only a space-time correlate of finite resolution but actually defines it. No explicit realization of the measurement resolution algebra  $\mathcal{N}$  seems to be needed. Everything should boil down to the fusion rules and integration measure over different 3-surfaces defined by exponent of Kähler function and by imaginary exponent of Chern-Simons action. The continuation of WCW Clifford algebra for 3-surfaces with cm degrees of freedom fixed to a hyper-octonionic variant of gamma matrix field of super-string models defined in  $M^8$  (hyper-octonionic space) and  $M^8 \leftrightarrow M^4 \times CP_2$  duality leads to a unique choice of the points, which can contribute to n-point functions as intersection of  $M^4$  subspace of  $M^8$  with the counterparts of partonic 2-surfaces at the boundaries of light-cones of  $M^8$ . Therefore there are hopes that the resulting theory is highly unique. Symplectic fusion algebra reduces to a finite algebra for each space-time surface if this picture is correct.
6. Consider next some of the details of how the light-like 3-surface codes for the fusion rules associated with it. The intermediate partonic 2-surfaces must be involved since otherwise the construction would carry no information about the properties of the light-like 3-surface, and one would not obtain perturbation series in terms of the relevant coupling constants. The natural assumption is that partonic 2-surfaces belong to future/past directed light-cone boundary depending on whether they are on lower/upper half of the causal diamond. Hyper-octonionic conformal field approach fixes the  $n_{int}$  points at intermediate partonic two-sphere for a given light-like 3-surface representing generalized Feynman diagram, and this means that the contribution is just  $N$ -point function with  $N = n_{out} + n_{int} + n_{in}$  calculable by the basic fusion rules. Coupling constant strengths would emerge through the fusion coefficients, and at least in the case of gauge interactions they must be proportional to Kähler coupling strength since n-point functions are obtained by averaging over small deformations with vacuum functional given by the exponent of Kähler function. The first guess is that one can identify the spheres  $S^2 \subset \delta M_{\pm}^4$  associated with initial, final and, and intermediate states so that symplectic n-points functions could be calculated using single sphere.

These findings raise the hope that quantum TGD is indeed a solvable theory. The coupling constant evolution is based on the same mechanism as in QFT and symplectic invariance replaces ad hoc UV cutoff with a genuine dynamical regulation mechanism. Causal diamond itself defines the physical IR cutoff. p-Adic and real coupling constant evolutions reflect the underlying evolution in powers of two for the temporal distance between the tips of the light-cones of the causal diamond and the association of macroscopic time scale as secondary p-adic time scale to elementary particles (.1 seconds for electron) serves as a first test for the picture. Even if one is not willing to swallow any bit of TGD, the classification of the symplectic QFTs remains a fascinating mathematical challenge in itself. A further challenge is the fusion of conformal QFT and symplectic QFT in the construction of n-point functions. One might hope that conformal and symplectic fusion rules could be treated independently.

### 3.5.5 More detailed view about the construction of $M$ -matrix elements

After three decades there are excellent hopes of building an explicit recipe for constructing  $M$ -matrix elements but the devil is in the details.

#### 1. Elimination of infinities and coupling constant evolution

The elimination of infinities could follow from the symplectic QFT part of the theory. The symplectic contribution to n-point functions vanishes when two arguments co-incide. The UV

cancellation mechanism has nothing to do with the finite measurement resolution which corresponds to the size of the causal diamonds inside which the space-time sheets representing radiative corrections are. There is also IR cutoff due to the presence of largest causal diamond.

One can decompose the radiative corrections into two types. First kind of corrections appear both at the level of positive/and negative energy parts of zero energy states. Second kind of corrections appear at the level of interactions between them. This decomposition is standard in quantum field theories and corresponds to the renormalization constants of fields *resp.* renormalization of coupling constants. The corrections due to the increase of measurement resolution in time comes as very specific corrections to positive and negative energy states involving gluing of smaller causal diamonds to the upper and lower boundaries of causal diamonds along any radial light-like ray. The radiative corrections to the interactions correspond to the addition of smaller causal diamonds in the interior of the larger causal diamond. Scales for the corrections come as scalings in powers of 2 rather than as continuous scaling of measurement resolution.

UV finiteness is suggested also by the generalized Feynman rules providing a phenomenological view about what TGD predicts. According to these rules fundamental fermions propagate like massless particles. In twistor Grassmann approach residue integration is expected to reduce internal fermion lines to on mass shell propagation with non-physical helicity. The fundamental 4-fermion interaction is assignable to wormhole contact and corresponds to stringy exchange of four-momentum with propagator being defined by the inverse of super-conformal scaling generator  $1/L_0$ . Wormhole contacts carrying fermion and antifermion at their throats behave like fundamental bosons. Stringy propagators at wormhole contacts make TGD rules a hybrid of Feynman and stringy rules. Stringy propagators are necessary in order to avoid logarithmic divergences. Higher mass excitations crucial for finiteness belong to the representations of super-conformal algebra and can be regarded as bound states of massless fermions. Massivation of external particles allows to avoid infrared divergences. Not only physical bosons but also physical fermions emerge from fundamental massless fermions.

## 2. Conformal symmetries

The basic questions are the following ones. How hyper-octonionic/-quaternionic/-complex super-conformal symmetry relates to the super-symplectic conformal symmetry at the imbedding space level and the super Kac-Moody symmetry associated with the light-like 3-surfaces? How do the dual  $HO = M^8$  and  $H = M^4 \times CP_2$  descriptions (number theoretic compactification) relate?

Concerning the understanding of these issues, the earlier construction of physical states poses strong constraints [K5].

1. The state construction utilizes both super-symplectic and super Kac-Moody algebras. super-symplectic algebra has negative conformal weights and creates tachyonic ground states from which Super Kac-Moody algebra generates states with non-negative conformal weight determining the mass squared value of the state. The commutator of these two algebras annihilates the physical states. This requires that both super conformal algebras must allow continuation to hyper-octonionic algebras, which are independent.
2. The light-like radial coordinate at  $\delta M_{\pm}^4$  can be continued to a hyper-complex coordinate in  $M_{\pm}^2$  defined the preferred commutative plane of non-physical polarizations, and also to a hyper-quaternionic coordinate in  $M_{\pm}^4$ . Hence it would seem that super-symplectic algebra can be continued to an algebra in  $M_{\pm}^2$  or perhaps in the entire  $M_{\pm}^4$ . This would allow to continue also the operators  $G$ ,  $L$  and other super-symplectic operators to operators in hyper-quaternionic  $M_{\pm}^4$  needed in stringy perturbation theory.
3. Also the super KM algebra associated with the light-like 3-surfaces should be continueable to hyper-quaternionic  $M_{\pm}^4$ . Here  $HO - H$  duality comes in rescue. It requires that the preferred hyper-complex plane  $M^2$  is contained in the tangent plane of the space-time sheet at each point, in particular at light-like 3-surfaces. We already know that this allows to assign a unique space-time surface to a given collection of light-like 3-surfaces as hyper-quaternionic 4-surface of  $HO$  hypothesized to correspond to (an obviously preferred) extremal of Kähler action. An equally important implication is that the light-like coordinate of  $X^3$  can be continued to hyper-complex coordinate  $M^2$  coordinate and thus also to hyperquaternionic  $M^4$  coordinate.

4. The four-momentum appears in super generators  $G_n$  and  $L_n$ . It seems that the formal Fourier transform of four-momentum components to gradient operators to  $M_{\pm}^4$  is needed and defines these operators as particular elements of the WCW Clifford algebra elements extended to fields in imbedding space.

3. *What about stringy perturbation theory?*

The analog of stringy perturbation theory does not seem only a highly attractive but also an unavoidable outcome since a generalization of massless fermionic propagator is needed. The inverse for the sum of super Kac-Moody and super-symplectic super-Virasoro generators  $G$  ( $L$ ) extended to an operator acting on the difference of the  $M^4$  coordinates of the end points of the propagator line connecting two partonic 2-surfaces should appear as fermionic (bosonic) propagator in stringy perturbation theory. Virasoro conditions imply that only  $G_0$  and  $L_0$  appear as propagators. Momentum eigenstates are not strictly speaking possible since discretization is present due to the finite measurement resolution. One can however represent these states using Fourier transform as a superposition of momentum eigenstates so that standard formalism can be applied.

Symplectic QFT gives an additional multiplicative contribution to n-point functions and there would be also braiding S-matrices involved with the propagator lines in the case that partonic 2-surface carries more than 1 point. This leaves still modular degrees of freedom of the partonic 2-surfaces describable in terms of elementary particle vacuum functionals and the proper treatment of these degrees of freedom remains a challenge.

4. *What about non-hermiticity of the WCW super-generators carrying fermion number?*

TGD represents also a rather special challenge, which actually represents the fundamental difference between quantum TGD and super string models. The assignment of fermion number to WCW gamma matrices and thus also to the super-generator  $G$  is unavoidable. Also  $M^4$  and  $H$  gamma matrices carry fermion number. This has been a long-standing interpretational problem in quantum TGD and I have been even ready to give up the interpretation of four-momentum operator appearing in  $G_n$  and  $L_n$  as actual four-momenta. The manner to get rid of this problem would be the assumption of Majorana property but this would force to give up the interpretation of different imbedding space chiralities in terms of conserved lepton and quark numbers and would also lead to super-string theory with critical dimension 10 or 11. A further problem is how to obtain amplitudes which respect fermion number conservation using string perturbation theory if  $1/G = G^\dagger/L_0$  carries fermion number.

The recent picture does not leave many choices so that I was forced to face the truth and see how everything falls down to this single nasty detail! It became as a total surprise that gamma matrices carrying fermion number do not cause any difficulties in zero energy ontology and make sense even in the ordinary Feynman diagrammatics.

1. Non-hermiticity of  $G$  means that the center of mass terms  $CH$  gamma matrices must be distinguished from their Hermitian conjugates. In particular, one has  $\gamma_0 \neq \gamma_0^{agger}$ . One can interpret the fermion number carrying  $M^4$  gamma matrices of the complexified quaternion space.
2. One might think that  $M^4 \times CP_2$  gamma matrices carrying fermion number is a catastrophe but this is not the case in massless theory. Massless momentum eigen states can be created by the operator  $p^k \gamma_k^\dagger$  from a vacuum annihilated by gamma matrices and satisfying massless Dirac equation. The conserved fermion number defined by the integral of  $\bar{\Psi} \gamma^0 \Psi$  over 3-space gives just its standard value. A further experimentation shows that Feynman diagrams with non-hermitian gamma matrices give just the standard results since ordinary fermionic propagator and boson-emission vertices at the ends of the line containing WCW gamma matrix and its conjugate give compensating fermion numbers [K24].
3. If the theory would contain massive fermions or a coupling to a scalar Higgs, a catastrophe would result. Hence ordinary Higgs mechanism is not possible in this framework. Of course, also the quantization of fermions is totally different. In TGD fermion mass is not a scalar in  $H$ . Part of it is given by  $CP_2$  Dirac operator, part by p-adic thermodynamics for  $L_0$ , and part by Higgs field which behaves like vector field in  $CP_2$  degrees of freedom, so that the catastrophe is avoided.

4. In zero energy ontology zero energy states are characterized by  $M$ -matrix elements constructed by applying the combination of stringy and symplectic Feynman rules and fermionic propagator is replaced with its super-conformal generalization reducing to an ordinary fermionic propagator for massless states. The norm of a single fermion state is given by a propagator connecting positive energy state and its conjugate with the propagator  $G_0/L_0$  and the standard value of the norm is obtained by using Dirac equation and the fact that Dirac operator appears also in  $G_0$ .
5. The hermiticity of super-generators  $G$  would require Majorana property and one would end up with superstring theory with critical dimension  $D = 10$  or  $D = 11$  for the imbedding space. Hence the new interpretation of gamma matrices, proposed already years ago, has very profound consequences and convincingly demonstrates that TGD approach is indeed internally consistent.

In this framework coupling constant evolution would correspond evolution as a function of the scale of CD. It might have interpretation also in terms of addition of intermediate zero energy states corresponding to the generalized Feynman diagrams obtained by the insertion of causal diamonds with a new shorter time scale  $T = T_{prev}/2$  to the previous Feynman diagram as the size of CD is increased. p-Adic length scale hypothesis follows naturally. A very close correspondence with ordinary Feynman diagrammatics arises and ordinary vision about coupling constant evolutions arises. The absence of infinities follows from the symplectic invariance which is genuinely new element. p-Adic and real coupling constant evolutions can be seen as completions of coupling constant evolutions for physics based on rationals and their algebraic extensions.

## 4 Number Theoretic Compactification And $M^8 - H$ Duality

This section summarizes the basic vision about number theoretic compactification reducing the classical dynamics to associativity or co-associativity. Originally  $M^8 - H$  duality was introduced as a number theoretic explanation for  $H = M^4 \times CP_2$ . Much later it turned out that the completely exceptional twistorial properties of  $M^4$  and  $CP_2$  are enough to justify  $X^4 \subset H$  hypothesis. Skeptic could therefore criticize the introduction of  $M^8$  (actually its complexification) as an un-necessary mathematical complication producing only unproven conjectures and bundle of new statements to be formulated precisely. However, if quaternionicity can be realized in terms of  $M_c^8$  using  $O_c$ -real analytic functions and if quaternionicity is equivalent with preferred extremal property, a huge simplification results and one can say that field equations are exactly solvable.

One can question the feasibility of  $M^8 - H$  duality if the dynamics is purely number theoretic at the level of  $M^8$  and determined by Kähler action at the level of  $H$ . Situation becomes more democratic if Kähler action defines the dynamics in both  $M^8$  and  $H$ : this might mean that associativity could imply field equations for preferred extremals or vice versa or there might be equivalence between two. This means the introduction Kähler structure at the level of  $M^8$ , and motivates also the coupling of Kähler gauge potential to  $M^8$  spinors characterized by Kähler charge or em charge. One could call this form of duality strong form of  $M^8 - H$  duality.

The strong form  $M^8 - H$  duality boils down to the assumption that space-time surfaces can be regarded either as 4-surfaces of  $H$  or as surfaces of  $M^8$  or even  $M_c^8$  composed of associative and co-associative regions identifiable as regions of space-time possessing Minkowskian *resp.* Euclidian signature of the induced metric. They have the same induced metric and Kähler form and WCW associated with  $H$  should be essentially the same as that associated with  $M^8$ . Associativity corresponds to hyper-quaternionicity at the level of tangent space and co-associativity to co-hyper-quaternionicity - that is associativity/hyper-quaternionicity of the normal space. Both are needed to cope with known extremals. Since in Minkowskian context precise language would force to introduce clumsy terms like hyper-quaternionicity and co-hyper-quaternionicity, it is better to speak just about associativity or co-associativity.

*Remark:* The original assumption was that space-times could be regarded as surfaces in  $M^8$  rather than in its complexification  $M_c^8$  identifiable as complexified octonions. This assumption is un-necessarily strong and if one assumes that octonion-real analytic functions characterize these surfaces  $M_c^8$  must be assumed.



For the octonionic spinor fields the octonionic analogs of electroweak couplings reduce to mere Kähler or electromagnetic coupling and the solutions reduce to those for spinor d'Alembertian in 4-D harmonic potential breaking  $SO(4)$  symmetry. Due to the enhanced symmetry of harmonic oscillator, one expects that partial waves are classified by  $SU(4)$  and by reduction to  $SU(3) \times U(1)$  by em charge and color quantum numbers just as for  $CP_2$  - at least formally.

Harmonic oscillator potential defined by self-dual em field splits  $M^8$  to  $M^4 \times E^4$  and implies Gaussian localization of the spinor modes near origin so that  $E^4$  effectively compactifies. The resulting physics brings strongly in mind low energy physics, where only electromagnetic interaction is visible directly, and one cannot avoid associations with low energy hadron physics. These are some of the reasons for considering  $M^8 - H$  duality as something more than a mere mathematical curiosity.

**Remark:** The Minkowskian signatures of  $M^8$  and  $M^4$  produce technical nuisance. One could overcome them by Wick rotation, which is however somewhat questionable trick.  $M_c^8 = O_c$  provides the proper formulation.

1. The proper formulation is in terms of complexified octonions and quaternions involving the introduction of commuting imaginary unit  $j$ . If complexified quaternions are used for  $H$ , Minkowskian signature requires the introduction of two commuting imaginary units  $j$  and  $i$  meaning double complexification.
2. Hyper-quaternions/octonions define as subspace of complexified quaternions/octonions spanned by real unit and  $jI_k$ , where  $I_k$  are quaternionic units. These spaces are obviously not closed under multiplication. One can however define the notion of associativity for the subspace of  $M^8$  by requiring that the products and sums of the tangent space vectors generate complexified quaternions.
3. Ordinary quaternions  $Q$  are expressible as  $q = q_0 + q^k I_k$ . Hyper-quaternions are expressible as  $q = q_0 + jq^k I_k$  and form a subspace of complexified quaternions  $Q_c = Q \oplus jQ$ . Similar formula applies to octonions and their hyper counterparts which can be regarded as subspaces of complexified octonions  $O \oplus jO$ . Tangent space vectors of  $H$  correspond hyper-quaternions  $q_H = q_0 + jq^k I_k + jiq_2$  defining a subspace of doubly complexified quaternions: note the appearance of two imaginary units.

The recent definitions of associativity and  $M^8$  duality has evolved slowly from in-accurate characterizations and there are still open questions.

1. Kähler form for  $M^8$  non-trivial only in  $E^4 \subset M^8$  implies unique decomposition  $M^8 = M^4 \times E^4$  needed to define  $M^8 - H$  duality uniquely. This applies also to  $M_c^8$ . This forces to introduce also Kähler action, induced metric and induced Kähler form. Could strong form of duality meant that the space-time surfaces in  $M^8$  and  $H$  have same induced metric and induced Kähler form? Could the WCW s associated with  $M^8$  and  $H$  be identical with this assumption so that duality would provide different interpretations for the same physics?
2. One can formulate associativity in  $M^8$  (or  $M_c^8$ ) by introducing octonionic structure in tangent spaces or in terms of the octonionic representation for the induced gamma matrices. Does the notion have counterpart at the level of  $H$  as one might expect if Kähler action is involved in both cases? The analog of this formulation in  $H$  might be as quaternionic "reality" since tangent space of  $H$  corresponds to complexified quaternions: I have however found no acceptable definition for this notion.

The earlier formulation is in terms of octonionic flat space gamma matrices replacing the ordinary gamma matrices so that the formulation reduces to that in  $M^8$  tangent space. This formulation is enough to define what associativity means although one can protest. Somehow  $H$  is already complex quaternionic and thus associative. Perhaps this just what is needed since dynamics has two levels: *imbedding space level* and *space-time level*. One must have imbedding space spinor harmonics assignable to the ground states of super-conformal representations and quaternionicity and octonionicity of  $H$  tangent space would make sense at the level of space-time surfaces.

3. Whether the associativity using induced gamma matrices works is not clear for massless extremals (MEs) and vacuum extremals with the dimension of  $CP_2$  projection not larger than 2.
4. What makes this notion of associativity so fascinating is that it would allow to iterate duality as a sequence  $M^8 \rightarrow H \rightarrow H \dots$  by mapping the space-time surface to  $M^4 \times CP_2$  by the same recipe as in case of  $M^8$ . This brings in mind the functional composition of  $O_c$ -real analytic functions ( $O_c$  denotes complexified octonions: complexification is forced by Minkowskian signature) suggested to produce associative or co-associative surfaces. The associative (co-associative) surfaces in  $M^8$  would correspond to loci for vanishing of imaginary (real) part of octonion-real-analytic function.

It might be possible to define associativity in  $H$  also in terms of Kähler-Dirac gamma matrices defined by Kähler action (certainly not  $M^8$ ).

1. All known extremals are associative or co-associative in  $H$  in this sense. This would also give direct correlation with the variational principle. For the known preferred extremals this variant is successful partially because the Kähler-Dirac gamma matrices need not span the entire tangent space. The space spanned by the Kähler-Dirac gammas is not necessarily tangent space. For instance for  $CP_2$  type vacuum extremals the Kähler-Dirac gamma matrices are  $CP_2$  gamma matrices plus an additional light-like component from  $M^4$  gamma matrices.

If the space spanned by Kähler-Dirac gammas has dimension  $D$  smaller than 3 co-associativity is automatic. If the dimension of this space is  $D = 3$  it can happen that the triplet of gammas spans by multiplication entire octonionic algebra. For  $D = 4$  the situation is of course non-trivial.

2. For Kähler-Dirac gamma matrices the notion of co-associativity can produce problems since Kähler-Dirac gamma matrices do not in general span the tangent space. What does co-associativity mean now? Should one replace normal space with orthogonal complement of the space spanned by Kähler-Dirac gamma matrices? Co-associativity option must be considered for  $D = 4$  only.  $CP_2$  type vacuum extremals provide a good example. In this case the Kähler-Dirac gamma matrices reduce to sums of ordinary  $CP_2$  gamma matrices and light-like  $M^4$  contribution. The orthogonal complement for the Kähler-Dirac gamma matrices consists of dual light-like gamma matrix and two gammas orthogonal to it: this space is subspace of  $M^4$  and trivially associative.

#### 4.1 Basic Idea Behind $M^8 - M^4 \times CP_2$ Duality

If four-surfaces  $X^4 \subset M^8$  under some conditions define 4-surfaces in  $M^4 \times CP_2$  indirectly, the spontaneous compactification of super string models would correspond in TGD to two different manners to interpret the space-time surface. This correspondence could be called number theoretical compactification or  $M^8 - H$  duality.

The hard mathematical facts behind the notion of number theoretical compactification are following.

1. One must assume that  $M^8$  has unique decomposition  $M^8 = M^4 \times E^4$ . This decomposition generalizes also to the case of  $M_c^8$ . This would be most naturally due to Kähler structure in  $E^4$  defined by a self-dual Kähler form defining parallel constant electric and magnetic fields in Euclidian sense. Besides Kähler form there is vector field coupling to sigma matrix representing the analog of strong isospin: the corresponding octonionic sigma matrix however is imaginary unit times gamma matrix - say  $ie_1$  in  $M^4$  - defining a preferred plane  $M^2$  in  $M^4$ . Here it is essential that the gamma matrices of  $E^4$  defined in terms of octonion units commute to gamma matrices in  $M^4$ . What is involved becomes clear from the Fano triangle illustrating octonionic multiplication table.
2. The space of hyper-complex structures of the hyper-octonion space - they correspond to the choices of plane  $M^2 \subset M^8$  - is parameterized by 6-sphere  $S^6 = G^2/SU(3)$ . The subgroup  $SU(3)$  of the full automorphism group  $G_2$  respects the a priori selected complex structure

and thus leaves invariant one octonionic imaginary unit, call it  $e_1$ . Fixed complex structure therefore corresponds to a point of  $S^6$ .

3. Quaternionic sub-algebras of  $M^8$  (and  $M_c^8$ ) are parametrized by  $G_2/U(2)$ . The quaternionic sub-algebras of octonions with fixed complex structure (that is complex sub-space defined by real and preferred imaginary unit and parametrized by a point of  $S^6$ ) are parameterized by  $SU(3)/U(2) = CP_2$  just as the complex planes of quaternion space are parameterized by  $CP_1 = S^2$ . Same applies to hyper-quaternionic sub-spaces of hyper-octonions.  $SU(3)$  would thus have an interpretation as the isometry group of  $CP_2$ , as the automorphism sub-group of octonions, and as color group. Thus the space of quaternionic structures can be parametrized by the 10-dimensional space  $G_2/U(2)$  decomposing as  $S^6 \times CP_2$  locally.
4. The basic result behind number theoretic compactification and  $M^8 - H$  duality is that associative sub-spaces  $M^4 \subset M^8$  containing a fixed commutative sub-space  $M^2 \subset M^8$  are parameterized by  $CP_2$ . The choices of a fixed hyper-quaternionic basis  $1, e_1, e_2, e_3$  with a fixed complex sub-space (choice of  $e_1$ ) are labeled by  $U(2) \subset SU(3)$ . The choice of  $e_2$  and  $e_3$  amounts to fixing  $e_2 \pm \sqrt{-1}e_3$ , which selects the  $U(2) = SU(2) \times U(1)$  subgroup of  $SU(3)$ .  $U(1)$  leaves 1 invariant and induced a phase multiplication of  $e_1$  and  $e_2 \pm e_3$ .  $SU(2)$  induces rotations of the spinor having  $e_2$  and  $e_3$  components. Hence all possible completions of  $1, e_1$  by adding  $e_2, e_3$  doublet are labeled by  $SU(3)/U(2) = CP_2$ .

Consider now the formulation of  $M^8 - H$  duality.

1. The idea of the standard formulation is that associative manifold  $X^4 \subset M^8$  has at its each point associative tangent plane. That is  $X^4$  corresponds to an integrable distribution of  $M^2(x) \subset M^8$  parametrized 4-D coordinate  $x$  that is map  $x \rightarrow S^6$  such that the 4-D tangent plane is hyper-quaternionic for each  $x$ .
2. Since the Kähler structure of  $M^8$  implies unique decomposition  $M^8 = M^4 \times E^4$ , this surface in turn defines a surface in  $M^4 \times CP_2$  obtained by assigning to the point of 4-surface point  $(m, s) \in H = M^4 \times CP_2$ :  $m \in M^4$  is obtained as *projection*  $M^8 \rightarrow M^4$  (this is modification to the earlier definition) and  $s \in CP_2$  parametrizes the quaternionic tangent plane as point of  $CP_2$ . Here the local decomposition  $G_2/U(2) = S^6 \times CP_2$  is essential for achieving uniqueness.
3. One could also map the associative surface in  $M^8$  to surface in 10-dimensional  $S^6 \times CP_2$ . In this case the metric of the image surface cannot have Minkowskian signature and one cannot assume that the induced metrics are identical. It is not known whether  $S^6$  allows genuine complex structure and Kähler structure which is essential for TGD formulation.
4. Does duality imply the analog of associativity for  $X^4 \subset H$ ? The tangent space of  $H$  can be seen as a sub-space of doubly complexified quaternions. Could one think that quaternionic sub-space is replaced with sub-space analogous to that spanned by real parts of complexified quaternions? The attempts to define this notion do not however look promising. One can however define associativity and co-associativity for the tangent space  $M^8$  of  $H$  using octonionization and can formulate it also terms of induced gamma matrices.
5. The associativity defined in terms of induced gamma matrices in both in  $M^8$  and  $H$  has the interesting feature that one can assign to the associative surface in  $H$  a new associative surface in  $H$  by assigning to each point of the space-time surface its  $M^4$  projection and point of  $CP_2$  characterizing its associative tangent space or co-associative normal space. It seems that one continue this series ad infinitum and generate new solutions of field equations! This brings in mind iteration which is standard manner to generate fractals as limiting sets. This certainly makes the heart of mathematician beat.
6. Kähler structure in  $E^4 \subset M^8$  guarantees natural  $M^4 \times E^4$  decomposition. Does associativity imply preferred extremal property or vice versa, or are the two notions equivalent or only consistent with each other for preferred extremals?

A couple of comments are in order.

1. This definition generalizes to the case of  $M_c^8$ : all that matters is that tangent space is complexified quaternionic and there is a unique identification  $M^4 \subset M_c^8$ : this allows to assign the point of 4-surfaces a point of  $M^4 \times CP_2$ . The generalization is needed if one wants to formulate the hypothesis about  $O_c$  real-analyticity as a manner to build quaternionic space-time surfaces properly.
2. This definition differs from the first proposal for years ago stating that each point of  $X^4$  contains a *fixed*  $M^2 \subset M^4$  rather than  $M_2(x) \subset M^8$  and also from the proposal assuming integrable distribution of  $M^2(x) \subset M^4$ . The older proposals are not consistent with the properties of massless extremals and string like objects for which the counterpart of  $M^2$  depends on space-time point and is not restricted to  $M^4$ . The earlier definition  $M^2(x) \subset M^4$  was problematic in the co-associative case since for the Euclidian signature is not clear what the counterpart of  $M^2(x)$  could be.
3. The new definition is consistent with the existence of Hamilton-Jacobi structure meaning slicing of space-time surface by string world sheets and partonic 2-surfaces with points of partonic 2-surfaces labeling the string world sheets [K3]. This structure has been proposed to characterize preferred extremals in Minkowskian space-time regions at least.
4. Co-associative Euclidian 4-surfaces, say  $CP_2$  type vacuum extremal do not contain integrable distribution of  $M^2(x)$ . It is normal space which contains  $M^2(x)$ . Does this have some physical meaning? Or does the surface defined by  $M^2(x)$  have Euclidian analog?

A possible identification of the analog would be as string world sheet at which  $W$  boson field is pure gauge so that the modes of the modified Dirac operator [K26] restricted to the string world sheet have well-defined em charge. This condition appears in the construction of solutions of Kähler-Dirac operator.

For octonionic spinor structure the  $W$  coupling is however absent so that the condition does not make sense in  $M^8$ . The number theoretic condition would be as commutative or co-commutative surface for which imaginary units in tangent space transform to real and imaginary unit by a multiplication with a fixed imaginary unit! One can also formulate co-associativity as a condition that tangent space becomes associative by a multiplication with a fixed imaginary unit.

There is also another justification for the distribution of Euclidian tangent planes. The idea about associativity as a fundamental dynamical principle can be strengthened to the statement that space-time surface allows slicing by hyper-complex or complex 2-surfaces, which are commutative or co-commutative inside space-time surface. The physical interpretation would be as Minkowskian or Euclidian string world sheets carrying spinor modes. This would give a connection with string model and also with the conjecture about the general structure of preferred extremals.

5. Minimalist could argue that the minimal definition requires octonionic structure and associativity *only* in  $M^8$ . There is no need to introduce the counterpart of Kähler action in  $M^8$  since the dynamics would be based on associativity or co-associativity alone. The objection is that one must assume the decomposition  $M^8 = M^4 \times E^4$  without any justification.

The map of space-time surfaces to those of  $H = M^4 \times CP_2$  implies that the space-time surfaces in  $H$  are in well-defined sense quaternionic. As a matter of fact, the standard spinor structure of  $H$  can be regarded as quaternionic in the sense that gamma matrices are essentially tensor products of quaternionic gamma matrices and reduce in matrix representation for quaternions to ordinary gamma matrices. Therefore the idea that one should introduce octonionic gamma matrices in  $H$  is questionable. If all goes as in dreams, the mere associativity or co-associativity would code for the preferred extremal property of Kähler action in  $H$ . One could at least hope that associativity/co-associativity in  $H$  is consistent with the preferred extremal property.

6. One can also consider a variant of associativity based on modified gamma matrices - but only in  $H$ . This notion does not make sense in  $M^8$  since the very existence of quaternionic tangent plane makes it possible to define  $M^8 - H$  duality map. The associativity for modified gamma

matrices is however consistent with what is known about extremals of Kähler action. The associativity based on induced gamma matrices would correspond to the use of the space-time volume as action. Note however that gamma matrices are *not* necessary in the definition.

## 4.2 Hyper-Octonionic Pauli “Matrices” And The Definition Of Associativity

Octonionic Pauli matrices suggest an interesting possibility to define precisely what associativity means at the level of  $M^8$  using gamma matrices (for background see [K25] ).

1. According to the standard definition space-time surface  $X^4 \subset M^8$  is associative if the tangent space at each point of  $X^4$  in  $X^4 \subset M^8$  picture is associative. The definition can be given also in terms of octonionic gamma matrices whose definition is completely straightforward.
2. Could/should one define the analog of associativity at the level of  $H$ ? One can identify the tangent space of  $H$  as  $M^8$  and can define octonionic structure in the tangent space and this allows to define associativity locally. One can replace gamma matrices with their octonionic variants and formulate associativity in terms of them locally and this should be enough.

Skeptic however reminds  $M^4$  allows hyper-quaternionic structure and  $CP_2$  quaternionic structure so that complexified quaternionic structure would look more natural for  $H$ . The tangent space would decompose as  $M^8 = HQ + ijQ$ , where  $j$  is commuting imaginary unit and  $HQ$  is spanned by real unit and by units  $iI_k$ , where  $i$  second commuting imaginary unit and  $I_k$  denotes quaternionic imaginary units. There is no need to make anything associative.

There is however far from obvious that octonionic spinor structure can be (or need to be!) defined globally. The lift of the  $CP_2$  spinor connection to its octonionic variant has questionable features: in particular vanishing of the charged part and reduction of neutral part to photon. Therefore it is unclear whether associativity condition makes sense for  $X^4 \subset M^4 \times CP_2$ . What makes it so fascinating is that it would allow to iterate duality as a sequences  $M^8 \rightarrow H \rightarrow H \dots$ . This brings in mind the functional composition of octonion real-analytic functions suggested to produced associative or co-associative surfaces.

I have not been able to settle the situation. What seems the working option is associativity in both  $M^8$  and  $H$  and Kähler-Dirac gamma matrices defined by appropriate Kähler action and correlation between associativity and preferred extremal property.

## 4.3 Are Kähler And Spinor Structures Necessary In $M^8$ ?

If one introduces  $M^8$  as dual of  $H$ , one cannot avoid the idea that hyper-quaternionic surfaces obtained as images of the preferred extremals of Kähler action in  $H$  are also extremals of  $M^8$  Kähler action with same value of Kähler action defining Kähler function. As found, this leads to the conclusion that the  $M^8 - H$  duality is Kähler isometry. Coupling of spinors to Kähler potential is the next step and this in turn leads to the introduction of spinor structure so that quantum TGD in  $H$  should have full  $M^8$  dual.

### 4.3.1 Are also the 4-surfaces in $M^8$ preferred extremals of Kähler action?

It would be a mathematical miracle if associative and co-associative surfaces in  $M^8$  would be in 1-1 correspondence with preferred extremals of Kähler action. This motivates the question whether Kähler action make sense also in  $M^8$ . This does not exclude the possibility that associativity implies or is equivalent with the preferred extremal property.

One expects a close correspondence between preferred extremals: also now vacuum degeneracy is obtained, one obtains massless extremals, string like objects, and counterparts of  $CP_2$  type vacuum extremals. All known extremals would be associative or co-associative if modified gamma matrices define the notion (possible only in the case of  $H$ ).

The strongest form of duality would be that the space-time surfaces in  $M^8$  and  $H$  have same induced metric same induced Kähler form. The basic difference would be that the spinor connection for surfaces in  $M^8$  would be however neutral and have no left handed components and only em

gauge potential. A possible interpretation is that  $M^8$  picture defines a theory in the phase in which electroweak symmetry breaking has happened and only photon belongs to the spectrum.

The question is whether one can define WCW also for  $M^8$ . Certainly it should be equivalent with WCW for  $H$ : otherwise an inflation of poorly defined notions follows. Certainly the general formulation of the WCW geometry generalizes from  $H$  to  $M^8$ . Since the matrix elements of symplectic super-Hamiltonians defining WCW gamma matrices are well defined as matrix elements involve spinor modes with Gaussian harmonic oscillator behavior, the non-compactness of  $E^4$  does not pose any technical problems.

### 4.3.2 Spinor connection of $M^8$

There are strong physical constraints on  $M^8$  dual and they could kill the hypothesis. The basic constraint to the spinor structure of  $M^8$  is that it reproduces basic facts about electro-weak interactions. This includes neutral electro-weak couplings to quarks and leptons identified as different  $H$ -chiralities and parity breaking.

1. By the flatness of the metric of  $E^4$  its spinor connection is trivial.  $E^4$  however allows full  $S^2$  of covariantly constant Kähler forms so that one can accommodate free independent Abelian gauge fields assuming that the independent gauge fields are orthogonal to each other when interpreted as realizations of quaternionic imaginary units. This is possible but perhaps a more natural option is the introduction of just single Kähler form as in the case of  $CP_2$ .
2. One should be able to distinguish between quarks and leptons also in  $M^8$ , which suggests that one introduce spinor structure and Kähler structure in  $E^4$ . The Kähler structure of  $E^4$  is unique apart from  $SO(3)$  rotation since all three quaternionic imaginary units and the unit vectors formed from them allow a representation as an antisymmetric tensor. Hence one must select one preferred Kähler structure, that is fix a point of  $S^2$  representing the selected imaginary unit. It is natural to assume different couplings of the Kähler gauge potential to spinor chiralities representing quarks and leptons: these couplings can be assumed to be same as in case of  $H$ .
3. Electro-weak gauge potential has vectorial and axial parts. Em part is vectorial involving coupling to Kähler form and  $Z^0$  contains both axial and vector parts. The naive replacement of sigma matrices appearing in the coupling of electroweak gauge fields takes the left handed parts of these fields to zero so that only neutral part remains. Further, gauge fields correspond to curvature of  $CP_2$  which vanishes for  $E^4$  so that only Kähler form form remains. Kähler form couples to 3L and q so that the basic asymmetry between leptons and quarks remains. The resulting field could be seen as analog of photon.
4. The absence of weak parts of classical electro-weak gauge fields would conform with the standard thinking that classical weak fields are not important in long scales. A further prediction is that this distinction becomes visible only in situations, where  $H$  picture is necessary. This is the case at high energies, where the description of quarks in terms of  $SU(3)$  color is convenient whereas  $SO(4)$  QCD would require large number of  $E^4$  partial waves. At low energies large number of  $SU(3)$  color partial waves are needed and the convenient description would be in terms of  $SO(4)$  QCD. Proton spin crisis might relate to this.

### 4.3.3 Dirac equation for leptons and quarks in $M^8$

Kähler gauge potential would also couple to octonionic spinors and explain the distinction between quarks and leptons.

1. The complexified octonions representing  $H$  spinors decompose to  $1 + 1 + 3 + \bar{3}$  under  $SU(3)$  representing color automorphisms but the interpretation in terms of QCD color does not make sense. Rather, the triplet and single combine to two weak isospin doublets and quarks and leptons corresponds to to “spin” states of octonion valued 2-spinor. The conservation of quark and lepton numbers follows from the absence of coupling between these states.

2. One could modify the coupling so that coupling is on electric charge by coupling it to electromagnetic charge which as a combination of unit matrix and sigma matrix is proportional to  $1 + kI_1$ , where  $I_1$  is octonionic imaginary unit in  $M^2 \subset M^4$ . The complexified octonionic units can be chosen to be eigenstates of  $Q_{em}$  so that Laplace equation reduces to ordinary scalar Laplacian with coupling to self-dual em field.
3. One expects harmonic oscillator like behavior for the modes of the Dirac operator of  $M^8$  since the gauge potential is linear in  $E^4$  coordinates. One possibility is Cartesian coordinates is  $A(A_x, A_y, A_z, A_t) = k(-y, x, t, -z)$ . The coupling would make  $E^4$  effectively a compact space.
4. The square of Dirac operator gives potential term proportional to  $r^2 = x^2 + y^2 + z^2 + t^2$  so that the spectrum of 4-D harmonic oscillator operator and  $SO(4)$  harmonics localized near origin are expected. For harmonic oscillator the symmetry enhances to  $SU(4)$ .

If one replaces Kähler coupling with em charge symmetry breaking of  $SO(4)$  to vectorial  $SO(3)$  is expected since the coupling is proportional to  $1 + ike_1$  defining electromagnetic charge. Since the basis of complexified quaternions can be chosen to be eigenstates of  $e_1$  under multiplication, octonionic spinors are eigenstates of em charge and one obtains two color singlets  $1 \pm e_1$  and color triplet and antitriplet. The color triplets cannot be however interpreted in terms of quark color.

Harmonic oscillator potential is expected to enhance  $SO(3)$  to  $SU(3)$ . This suggests the reduction of the symmetry to  $SU(3) \times U(1)$  corresponding to color symmetry and em charge so that one would have same basic quantum numbers as of  $CP_2$  harmonics. An interesting question is how the spectrum and mass squared eigenvalues of harmonics differ from those for  $CP_2$ .

5. In the square of Dirac equation  $J^{kl}\Sigma_{kl}$  term distinguishes between different em charges ( $\Sigma_{kl}$  reduces by self duality and by special properties of octonionic sigma matrices to a term proportional to  $iI_1$  and complexified octonionic units can be chosen to be its eigenstates with eigen value  $\pm 1$ ). The vacuum mass squared analogous to the vacuum energy of harmonic oscillator is also present and this contribution are expected to cancel themselves for neutrinos so that they are massless whereas charged leptons and quarks are massive. It remains to be checked that quarks and leptons can be classified to triality  $T = \pm 1$  and  $t = 0$  representations of dynamical  $SU(3)$  respectively.

#### 4.3.4 What about the analog of Kähler Dirac equation

Only the octonionic structure in  $T(M^8)$  is needed to formulate quaternionicity of space-time surfaces: the reduction to  $O_c$ -real-analyticity would be extremely nice but not necessary ( $O_c$  denotes complexified octonions needed to cope with Minkowskian signature). Most importantly, there might be no need to introduce Kähler action (and Kähler form) in  $M^8$ . Even the octonionic representation of gamma matrices is un-necessary. Neither there is any absolute need to define octonionic Dirac equation and octonionic Kähler Dirac equation nor octonionic analog of its solutions nor the octonionic variants of imbedding space harmonics.

It would be of course nice if the general formulas for solutions of the Kähler Dirac equation in  $H$  could have counterparts for octonionic spinors satisfying quaternionicity condition. One can indeed wonder whether the restriction of the modes of induced spinor field to string world sheets defined by integrable distributions of hyper-complex spaces  $M^2(x)$  could be interpreted in terms of commutativity of fermionic physics in  $M^8$ .  $M^8 - H$  correspondence could map the octonionic spinor fields at string world sheets to their quaternionic counterparts in  $H$ . The fact that only holomorphy is involved with the definition of modes could make this map possible.

#### 4.4 How Could One Solve Associativity/Co-Associativity Conditions?

The natural question is whether and how one could solve the associativity/-co-associativity conditions explicitly. One can imagine two approaches besides  $M^8 \rightarrow H \rightarrow H\dots$  iteration generating new solutions from existing ones.

#### 4.4.1 Could octonion-real analyticity be equivalent with associativity/co-associativity?

Analytic functions provide solutions to 2-D Laplace equations and one might hope that also the field equations could be solved in terms of octonion-real-analyticity at the level of  $M^8$  perhaps also at the level of  $H$ . Signature however causes problems - at least technical. Also the compactness of  $CP_2$  causes technical difficulties but they need not be insurmountable.

For  $E^8$  the tangent space would be genuinely octonionic and one can define the notion octonion-real analytic map as a generalization of real-analytic function of complex variables (the coefficients of Laurent series are real to guarantee associativity of the series). The argument is complexified octonion in  $O \oplus iO$  forming an algebra but not a field. The norm square is Minkowskian as difference of two Euclidian octonionic norms:  $N(o_1 + io_2) = N(o_1) - N(o_2)$  and vanishes at 15-D light cone boundary. Obviously, differential calculus is possible outside the light-cone boundary. Rational analytic functions have however poles at the light-cone boundary. One can wonder whether the poles at  $M^4$  light-cone boundary, which is subset of 15-D light-cone boundary could have physical significance and relevant for the role of causal diamonds in ZEO.

The candidates for associative surfaces defined by  $O_c$ -real-analytic functions (I use  $O_c$  for complexified octonions) have Minkowskian signature of metric and are 4-surfaces at which the projection of  $f(o_1 + io_2)$  to  $Im(O_1)$ ,  $iIm(O_2)$ , and  $iRe(Q_2) \oplus Im(Q_1)$  vanish so that only the projection to hyper-quaternionic Minkowskian sub-space  $M^4 = Re(Q_1) + iIm(Q_2)$  with signature  $(1, -1, -, 1-, 1)$  is non-vanishing. The inverse image need not belong to  $M^8$  and in general it belongs to  $M_c^8$  but this is not a problem: all that is needed that the tangent space of inverse image is complexified quaternionic. If this is the case then  $M^8 - H$  duality maps the tangent space of the inverse image to  $CP_2$  point and image itself defines the point of  $M^4$  so that a point of  $H$  is obtained. Co-associative surfaces would be surfaces for which the projections of image to  $Re(O_1)$ ,  $iRe(O_2)$ , and to  $Im(O_1)$  vanish so that only the projection to  $iIm(O_2)$  with signature  $(-1, -1, -1, -1)$  is non-vanishing.

The inverse images as 4-D sub-manifolds of  $M_c^8$  (not  $M^8$ !) are excellent candidates for associative and co-associative 4-surfaces since  $M^8 - H$  duality assigns to them a 4-surface in  $M^4 \times CP_2$  if the tangent space at given point is complexified quaternionic. This is true if one believes on the analytic continuation of the intuition from complex analysis (the image of real axes under the map defined by  $O_c$ -real-analytic function is real axes in the new coordinates defined by the map: the intuition results by replacing "real" by "complexified quaternionic" ). The possibility to solve field equations in this manner would be of enormous significance since besides basic arithmetic operations also the functional decomposition of  $O_c$ -real-analytic functions produces similar functions. One could speak of the algebra of space-time surfaces.

What is remarkable that the complexified octonion real analytic functions are obtained by analytic continuation from single real valued function of real argument. The real functions form naturally a hierarchy of polynomials (maybe also rational functions) and number theoretic vision suggests that their coefficients are rationals or algebraic numbers. Already for rational coefficients hierarchy of algebraic extensions of rationals results as one solves the vanishing conditions. There is a temptation to regard this hierarchy coding for space-time sheets as an analog of DNA.

Note that in the recent formulation there is no need to pose separately the condition about integrable distribution of  $M^2(x) \subset M^4$ .

#### 4.4.2 Quaternionicity condition for space-time surfaces

Quaternionicity actually has a surprisingly simple formulation at the level of space-time surfaces. The following discussion applies to both  $M^8$  and  $H$  with minor modifications if one accepts that also  $H$  can allow octonionic tangent space structure, which does not require gamma matrices.

1. Quaternionicity is equivalent with associativity guaranteed by the vanishing of the associator  $A(a, b, c) = a(bc) - (ab)c$  for any triplet of imaginary tangent vectors in the tangent space of the space-time surface. The condition must hold true for purely imaginary combinations of tangent vectors.
2. If one is able to choose the coordinates in such a manner that one of the tangent vectors corresponds to real unit (in the imbedding map imbedding space  $M^4$  coordinate depends only on the time coordinate of space-time surface), the condition reduces to the vanishing of



the octonionic product of remaining three induced gamma matrices interpreted as octonionic gamma matrices. This condition looks very simple - perhaps too simple!- since it involves only first derivatives of the imbedding space vectors.

One can of course whether quaternionicity conditions replace field equations or only select preferred extremals. In the latter case, one should be able to prove that quaternionicity conditions are consistent with the field equations.

3. Field equations would reduce to tri-linear equations in in the gradients of imbedding space coordinates (rather than involving imbedding space coordinates quadratically). Sum of analogs of  $3 \times 3$  determinants deriving from  $a \times (b \times b)$  for different octonion units is involved.
4. Written explicitly field equations give in terms of vielbein projections  $e_\alpha^A$ , vielbein vectors  $e_k^A$ , coordinate gradients  $\partial_\alpha h^k$  and octonionic structure constants  $f_{ABC}$  the following conditions stating that the projections of the octonionic associator tensor to the space-time surface vanishes:

$$\begin{aligned}
 e_\alpha^A e_\beta^B e_\gamma^C A_{ABC}^E &= 0 , \\
 A_{ABC}^E &= f_{AD}^E f_{BC}^D - f_{AB}^D f_{DC}^E , \\
 e_\alpha^A &= \partial_\alpha h^k e_k^A , \\
 \Gamma_k &= e_k^A \gamma_A .
 \end{aligned}
 \tag{4.1}$$

The very naive idea would be that the field equations are indeed integrable in the sense that they reduce to these tri-linear equations. Tri-linearity in derivatives is highly non-trivial outcome simplifying the situation further. These equations can be formulated as the as purely algebraic equations written above plus integrability conditions

$$F_{\alpha\beta}^A = D_\alpha e_\beta^A - D_\beta e_\alpha^A = 0 . \tag{4.2}$$

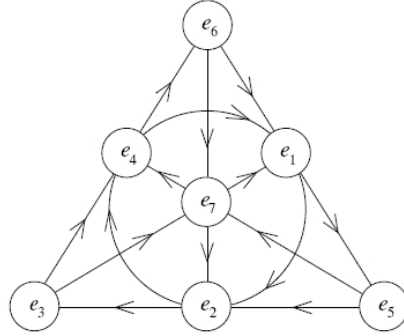
One could say that vielbein projections define an analog of a trivial gauge potential. Note however that the covariant derivative is defined by spinor connection rather than this effective gauge potential which reduces to that in  $SU(2)$ . Similar formulation holds true for field equations and one should be able to see whether the field equations formulated in terms of derivatives of vielbein projections commute with the associativity conditions.

5. The quaternionicity conditions can be formulated as vanishing of generalization of Cayley's hyperdeterminant for "hypermatrix"  $a_{ijk}$  with 2-valued indexed (see <http://tinyurl.com/ya7h3n9z> ). Now one has 8 hyper-matrices with 3 8-valued indices associated with the vanishing  $A_{BCD}^E x^B y^C z^D = 0$  of trilinear forms defined by the associators. The conditions say something only about the octonion structure constants and since octonionic space allow quaternionic sub-spaces these conditions must be satisfied.

The inspection of the Fano triangle [A9] (see **Fig. 2** ) expressing the multiplication table for octonionic imaginary units reveals that give any two imaginary octonion units  $e_1$  and  $e_2$  their product  $e_1 e_2$  (or equivalently commutator) is imaginary octonion unit (2 times octonion unit) and the three units span together with real unit quaternionic sub-algebra. There it seems that one can generate local quaternionic sub-space from two imaginary units plus real unit. This generalizes to the vielbein components of tangent vectors of space-time surface and one can build the solutions to the quaternionicity conditions from vielbein projections  $e_1, e_2$ , their product  $e_3 = k(x)e_1 e_2$  and real fourth "time-like" vielbein component which must be expressible as a combination of real unit and imaginary units:

$$e_0 = a \times 1 + b^i e_i$$

For static solutions this condition is trivial. Here summation over  $i$  is understood in the latter term. Besides these conditions one has integrability conditions and field equations for Kähler action. This formulation suggests that quaternionicity is additional - perhaps defining - property of preferred extremals.



**Figure 2:** Octonionic triangle: the six lines and one circle containing three vertices define the seven associative triplets for which the multiplication rules of the ordinary quaternion imaginary units hold true. The arrow defines the orientation for each associative triplet. Note that the product for the units of each associative triplets equals to real unit apart from sign factor.

### 4.5 Quaternionicity At The Level Of Imbedding Space Quantum Numbers

From the multiplication table of octonions as illustrated by Fano triangle [A9] one finds that all edges of the triangle, the middle circle and the three the lines connecting vertices to the midpoints of opposite side define triplets of quaternionic units. This means that by taking real unit and any imaginary unit in quaternionic  $M^4$  algebra spanning  $M^2 \subset M^4$  and two imaginary units in the complement representing  $CP_2$  tangent space one obtains quaternionic algebra. This suggests an explanation for the preferred  $M^2$  contained in tangent space of space-time surface (the  $M^2$ : s could form an integrable distribution). Four-momentum restricted to  $M^2$  and  $I_3$  and  $Y$  interpreted as tangent vectors in  $CP_2$  tangent space defined quaterionic sub-algebra. This could give content for the idea that quantum numbers are quaternionic.

I have indeed proposed that the four-momentum belongs to  $M^2$ . If  $M^2(x)$  form a distribution as the proposal for the preferred extremals suggests this could reflect momentum exchanges between different points of the space-time surface such that total momentum is conserved or momentum exchange between two sheets connected by wormhole contacts.

### 4.6 Questions

In following some questions related to  $M^8 - H$  duality are represented.

#### 4.6.1 Could associativity condition be formulated using modified gamma matrices?

Skeptic can criticize the minimal form of  $M^8 - H$  duality involving no Kähler action in  $M^8$  is unrealistic. Why just Kähler action? What makes it so special? The only defense that I can imagine is that Kähler action is in many respects unique choice.

An alternative approach would replace induced gamma matrices with the modified ones to get the correlation In the case of  $M^8$  this option cannot work. One cannot exclude it for  $H$ .

1. For Kähler action the Kähler-Dirac gamma matrices  $\Gamma^\alpha = \frac{\partial L_K}{\partial h_\alpha^k} \Gamma^k$ ,  $\Gamma_k = e_k^A \gamma_A$ , assign to a given point of  $X^4$  a 4-D space which need not be tangent space anymore or even its sub-space. The reason is that canonical momentum current contains besides the gravitational contribution coming from the induced metric also the “Maxwell contribution” from the induced Kähler form not parallel to space-time surface. In the case of  $M^8$  the duality map to  $H$  is therefore lost.
2. The space spanned by the Kähler-Dirac gamma matrices need not be 4-dimensional. For vacuum extremals with at most 2-D  $CP_2$  projection Kähler-Dirac gamma matrices vanish identically. For massless extremals they span 1- D light-like subspace. For  $CP_2$  vacuum extremals the modified gamma matrices reduces to ordinary gamma matrices for  $CP_2$  and the situation reduces to the quaternionicity of  $CP_2$ . Also for string like objects the conditions are satisfied since the gamma matrices define associative sub-space as tangent space of  $M^2 \times S^2 \subset M^4 \times CP_2$ . It seems that associativity is satisfied by all known extremals. Hence Kähler-Dirac gamma matrices are flexible enough to realize associativity in  $H$ .
3. Kähler-Dirac gamma matrices in Dirac equation are required by super conformal symmetry for the extremals of action and they also guarantee that vacuum extremals defined by surfaces in  $M^4 \times Y^2$ ,  $Y^2$  a Lagrange sub-manifold of  $CP_2$ , are trivially hyper-quaternionic surfaces. The modified definition of associativity in  $H$  does not affect in any manner  $M^8 - H$  duality necessarily based on induced gamma matrices in  $M^8$  allowing purely number theoretic interpretation of standard model symmetries. One can however argue that the most natural definition of associativity is in terms of induced gamma matrices in both  $M^8$  and  $H$ .

**Remark:** A side comment not strictly related to associativity is in order. The anti-commutators of the Kähler-Dirac gamma matrices define an effective Riemann metric and one can assign to it the counterparts of Riemann connection, curvature tensor, geodesic line, volume, etc... One would have two different metrics associated with the space-time surface. Only if the action defining space-time surface is identified as the volume in the ordinary metric, these metrics are equivalent. The index raising for the effective metric could be defined also by the induced metric and it is not clear whether one can define Riemann connection also in this case. Could this effective metric have concrete physical significance and play a deeper role in quantum TGD? For instance, AdS-CFT duality leads to ask whether interactions be coded in terms of the gravitation associated with the effective metric.

Now skeptic can ask why should one demand  $M^8 - H$  correspondence if one in any case is forced to introduced Kähler also at the level of  $M^8$ ? Does  $M^8 - H$  correspondence help to construct preferred extremals or does it only bring in a long list of conjectures? I can repeat the questions of the skeptic.

#### 4.6.2 Minkowskian-Euclidian $\leftrightarrow$ associative-co-associative?

The 8-dimensionality of  $M^8$  allows to consider both associativity of the tangent space and associativity of the normal space- let us call this co-associativity of tangent space- as alternative options. Both options are needed as has been already found. Since space-time surface decomposes into regions whose induced metric possesses either Minkowskian or Euclidian signature, there is a strong temptation to propose that Minkowskian regions correspond to associative and Euclidian regions to co-associative regions so that space-time itself would provide both the description and its dual.

The proposed interpretation of conjectured associative-co-associative duality relates in an interesting manner to p-adic length scale hypothesis selecting the primes  $p \simeq 2^k$ ,  $k$  positive integer as preferred p-adic length scales.  $L_p \propto \sqrt{p}$  corresponds to the p-adic length scale defining the size of the space-time sheet at which elementary particle represented as  $CP_2$  type extremal is topologically condensed and is of order Compton length.  $L_k \propto \sqrt{k}$  represents the p-adic length scale of the wormhole contacts associated with the  $CP_2$  type extremal and  $CP_2$  size is the natural length unit now. Obviously the quantitative formulation for associative-co-associative duality would be in terms  $p \rightarrow k$  duality.

### 4.6.3 Can $M^8 - H$ duality be useful?

Skeptic could of course argue that  $M^8 - H$  duality generates only an inflation of unproven conjectures. This might be the case. In the following I will however try to defend the conjecture. One can however find good motivations for  $M^8 - H$  duality: both theoretical and physical.

1. If  $M^8 - H$  duality makes sense for induced gamma matrices also in  $H$ , one obtains infinite sequence if dualities allowing to construct preferred extremals iteratively. This might relate to octonionic real-analyticity and composition of octonion-real-analytic functions.
2.  $M^8 - H$  duality could provide much simpler description of preferred extremals of Kähler action as hyper-quaternionic surfaces. Unfortunately, it is not clear whether one should introduce the counterpart of Kähler action in  $M^8$  and the coupling of  $M^8$  spinors to Kähler form. Note that the Kähler form in  $E^4$  would be self dual and have constant components: essentially parallel electric and magnetic field of same constant magnitude.
3.  $M^8 - H$  duality provides insights to low energy physics, in particular low energy hadron physics.  $M^8$  description might work when  $H$ -description fails. For instance, perturbative QCD which corresponds to  $H$ -description fails at low energies whereas  $M^8$  description might become perturbative description at this limit. Strong  $SO(4) = SU(2)_L \times SU(2)_R$  invariance is the basic symmetry of the phenomenological low energy hadron models based on conserved vector current hypothesis (CVC) and partially conserved axial current hypothesis (PCAC). Strong  $SO(4) = SU(2)_L \times SU(2)_R$  relates closely also to electro-weak gauge group  $SU(2)_L \times U(1)$  and this connection is not well understood in QCD description.  $M^8 - H$  duality could provide this connection. Strong  $SO(4)$  symmetry would emerge as a low energy dual of the color symmetry. Orbital  $SO(4)$  would correspond to strong  $SU(2)_L \times SU(2)_R$  and by flatness of  $E^4$  spin like  $SO(4)$  would correspond to electro-weak group  $SU(2)_L \times U(1)_R \subset SO(4)$ . Note that the inclusion of coupling to Kähler gauge potential is necessary to achieve respectable spinor structure in  $CP_2$ . One could say that the orbital angular momentum in  $SO(4)$  corresponds to strong isospin and spin part of angular momentum to the weak isospin.

This argument does not seem to be consistent with  $SU(3) \times U(1) \subset SU(4)$  symmetry for  $Mx$  Dirac equation. One can however argue that  $SU(4)$  symmetry combines  $SO(4)$  multiplets together. Furthermore,  $SO(4)$  represents the isometries leaving Kähler form invariant.

### 4.6.4 $M^8 - H$ duality in low energy physics and low energy hadron physics

$M^8 - H$  can be applied to gain a view about color confinement. The basic idea would be that  $SO(4)$  and  $SU(3)$  provide provide dual descriptions of quarks using  $E^4$  and  $CP_2$  partial waves and low energy hadron physics corresponds to a situation in which  $M^8$  picture provides the perturbative approach whereas  $H$  picture works at high energies.

A possible interpretation is that the space-time surfaces vary so slowly in  $CP_2$  degrees of freedom that can approximate  $CP_2$  with a small region of its tangent space  $E^4$ . One could also say that color interactions mask completely electroweak interactions so that the spinor connection of  $CP_2$  can be neglected and one has effectively  $E^4$ . The basic prediction is that  $SO(4)$  should appear as dynamical symmetry group of low energy hadron physics and this is indeed the case.

Consider color confinement at the long length scale limit in terms of  $M^8 - H$  duality.

1. At high energy limit only lowest color triplet color partial waves for quarks dominate so that QCD description becomes appropriate whereas very higher color partial waves for quarks and gluons are expected to appear at the confinement limit. Since WCW degrees of freedom begin to dominate, color confinement limit transcends the descriptive power of QCD.
2. The success of  $SO(4)$  sigma model in the description of low lying hadrons would directly relate to the fact that this group labels also the  $E^4$  Hamiltonians in  $M^8$  picture. Strong  $SO(4)$  quantum numbers can be identified as orbital counterparts of right and left handed electro-weak isospin coinciding with strong isospin for lowest quarks. In sigma model pion and sigma boson form the components of  $E^4$  valued vector field or equivalently collection of four  $E^4$  Hamiltonians corresponding to spherical  $E^4$  coordinates. Pion corresponds to  $S^3$  valued unit vector field with charge states of pion identifiable as three Hamiltonians defined

by the coordinate components. Sigma is mapped to the Hamiltonian defined by the  $E^4$  radial coordinate. Excited mesons corresponding to more complex Hamiltonians are predicted.

3. The generalization of sigma model would assign to quarks  $E^4$  partial waves belonging to the representations of  $SO(4)$ . The model would involve also 6  $SO(4)$  gluons and their  $SO(4)$  partial waves. At the low energy limit only lowest representations would be important whereas at higher energies higher partial waves would be excited and the description based on  $CP_2$  partial waves would become more appropriate.
4. The low energy quark model would rely on quarks moving  $SO(4)$  color partial waves. Left *resp.* right handed quarks could correspond to  $SU(2)_L$  *resp.*  $SU(2)_R$  triplets so that spin statistics problem would be solved in the same manner as in the standard quark model.
5. Family replication phenomenon is described in TGD framework the same manner in both cases so that quantum numbers like strangeness and charm are not fundamental. Indeed, p-adic mass calculations allowing fractally scaled up versions of various quarks allow to replace Gell-Mann mass formula with highly successful predictions for hadron masses [K15].

To my opinion these observations are intriguing enough to motivate a concrete attempt to construct low energy hadron physics in terms of  $SO(4)$  gauge theory.

## 4.7 Summary

The overall conclusion is that the most convincing scenario relies on the associativity/co-associativity of space-time surfaces define by induced gamma matrices and applying both for  $M^8$  and  $H$ . The fact that the duality can be continued to an iterated sequence of duality maps  $M^8 \rightarrow H \rightarrow H \dots$  is what makes the proposal so fascinating and suggests connection with fractality.

The introduction of Kähler action and coupling of spinors to Kähler gauge potentials is highly natural. One can also consider the idea that the space-time surfaces in  $M^8$  and  $H$  have same induced metric and Kähler form: for iterated duality map this would mean that the steps in the map produce space-time surfaces which identical metric and Kähler form so that the sequence might stop.  $M^8_H$  duality might provide two descriptions of same underlying dynamics:  $M^8$  description would apply in long length scales and  $H$  description in short length scales.

## 5 Octo-Twistors And Twistor Space

The basic problem of the twistor approach is that one cannot represent massive momenta in terms of twistors in an elegant manner. One can also consider generalization of the notion of spinor and twistor. I have proposed a possible representation of massive states based on the existence of preferred plane of  $M^2$  in the basic definition of theory allowing to express four-momentum as one of two light-like momenta allowing twistor description. One could however ask whether some more elegant representation of massive  $M^4$  momenta might be possible by generalizing the notion of twistor -perhaps by starting from the number theoretic vision.

The basic idea is obvious: in quantum TGD massive states in  $M^4$  can be regarded as massless states in  $M^8$  and  $M^4 \times CP_2$  (recall  $M^8 - H$  duality). One can therefore map any massive  $M^4$  momentum to a light-like  $M^8$  momentum and hope that this association could be made in a unique manner. One should assign to a massless 8-momentum an 8-dimensional spinor of fixed chirality. The spinor assigned with the light-like four-momentum is not unique without additional conditions. The existence of covariantly constant right-handed neutrino in  $CP_2$  degrees generating the super-conformal symmetries could allow to eliminate the non-uniqueness. 8-dimensional twistor in  $M^8$  would be a pair of this kind of spinors fixing the momentum of massless particle and the point through which the corresponding light-geodesic goes through: the set of these points forms 8-D light-cone and one can assign to each point a spinor. In  $M^4 \times CP_2$  definitions makes also in the case of  $M^4 \times CP_2$  and twistor space would also now be a lifting of the space of light-like geodesics.

The possibility to interpret  $M^8$  as hyperoctonionic space suggests also the possibility to define the 8-D counterparts of sigma matrices to hyperoctonions to obtain a representation of sigma matrix algebra which is not a matrix representation. The mapping of gamma matrices to this

representation allows to define a notion of hyper-quaternionicity in terms of the Kähler-Dirac gamma matrices both in  $M^8$  and  $H$ .

The basic challenge is to achieve twistorial description of four-momenta or even  $M^4 \times CP_2$  quantum numbers: this applies both to the momenta of fundamental fermions at the lines of generalized Feynman diagrams and to the massive incoming and outgoing states identified as their composites.

1. A rather attractive way to overcome the problem at the level of fermions propagating along the braid strands at the light-like orbits of partonic 2-surfaces relies on the assumption that generalized Feynman diagrammatics effectively reduces to a form in which all fermions in the propagator lines are massless although they can have non-physical helicity [K24]. One can use ordinary  $M^4$  twistors. This is consistent with the idea that space-time surfaces are quaternionic sub-manifolds of octonionic imbedding space.
2. Incoming and outgoing states are composites of massless fermions and not massless. They are however massless in 8-D sense. This suggests that they could be described using generalization of twistor formalism from  $M^4$  to  $M^8$  and even better to  $M^4 \times CP_2$ .

In the following two possible twistorializations are considered.

## 5.1 Two Manners To Twistorialize Imbedding Space

In the following the generalization of twistor formalism for  $M^8$  or  $M^4 \times CP_2$  will be considered in more detail. There are two options to consider.

1. For the first option one assigns to  $M^4 \times CP_2$  twistor space as a product of corresponding twistor spaces  $T(M_4) = CP_3$  and the flag-manifold  $T(CP_2) = SU(3)/U(1) \times U(1)$  parameterizing the choices of quantization axes for  $SU(3)$ :  $T_H = T(M^4) \times T(CP_2)$ . Quite remarkably,  $M^4$  and  $CP_2$  are the only 4-D manifolds allowing twistor space with Kähler structure. The twistor space is 12-dimensional. The choice of quantization axis is certainly a physically well-defined operation so that  $T(CP_2)$  has physical interpretation. If all observable physical states are color singlets situation becomes more complex. If one assumes QCC for color quantum numbers  $Y$  and  $I_3$ , then also the choice of color quantization axis is fixed at the level of Kähler action from the condition that  $Y$  and  $I_3$  have classically their quantal values.
2. For the second option one generalizes the usual construction for  $M^8$  regarded as tangent space of  $M^4 \times CP_2$  (unless one takes  $M^8 - H$  duality seriously).

The tangent space option looks like follows.

1. One can map the points of  $M^8$  to octonions. One can consider 2-component spinors with octonionic components and map points of  $M^8$  light-cone to linear combinations of  $2 \times 2$  Pauli sigma matrices but with octonionic components. By the same arguments as in the deduction of ordinary twistor space one finds that 7-D light-cone boundary is mapped to 7+8 D space since the octonionic 2-spinor/its conjugate can be multiplied/divided by arbitrary octonion without changing the light-like point. By standard argument this space extends to 8+8-D space. The points of  $M^8$  can be identified as 8-D octonionic planes (analogs of complex sphere  $CP_1$  in this space. An attractive identification is as octonionic projective space  $OP_2$ . Remarkably, octonions do not allow higher dimensional projective spaces.
2. If one assumes that the spinors are quaternionic the twistor space should have dimension  $7+4+1=12$ . This dimension is same as for  $M^4 \times CP_2$ . Does this mean that quaternionicity assumption reduces  $T(M^8) = OP_2$  to  $T(H) = CP_3 \times SU(3)/U(1) \times U(1)$ ? Or does it yield 12-D space  $G_2/U(1) \times U(1)$ , which is also natural since  $G_2$  has 2-D Cartan algebra? Number theoretical compactification would transform  $T(M^8) = G_2/U(1) \times U(1)$  to  $T(H) = CP_3 \times SU(3)/U(1) \times U(1)$ . This would not be surprising since in  $M^8 - H$ -duality  $CP_2$  parametrizes (hyper)quaternionic planes containing preferred plane  $M^2$ .

Quaternionicity is certainly very natural in TGD framework. Quaternionicity for 8-momenta does not in general imply that they reduce to the observed  $M^4$ -momenta unless one identifies

$M^4$  as one particular subspace of  $M^8$ . In  $M^8 - H$  duality one in principle allows all choices of  $M^4$ : it is of course unclear whether this makes any physical difference. Color confinement could be interpreted as a reduction of  $M^8$  momenta to  $M^4$  momenta and would also allow the interpretational problems caused by the fact that  $CP_2$  momenta are not possible.

3. Since octonions can be regarded as complexified quaternions with non-commuting imaginary unit, one can say that quaternionic spinors in  $M^8$  are “real” and thus analogous to Majorana spinors. Similar interpretation applies at the level of  $H$ . Could one interpret the quaternionicity condition for space-time surfaces and imbedding space spinors as TGD analog of Majorana condition crucial in super string models? This would also be crucial for understanding supersymmetry in TGD sense.

## 5.2 Octotwistorialization Of $M^8$

Consider first the twistorialization in 4-D case. In  $M^4$  one can map light-like momentum to spinors satisfying massless Dirac equation. General point  $m$  of  $M^4$  can be mapped to a pair of massless spinors related by incidence relation defining the point  $m$ . The essential element of this association is that mass squared can be defined as determinant of the  $2 \times 2$  matrix resulting in the assignment. Light-likeness is coded to the vanishing of the determinant implying that the spinors defining its rows are linearly independent. The reduction of  $M^4$  inner product to determinant occurs because the  $2 \times 2$  matrix can be regarded as a matrix representation of complexified quaternion. Massless means that the norm of a complexified quaternion defined as the product of  $q$  and its conjugate vanishes. Incidence relation  $s_1 = xs_2$  relating point of  $M^4$  and pair of spinors defining the corresponding twistor, can be interpreted in terms of product for complexified quaternions.

The generalization to the 8-D situation is straightforward: replace quaternions with octonions.

1. The transition to  $M^8$  means the replacement of quaternions with octonions. Masslessness corresponds to the vanishing norm for complexified octonion (hyper-octonion).
2. One should assign to a massless 8-momentum an 8-dimensional spinor identifiable as octonion - or more precisely as hyper-octonion obtained by multiplying the imaginary part of ordinary octonion with commuting imaginary unit  $j$  and defining conjugation as a change of sign of  $j$  or that of octonionic imaginary units.
3. This leads to a generalization of the notion of twistor consisting of pair of massless octonion valued spinors (octonions) related by the incidence relation fixing the point of  $M^8$ . The incidence relation for Euclidian octonions says  $s_1 = xs_2$  and can be interpreted in terms of triality for  $SO(8)$  relating conjugate spinor octet to the product of vector octet and spinor octet. For Minkowskian subspace of complexified octonions light-like vectors and  $s_1$  and  $s_2$  can be taken light-like as octonions. Light like  $x$  can annihilate  $s_2$ .

The possibility to interpret  $M^8$  as hyperoctonionic space suggests also the possibility to define the 8-D counterparts of sigma matrices to hyperoctonions to obtain a representation of sigma matrix algebra which is not a matrix representation. The mapping of gamma matrices to this representation allows to define a notion of hyper-quaternionicity in terms of the Kähler-Dirac gamma matrices both in  $M^8$  and  $H$ .

## 5.3 Octonionicity, $SO(1, 7)$ , $G_2$ , And Non-Associative Malcev Group

The symmetries assignable with octonions are rather intricate. First of all, octonions (their hyper-variants defining  $M^8$ ) have  $SO(8)$  ( $SO(1, 7)$ ) as isometries.  $G_2 \subset SO(7)$  acts as automorphisms of octonions and  $SO(1, 7) \rightarrow G_2$  clearly means breaking of Lorentz invariance.

John Baez has described in a lucid manner  $G_2$  geometrically (<http://tinyurl.com/ybd4lcpy>). The basic observation is that that quaternionic sub-space is generated by two linearly independent imaginary units and by their product. By adding a fourth linearly independent imaginary unit, one can generate all octonions. From this and the fact that  $G_2$  represents subgroup of  $SO(7)$ , one easily deduces that  $G_2$  is 14-dimensional. The Lie algebra of  $G_2$  corresponds to derivations of octonionic algebra as follows infinitesimally from the condition that the image of product is the

product of images. The entire algebra  $SO(8)$  is direct sum of  $G_2$  and linear transformations generated by right and left multiplication by imaginary octonion: this gives  $14 + 14 = 28 = D(SO(8))$ . The subgroup  $SO(7)$  acting on imaginary octonions corresponds to the direct sum of derivations and adjoint transformations defined by commutation with imaginary octonions, and has indeed dimension  $14 + 7 = 21$ .

One can identify also a non-associative group-like structure.

1. In the case of octonionic spinors this group like structure is defined by the analog of phase multiplication of spinor generalizing to a multiplication with octonionic unit expressible as linear combinations of 8 octonionic imaginary units and defining 7-sphere plays appear as analog of automorphisms  $o \rightarrow uou^{-1} = uou^*$ .

One can associate with these transformations a non-associative Lie group and Lie algebra like structures by defining the commutators just as in the case of matrices that is as  $[a, b] = ab - ba$ . One 7-D non-associative Lie group like structure with topology of 7-sphere  $S^7$  whereas  $G_2$  is 14-dimensional exceptional Lie group (having  $S^6$  as coset space  $S^6 = G_2/SU(3)$ ). This group like object might be useful in the treatment of octonionic twistors. In the case of quaternions one has genuine group acting as  $SO(3)$  rotations.

2. Octonionic gamma matrices allow to define as their commutators octonionic sigma matrices:

$$\Sigma_{kl} = \frac{i}{2} [\gamma_k, \gamma_l] \quad (5.1)$$

This algebra is 14-dimensional thanks to the fact that octonionic gamma matrices are of form  $\gamma_0 = \sigma_1 \otimes 1$ ,  $\gamma_i = \sigma_2 \otimes e_i$ . Due to the non-associativity of octonions this algebra does not satisfy Jacobi identity - as is easy to verify using Fano triangle - and is therefore not a genuine Lie-algebra. Therefore these sigma matrices do not define a representation of  $G_2$  as I thought first.

This algebra has decomposition  $g = h + t$ ,  $[h, t] \subset t$ ,  $[t, t] \subset h$  characterizing for symmetric spaces.  $h$  is the 7-D algebra generated by  $\Sigma_{ij}$  and identical with the non-associative Malcev algebra generated by the commutators of octonionic units. The complement  $t$  corresponds to the generators  $\Sigma_{0i}$ . The algebra is clearly an octonionic non-associative analog fo  $SO(1, 7)$ .

## 5.4 Octonionic Spinors In $M^8$ And Real Complexified-Quaternionic Spinors In $H$ ?

This above observations about the octonionic sigma matrices raise the problem about the octonionic representation of spinor connection. In  $M^8 = M^4 \times E^4$  the spinor connection is trivial but for  $M^4 \times CP_2$  not. There are two options.

1. Assume that octonionic spinor structure makes sense for  $M^8$  only and spinor connection is trivial.
2. An alternative option is to identify  $M^8$  as tangent space of  $M^4 \times CP_2$  possessing quaternionic structure defined in terms of octonionic variants of gamma matrices. Should one replace sigma matrices appearing in spinor connection with their octonionic analogs to get a sigma matrix algebra which is pseudo Lie algebra. Or should one map the holonomy algebra of  $CP_2$  spinor connection to a sub-algebra of  $G_2 \subset SO(7)$  and define the action of the sigma matrices as ordinary matrix multiplication of octonions rather than octonionic multiplication? This seems to be possible formally.

The replacement of sigma matrices with their octonionic counterparts seems to lead to weird looking results. Octonionic multiplication table implies that the electroweak sigma matrices associated with  $CP_2$  tangent space reduce to  $M^4$  sigma matrices so that the spinor connection is quaternionic. Furthermore, left-handed sigma matrices are mapped to zero so that only the neutral part of spinor connection is non-vanishing. This supports the view that only  $M^8$  gamma matrices make sense and that Dirac equation in  $M^8$  is just free massless Dirac equation leading naturally also to the octonionic twistorialization.



One might think that distinction between different  $H$ -chiralities is difficult to make but it turns out that quarks and leptons can be identified as different components of 2-component complexified octonionic spinors.

The natural question is what associativization of octonions gives. This amounts to a condition putting the associator  $a(bc) - (ab)c$  to zero. It is enough to consider octonionic imaginary units which are different. By using the decomposition of the octonionic algebra to quaternionic sub-algebra and its complement and general structure of structure constants, one finds that quaternionic sub-algebra remains as such but the products of all imaginary units in the complement with different imaginary units vanish. This means that the complement behaves effectively as 4-D flat space-gamma matrix algebra annihilated by the quaternionic sub-algebra whose imaginary part acts like Lie algebra of  $SO(3)$ .

## 5.5 What The Replacement Of $SO(7, 1)$ Sigma Matrices With Octonionic Sigma Matrices Could Mean?

The basic implication of octonionization is the replacement of  $SO(7, 1)$  sigma matrices with octonionic sigma matrices. For  $M^8$  this has no consequences since since spinor connection is trivial.

For  $M^4 \times CP_2$  situation would be different since  $CP_2$  spinor connection would be replaced with its octonionic variant. This has some rather unexpected consequences and suggests that one should not try to octonionize at the level of  $M^4 \times CP_2$  but interpret gamma matrices as tensor products of quaternionic gamma matrices, which can be replaced with their matrix representations. There are however some rather intriguing observations which force to keep mind open.

### 5.5.1 Octonionic representation of 8-D gamma matrices

Consider first the representation of 8-D gamma matrices in terms of tensor products of 7-D gamma matrices and 2-D Pauli sigma matrices.

1. The gamma matrices are given by

$$\gamma^0 = 1 \times \sigma_1 \quad , \quad \gamma^i = \gamma^i \otimes \sigma_2 \quad , \quad i = 1, \dots, 7 \quad . \quad (5.2)$$

7-D gamma matrices in turn can be expressed in terms of 6-D gamma matrices by expressing  $\gamma^7$  as

$$\gamma_{i+1}^{(7)} = \gamma_i^{(6)} \quad , \quad i = 1, \dots, 6 \quad , \quad \gamma_1^{(7)} = \gamma_7^{(6)} = \prod_{i=1}^6 \gamma_i^{(6)} \quad . \quad (5.3)$$

2. The octonionic representation is obtained as

$$\gamma_0 = 1 \otimes \sigma_1 \quad , \quad \gamma_i = e_i \otimes \sigma_2 \quad . \quad (5.4)$$

where  $e_i$  are the octonionic units.  $e_i^2 = -1$  guarantees that the  $M^4$  signature of the metric comes out correctly. Note that  $\gamma_7 = \prod \gamma_i$  is the counterpart for choosing the preferred octonionic unit and plane  $M^2$ .

3. The octonionic sigma matrices are obtained as commutators of gamma matrices:

$$\Sigma_{0i} = j e_i \times \sigma_3 \quad , \quad \Sigma_{ij} = j f_{ij}^k e_k \otimes 1 \quad . \quad (5.5)$$

Here  $j$  is commuting imaginary unit. These matrices span  $G_2$  algebra having dimension 14 and rank 2 and having imaginary octonion units and their conjugates as the fundamental representation and its conjugate. The Cartan algebra for the sigma matrices can be chosen to be  $\Sigma_{01}$  and  $\Sigma_{23}$  and belong to a quaternionic sub-algebra.

4. The lower dimension  $D = 14$  of the non-associative version of sigma matrix algebra means that some combinations of sigma matrices vanish. All left or right handed generators of the algebra are mapped to zero: this explains why the dimension is halved from 28 to 14. From the octonionic triangle expressing the multiplication rules for octonion units [A2] one finds  $e_4e_5 = e_1$  and  $e_6e_7 = -e_1$  and analogous expressions for the cyclic permutations of  $e_4, e_5, e_6, e_7$ . From the expression of the left handed sigma matrix  $I_L^3 = \sigma_{23} + \sigma^{30}$  representing left handed weak isospin (see the Appendix about the geometry of  $CP_2$  [K1] ) one can conclude that this particular sigma matrix and left handed sigma matrices in general are mapped to zero. The quaternionic sub-algebra  $SU(2)_L \times SU(2)_R$  is mapped to that for the rotation group  $SO(3)$  since in the case of Lorentz group one cannot speak of a decomposition to left and right handed subgroups. The elements of the complement of the quaternionic sub-algebra are expressible in terms of  $\Sigma_{ij}$  in the quaternionic sub-algebra.

### 5.5.2 Some physical implications of the reduction of $SO(7,1)$ to its octonionic counterpart

The octonization of spinor connection of  $CP_2$  has some weird physical implications forcing to keep mind to the possibility that the octonionic description even at the level of  $H$  might have something to do with reality.

1. If  $SU(2)_L$  is mapped to zero only the right-handed parts of electro-weak gauge field survive octonionization. The right handed part is neutral containing only photon and  $Z^0$  so that the gauge field becomes Abelian.  $Z^0$  and photon fields become proportional to each other ( $Z^0 \rightarrow \sin^2(\theta_W)\gamma$ ) so that classical  $Z^0$  field disappears from the dynamics, and one would obtain just electrodynamics.
2. The gauge potentials and gauge fields defined by  $CP_2$  spinor connection are mapped to fields in  $SO(2) \subset SU(2) \times U(1)$  in quaternionic sub-algebra which in a well-defined sense corresponds to  $M^4$  degrees of freedom and gauge group becomes  $SO(2)$  subgroup of rotation group of  $E^3 \subset M^4$ . This looks like catastrophe. One might say that electroweak interactions are transformed to gravimagnetic interactions.
3. In very optimistic frame of mind one might ask whether this might be a deeper reason for why electrodynamics is an excellent description of low energy physics and of classical physics. This is consistent with the fact that  $CP_2$  coordinates define 4 field degrees of freedom so that single Abelian gauge field should be enough to describe classical physics. This would remove also the interpretational problems caused by the transitions changing the charge state of fermion induced by the classical  $W$  boson fields.
4. Interestingly, the condition that electromagnetic charge is well-defined quantum number for the modes of the induced spinor field for  $X^4 \subset H$  leads to the proposal that the solutions of the Kähler-Dirac equation are localized to string world sheets in Minkowskian regions of space-time surface at least. For  $CP_2$  type vacuum extremals one has massless Dirac and this allows only covariantly constant right-handed neutrino as solution. One has however only a piece of  $CP_2$  (wormhole contact) so that holomorphic solutions annihilated by two complexified gamma matrices are possible in accordance with the conformal symmetries.

Can one assume non-trivial spinor connection in  $M^8$ ?

1. The simplest option encouraged by the requirement of maximal symmetries is that it is absent. Massless 8-momenta would characterize spinor modes in  $M^8$  and this would give physical justification for the octotwistors.
2. If spinor connection is present at all, it reduces essentially to Kähler connection having different couplings to quarks and leptons identifiable as components of octonionic 2-spinors. It should be  $SO(4)$  symmetric and since  $CP_2$  is instant one might argue that now one has also instanton that is self-dual  $U(1)$  gauge field in  $E^4 \subset M^4 \times E^4$  defining Kähler form. One can loosely say that that one has of constant electric and magnetic fields which are parallel to each other. The rotational symmetry in  $E^4$  would break down to  $SO(2)$ .

3. Without spinor connection quarks and leptons are in completely symmetric position at the level of  $M^8$ : this is somewhat disturbing. The difference between quarks and leptons in  $H$  is made possible by the fact that  $CP_2$  does not allow standard spinor structure. Now this problem is absent. I have also consider the possibility that only leptonic spinor chirality is allowed and quarks result via a kind of anyonization process allowing them to have fractional em charges (see <http://tinyurl.com/y93aerea> ).
4. If the solutions of the Kähler Dirac equation in Minkowskian regions are localized to two surfaces identifiable as integrable distributions of planes  $M^2(x)$  and characterized by a local light-like direction defining the direction of massless momentum, they are holomorphic (in the sense of hyper-complex numbers) such that the second complexified Kähler-Dirac gamma matrix annihilates the solution. Same condition makes sense also at the level of  $M^8$  for solutions restricted to string world sheets and the presence or absence of spinor connection does not affect the situation.

Does this mean that the difference between quarks and leptons becomes visible only at the imbedding space level where ground states of super-conformal representations correspond to to imbedding space spinor harmonics which in  $CP_2$  cm degrees are different for quarks and leptons?

### 5.5.3 Octo-spinors and their relation to ordinary imbedding space spinors

Octo-spinors are identified as octonion valued 2-spinors with basis

$$\begin{aligned}\Psi_{L,i} &= e_i \begin{pmatrix} 1 \\ 0 \end{pmatrix} , \\ \Psi_{q,i} &= e_i \begin{pmatrix} 0 \\ 1 \end{pmatrix} .\end{aligned}\tag{5.6}$$

One obtains quark and lepton spinors and conjugation for the spinors transforms quarks to leptons. Note that octospinors can be seen as 2-dimensional spinors with components which have values in the space of complexified octonions.

The leptonic spinor corresponding to real unit and preferred imaginary unit  $e_1$  corresponds naturally to the two spin states of the right handed neutrino. In quark sector this would mean that right handed U quark corresponds to the real unit. The octonions decompose as  $1 + 1 + 3 + \bar{3}$  as representations of  $SU(3) \subset G_2$ . The concrete representations are given by

$$\begin{aligned}\{1 \pm ie_1\} &, \quad e_R \text{ and } \nu_R \text{ with spin } 1/2 , \\ \{e_2 \pm ie_3\} &, \quad e_R \text{ and } \nu_L \text{ with spin } -1/2 , \\ \{e_4 \pm ie_5\} &, \quad e_L \text{ and } \nu_L \text{ with spin } 1/2 , \\ \{e_6 \pm ie_7\} &, \quad e_L \text{ and } \nu_L \text{ with spin } 1/2 .\end{aligned}\tag{5.7}$$

Instead of spin one could consider helicity. All these spinors are eigenstates of  $e_1$  (and thus of the corresponding sigma matrix) with opposite values for the sign factor  $\epsilon = \pm$ . The interpretation is in terms of vectorial isospin. States with  $\epsilon = 1$  can be interpreted as charged leptons and D type quarks and those with  $\epsilon = -1$  as neutrinos and U type quarks. The interpretation would be that the states with vanishing color isospin correspond to right handed fermions and the states with non-vanishing  $SU(3)$  isospin (to be not confused with QCD color isospin) and those with non-vanishing  $SU(3)$  isospin to left handed fermions.

The importance of this identification is that it allows a unique map of the candidates for the solutions of the octonionic Kähler-Dirac equation to those of ordinary one. There are some delicacies involved due to the possibility to chose the preferred unit  $e_1$  so that the preferred subspace  $M^2$  can corresponds to a sub-manifold  $M^2 \subset M^4$ .

## 5.6 About The Twistorial Description Of Light-Likeness In 8-D Sense Using Octonionic Spinors

The twistor approach to TGD [K24] require that the expression of light-likeness of  $M^4$  momenta in terms of twistors generalizes to 8-D case. The light-likeness condition for twistors states that

the  $2 \times 2$  matrix representing  $M^4$  momentum annihilates a 2-spinor defining the second half of the twistor. The determinant of the matrix reduces to momentum squared and its vanishing implies the light-likeness. This should be generalized to a situation in one has  $M^4$  and  $CP_2$  twistor which are not light-like separately but light-likeness in 8-D sense holds true.

### 5.6.1 The case of $M^8 = M^4 \times E^4$

$M^8 - H$  duality [K23] suggests that it might be useful to consider first the twistorialiation of 8-D light-likeness first the simpler case of  $M^8$  for which  $CP_2$  corresponds to  $E^4$ . It turns out that octonionic representation of gamma matrices provide the most promising formulation.

In order to obtain quadratic dispersion relation, one must have  $2 \times 2$  matrix unless the determinant for the  $4 \times 4$  matrix reduces to the square of the generalized light-likeness condition.

1. The first approach relies on the observation that the  $2 \times 2$  matrices characterizing four-momenta can be regarded as hyper-quaternions with imaginary units multiplied by a commuting imaginary unit. Why not identify space-like sigma matrices with hyper-octonion units?
2. The square of hyper-octonionic norm would be defined as the determinant of  $4 \times 4$  matrix and reduce to the square of hyper-octonionic momentum. The light-likeness for pairs formed by  $M^4$  and  $E^4$  momenta would make sense.
3. One can generalize the sigma matrices representing hyper-quaternion units so that they become the 8 hyper-octonion units. Hyper-octonionic representation of gamma matrices exists ( $\gamma_0 = \sigma_z \times 1$ ,  $\gamma_k = \sigma_y \times I_k$ ) but the octonionic sigma matrices represented by octonions span the Lie algebra of  $G_2$  rather than that of  $SO(1,7)$ . This dramatically modifies the physical picture and brings in also an additional source of non-associativity. Fortunately, the flatness of  $M^8$  saves the situation.
4. One obtains the square of  $p^2 = 0$  condition from the massless octonionic Dirac equation as vanishing of the determinant much like in the 4-D case. Since the spinor connection is flat for  $M^8$  the hyper-octonionic generalization indeed works.

This is not the only possibility that I have by-passingly considered [K5].

1. Is it enough to allow the four-momentum to be complex? One would still have  $2 \times 2$  matrix and vanishing of complex momentum squared meaning that the squares of real and imaginary parts are same (light-likeness in 8-D sense) and that real and imaginary parts are orthogonal to each other. Could  $E^4$  momentum correspond to the imaginary part of four-momentum?
2. The signature causes the first problem:  $M^8$  must be replaced with complexified Minkowski space  $M_c^4$  for to make sense but this is not an attractive idea although  $M_c^4$  appears as subspace of complexified octonions.
3. For the extremals of Kähler action Euclidian regions (wormhole contacts identifiable as deformations of  $CP_2$  type vacuum extremals) give imaginary contribution to the four-momentum. Massless complex momenta and also color quantum numbers appear also in the standard twistor approach. Also this suggest that complexification occurs also in 8-D situation and is not the solution of the problem.

### 5.6.2 The case of $M^8 = M^4 \times CP_2$

What about twistorialization in the case of  $M^4 \times CP_2$ ? The introduction of wave functions in the twistor space of  $CP_2$  seems to be enough to generalize Witten's construction to TGD framework and that algebraic variant of twistors might be needed only to realize quantum classical correspondence. It should correspond to tangent space counterpart of the induced twistor structure of space-time surface, which should reduce effectively to 4-D one by quaternionicity of the space-time surface.

1. For  $H = M^4 \times CP_2$  the spinor connection of  $CP_2$  is not trivial and the  $G_2$  sigma matrices are proportional to  $M^4$  sigma matrices and act in the normal space of  $CP_2$  and to  $M^4$  parts of octonionic imbedding space spinors, which brings in mind co-associativity. The octonionic charge matrices are also an additional potential source of non-associativity even when one has associativity for gamma matrices.

Therefore the octonionic representation of gamma matrices in entire  $H$  cannot be physical. It is however equivalent with ordinary one at the boundaries of string world sheets, where induced gauge fields vanish. Gauge potentials are in general non-vanishing but can be gauge transformed away. Here one must be of course cautious since it can happen that gauge fields vanish but gauge potentials cannot be gauge transformed to zero globally: topological quantum field theories represent basic example of this.

2. Clearly, the vanishing of the induced gauge fields is needed to obtain equivalence with ordinary induced Dirac equation. Therefore also string world sheets in Minkowskian regions should have 1-D  $CP_2$  projection rather than only having vanishing  $W$  fields if one requires that octonionic representation is equivalent with the ordinary one. For  $CP_2$  type vacuum extremals electroweak charge matrices correspond to quaternions, and one might hope that one can avoid problems due to non-associativity in the octonionic Dirac equation. Unless this is the case, one must assume that string world sheets are restricted to Minkowskian regions. Also imbedding space spinors can be regarded as octonionic (possibly quaternionic or co-quaternionic at space-time surfaces): this might force vanishing 1-D  $CP_2$  projection.
  - (a) Induced spinor fields would be localized at 2-surfaces at which they have no interaction with weak gauge fields: of course, also this is an interaction albeit very implicit one! This would not prevent the construction of non-trivial electroweak scattering amplitudes since boson emission vertices are essentially due to re-groupings of fermions and based on topology change.
  - (b) One could even consider the possibility that the projection of string world sheet to  $CP_2$  corresponds to  $CP_2$  geodesic circle so that one could assign light-like 8-momentum to entire string world sheet, which would be minimal surface in  $M^4 \times S^1$ . This would mean enormous technical simplification in the structure of the theory. Whether the spinor harmonics of imbedding space with well-defined  $M^4$  and color quantum numbers can co-incide with the solutions of the induced Dirac operator at string world sheets defined by minimal surfaces remains an open problem.
  - (c) String world sheets cannot be present inside wormhole contacts which have 4-D  $CP_2$  projection so that string world sheets cannot carry vanishing induced gauge fields.

## 6 An attempt to understand preferred extremals of Kähler action

Preferred extremal of Kähler action is one of the basic poorly defined notions of TGD. There are pressing motivations for understanding what "preferred" really means. For instance, the conformal invariance of string models naturally generalizes to 4-D invariance defined by quantum Yangian of quantum affine algebra (Kac-Moody type algebra) characterized by two complex coordinates and therefore explaining naturally the effective 2-dimensionality [K27]. The problem is however how to assign a complex coordinate with the string world sheet having Minkowskian signature of metric. One can hope that the understanding of preferred extremals could allow to identify two preferred complex coordinates whose existence is also suggested by number theoretical vision giving preferred role for the rational points of partonic 2-surfaces in preferred coordinates. The best one could hope is a general solution of field equations in accordance with the hints that TGD is integrable quantum theory.

### 6.1 What "preferred" could mean?

The first question is what preferred extremal could mean.

1. In positive energy ontology preferred extremal would be a space-time surface assignable to given 3-surface and unique in the ideal situation: since one cannot pose conditions to the normal derivatives of imbedding space coordinates at 3-surface, there is infinity of extremals. Some additional conditions are required and space-time surface would be analogous to Bohr orbit: hence the attribute “preferred”. The problem would be to understand what “preferred” could mean. The non-determinism of Kähler action however destroyed this dream in its original form and led to zero energy ontology (ZEO).
2. In ZEO one considers extremals as space-time surfaces connecting two space-like 3-surfaces at the boundaries. One might hope that these 4-surfaces are unique. The non-determinism of Kähler action suggests that this is not the case. At least there is conformal invariance respecting the light-likeness of the 3-D parton orbits at which the signature of the induced metric changes: the conformal transformations would leave the space-like 3-D ends or at least partonic 2-surfaces invariant. This non-determinism would correspond to quantum criticality.
3. Effective 2-dimensionality follows from strong form of general coordinate invariance (GCI) stating that light-like partonic orbits and space-like 3-surfaces at the ends of space-time surface are equivalent physically: partonic 2-surfaces and their 4-D tangent space data would determine everything. One can however worry about how effective 2-dimensionality relates to the fact that the modes of the induced spinor field are localized at string world sheets and partonic 2-surface. Are the tangent space data equivalent with the data characterizing string world sheets as surfaces carrying vanishing electroweak fields?

There is however a problem: the hierarchy of Planck constants (dark matter) requires that the conformal equivalence classes of light-like surfaces must be counted as physical degrees of freedom so that either space-like or light-like surfaces do not seem to be quite enough.

Should one then include also the light-like partonic orbits to the what one calls 3-surface? The resulting connected 3-surfaces would define analogs of Wilson loops. Could the conformal equivalence class of the preferred extremal be unique without any additional conditions? If so, one could get rid of the attribute “preferred”. The fractal character of the many-sheeted space-time however suggests that one can have this kind of uniqueness only in given length scale resolution and that “radiative corrections” due to the non-determinism are always present.

These considerations show that the notion of preferred extremal is still far from being precisely defined and it is not even clear whether the attribute “preferred” is needed. If not then the question is what are the extremals of Kähler action.

## 6.2 What is known about extremals?

A lot is known about properties of extremals and just by trying to integrate all this understanding, one might gain new visions. The problem is that all these arguments are heuristic and rely heavily on physical intuition. The following considerations relate to the space-time regions having Minkowskian signature of the induced metric. The attempt to generalize the construction also to Euclidian regions could be very rewarding. Only a humble attempt to combine various ideas to a more coherent picture is in question.

The core observations and visions are following.

1. Hamilton-Jacobi coordinates for  $M^4$  (discussed in this chapter) define natural preferred coordinates for Minkowskian space-time sheet and might allow to identify string world sheets for  $X^4$  as those for  $M^4$ . Hamilton-Jacobi coordinates consist of light-like coordinate  $m$  and its dual defining local 2-plane  $M^2 \subset M^4$  and complex transversal complex coordinates  $(w, \bar{w})$  for a plane  $E_x^2$  orthogonal to  $M_x^2$  at each point of  $M^4$ . Clearly, hyper-complex analyticity and complex analyticity are in question.
2. Space-time sheets allow a slicing by string world sheets (partonic 2-surfaces) labelled by partonic 2-surfaces (string world sheets).

3. The quaternionic planes of octonion space containing preferred hyper-complex plane are labelled by  $CP_2$ , which might be called  $CP_2^{mod}$  [K23]. The identification  $CP_2 = CP_2^{mod}$  motivates the notion of  $M^8 - M^4 \times CP_2$  duality [K5]. It also inspires a concrete solution ansatz assuming the equivalence of two different identifications of the quaternionic tangent space of the space-time sheet and implying that string world sheets can be regarded as strings in the 6-D coset space  $G_2/SU(3)$ . The group  $G_2$  of octonion automorphisms has already earlier appeared in TGD framework.
4. The duality between partonic 2-surfaces and string world sheets in turn suggests that the  $CP_2 = CP_2^{mod}$  conditions reduce to string model for partonic 2-surfaces in  $CP_2 = SU(3)/U(2)$ . String model in both cases could mean just hypercomplex/complex analyticity for the coordinates of the coset space as functions of hyper-complex/complex coordinate of string world sheet/partonic 2-surface.

The considerations of this section lead to a revival of an old very ambitious and very romantic number theoretic idea.

1. To begin with express octonions in the form  $o = q_1 + Iq_2$ , where  $q_i$  is quaternion and  $I$  is an octonionic imaginary unit in the complement of fixed a quaternionic sub-space of octonions. Map preferred coordinates of  $H = M^4 \times CP_2$  to octonionic coordinate, form an arbitrary octonion analytic function having expansion with real Taylor or Laurent coefficients to avoid problems due to non-commutativity and non-associativity. Map the outcome to a point of  $H$  to get a map  $H \rightarrow H$ . This procedure is nothing but a generalization of Wick rotation to get an 8-D generalization of analytic map.
2. Identify the preferred extremals of Kähler action as surfaces obtained by requiring the vanishing of the imaginary part of an octonion analytic function. Partonic 2-surfaces and string world sheets would correspond to commutative sub-manifolds of the space-time surface and of imbedding space and would emerge naturally. The ends of braid strands at partonic 2-surface would naturally correspond to the poles of the octonion analytic functions. This would mean a huge generalization of conformal invariance of string models to octonionic conformal invariance and an exact solution of the field equations of TGD and presumably of quantum TGD itself.

## 6.3 Basic ideas about preferred extremals

### 6.3.1 The slicing of the space-time sheet by partonic 2-surfaces and string world sheets

The basic vision is that space-time sheets are sliced by partonic 2-surfaces and string world sheets. The challenge is to formulate this more precisely at the level of the preferred extremals of Kähler action.

1. Almost topological QFT property means that the Kähler action reduces to Chern-Simons terms assignable to 3-surfaces. This is guaranteed by the vanishing of the Coulomb term in the action density implied automatically if conserved Kähler current is proportional to the instanton current with proportionality coefficient some scalar function.
2. The field equations reduce to the conservation of isometry currents. An attractive ansatz is that the flow lines of these currents define global coordinates. This means that these currents are Beltrami flows [B6] so that corresponding 1-forms  $J$  satisfy the condition  $J \wedge dJ = 0$ . These conditions are satisfied if

$$J = \Phi \nabla \Psi$$

hold true for conserved currents. From this one obtains that  $\Psi$  defines global coordinate varying along flow lines of  $J$ .

3. A possible interpretation is in terms of local polarization and momentum directions defined by the scalar functions involved and natural additional conditions are that the gradients of  $\Psi$  and  $\Phi$  are orthogonal:

$$\nabla\Phi \cdot \nabla\Psi = 0 \quad ,$$

and that the  $\Psi$  satisfies massless d'Alembert equation

$$\nabla^2\Psi = 0$$

as a consequence of current conservation. If  $\Psi$  defines a light-like vector field - in other words

$$\nabla\Psi \cdot \nabla\Psi = 0 \quad ,$$

the light-like dual of  $\Phi$  -call it  $\Phi_c$ - defines a light-like coordinate and  $\Phi$  and  $\Phi_c$  defines a light-like plane at each point of space-time sheet.

If also  $\Phi$  satisfies d'Alembert equation

$$\nabla^2\Phi = 0 \quad ,$$

also the current

$$K = \Psi\nabla\Phi$$

is conserved and its flow lines define a global coordinate in the polarization plane orthogonal to time-like plane defined by local light-like momentum direction.

If  $\Phi$  allows a continuation to an analytic function of the transversal complex coordinate, one obtains a coordinatization of space-time surface by  $\Psi$  and its dual (defining hyper-complex coordinate) and  $w, \bar{w}$ . Complex analyticity and its hyper-complex variant would allow to provide space-time surface with four coordinates very much analogous with Hamilton-Jacobi coordinates of  $M^4$ .

This would mean a decomposition of the tangent space of space-time surface to orthogonal planes defined by light-like momentum and plane orthogonal to it. If the flow lines of  $J$  defined Beltrami flow it seems that the distribution of momentum planes is integrable.

4. General arguments suggest that the space-time sheets allow a slicing by string world sheets parametrized by partonic 2-surfaces or vice versa. This would mean an intimate connection with the mathematics of string models. The two complex coordinates assignable to the Yangian of affine algebra would naturally relate to string world sheets and partonic 2-surfaces and the highly non-trivial challenge is to identify them appropriately.

### 6.3.2 Hamilton-Jacobi coordinates for $M^4$

The earlier attempts to construct preferred extremals [K3] led to the realization that so called Hamilton-Jacobi coordinates  $(m, w)$  for  $M^4$  define its slicing by string world sheets parametrized by partonic 2-surfaces.  $m$  would be pair of light-like conjugate coordinates associated with an integrable distribution of planes  $M^2$  and  $w$  would define a complex coordinate for the integrable distribution of 2-planes  $E^2$  orthogonal to  $M^2$ . There is a great temptation to assume that these coordinates define preferred coordinates for  $M^4$ .

1. The slicing is very much analogous to that for space-time sheets and the natural question is how these slicings relate. What is of special interest is that the momentum plane  $M^2$  can be defined by massless momentum. The scaling of this vector does not matter so that these planes are labelled by points  $z$  of sphere  $S^2$  telling the direction of the line  $M^2 \cap E^3$ , when one assigns rest frame and therefore  $S^2$  with the preferred time coordinate defined by the line connecting the tips of CD. This direction vector can be mapped to a twistor consisting of



a spinor and its conjugate. The complex scalings of the twistor  $(u, \bar{u}) \rightarrow \lambda u, \bar{u}/\lambda$  define the same plane. Projective twistor like entities defining  $CP_1$  having only one complex component instead of three are in question. This complex number defines with certain prerequisites a local coordinate for space-time sheet and together with the complex coordinate of  $E^2$  could serve as a pair of complex coordinates  $(z, w)$  for space-time sheet. This brings strongly in mind the two complex coordinates appearing in the expansion of the generators of quantum Yangian of quantum affine algebra [K27].

2. The coordinate  $\Psi$  appearing in Beltrami flow defines the light-like vector field defining  $M^2$  distribution. Its hyper-complex conjugate would define  $\Psi_c$  and conjugate light-like direction. An attractive possibility is that  $\Phi$  allows analytic continuation to a holomorphic function of  $w$ . In this manner one would have four coordinates for  $M^4$  also for space-time sheet.
3. The general vision is that at each point of space-time surface one can decompose the tangent space to  $M^2(x) \subset M^4 = M_x^2 \times E_x^2$  representing momentum plane and polarization plane  $E^2 \subset E_x^2 \times T(CP_2)$ . The moduli space of planes  $E^2 \subset E^6$  is 8-dimensional and parametrized by  $SO(6)/SO(2) \times SO(4)$  for a given  $E_x^2$ . How can one achieve this selection and what conditions it must satisfy? Certainly the choice must be integrable but this is not the only condition.

### 6.3.3 Space-time surfaces as associative/co-associative surfaces

The idea that number theory determines classical dynamics in terms of associativity condition means that space-time surfaces are in some sense quaternionic surfaces of an octonionic space-time. It took several trials before the recent form of this hypothesis was achieved.

1. Octonionic structure is defined in terms of the octonionic representation of gamma matrices of the imbedding space existing only in dimension  $D = 8$  since octonion units are in one-one correspondence with tangent vectors of the tangent space. Octonionic real unit corresponds to a preferred time axes (and rest frame) identified naturally as that connecting the tips of CD. What modified gamma matrices mean depends on variational principle for space-time surface. For volume action one would obtain induced gamma matrices. For Kähler action one obtains something different. In particular, the modified gamma matrices do not define vector basis identical with tangent vector basis of space-time surface.
2. Quaternionicity means that the modified gamma matrices defined as contractions of gamma matrices of  $H$  with canonical momentum densities for Kähler action span quaternionic sub-space of the octonionic tangent space [K26, ?]. A further condition is that each quaternionic space defined in this manner contains a preferred hyper-complex subspace of octonions.
3. The sub-space defined by the modified gamma matrices does not co-incide with the tangent space of space-time surface in general so that the interpretation of this condition is far from obvious. The canonical momentum densities need not define four independent vectors at given point. For instance, for massless extremals these densities are proportional to light-like vector so that the situation is degenerate and the space in question reduces to 2-D hyper-complex sub-space since light-like vector defines plane  $M^2$ .

The obvious questions are following.

1. Does the analog of tangent space defined by the octonionic modified gammas contain the local tangent space  $M^2 \subset M^4$  for preferred extremals? For massless extremals [K3] this condition would be true. The orthogonal decomposition  $T(X^4) = M^2 \oplus_{\perp} E^2$  can be defined at each point if this is true. For massless extremals also the functions  $\Psi$  and  $\Phi$  can be identified.
2. One should answer also the following delicate question. Can  $M^2$  really depend on point  $x$  of space-time?  $CP_2$  as a moduli space of quaternionic planes emerges naturally if  $M^2$  is *same* everywhere. It however seems that one should allow an integrable distribution of  $M_x^2$  such that  $M_x^2$  is same for all points of a given partonic 2-surface.

How could one speak about fixed  $CP_2$  (the imbedding space) at the entire space-time sheet even when  $M_x^2$  varies?

- (a) Note first that  $G_2$  (see <http://tinyurl.com/y9rrs7un>) defines the Lie group of octonionic automorphisms and  $G_2$  action is needed to change the preferred hyper-octonionic sub-space. Various  $SU(3)$  subgroups of  $G_2$  are related by  $G_2$  automorphism. Clearly, one must assign to each point of a string world sheet in the slicing parameterizing the partonic 2-surfaces an element of  $G_2$ . One would have Minkowskian string model with  $G_2$  as a target space. As a matter fact, this string model is defined in the target space  $G_2/SU(3)$  having dimension  $D = 6$  since  $SU(3)$  automorphisms leave given  $SU(3)$  invariant.
  - (b) This would allow to identify at each point of the string world sheet standard quaternionic basis - say in terms of complexified basis vectors consisting of two hyper-complex units and octonionic unit  $q_1$  with "color isospin"  $I_3 = 1/2$  and "color hypercharge"  $Y = -1/3$  and its conjugate  $\bar{q}_1$  with opposite color isospin and hypercharge.
  - (c) The  $CP_2$  point assigned with the quaternionic basis would correspond to the  $SU(3)$  rotation needed to rotate the standard basis to this basis and would actually correspond to the first row of  $SU(3)$  rotation matrix. Hyper-complex analyticity is the basic property of the solutions of the field equations representing Minkowskian string world sheets. Also now the same assumption is highly natural. In the case of string models in Minkowski space, the reduction of the induced metric to standard form implies Virasoro conditions and similar conditions are expected also now. There is no need to introduce action principle -just the hyper-complex analyticity is enough-since Kähler action already defines it.
3. The WZW model (see <http://tinyurl.com/ydxcvfhv>) inspired approach to the situation would be following. The parameterization corresponds to a map  $g : X^2 \rightarrow G_2$  for which  $g$  defines a flat  $G_2$  connection at string world sheet. WZW type action would give rise to this kind of situation. The transition  $G_2 \rightarrow G_2/SU(3)$  would require that one gauges  $SU(3)$  degrees of freedom by bringing in  $SU(3)$  connection. Similar procedure for  $CP_2 = SU(3)/U(2)$  would bring in  $SU(3)$  valued chiral field and  $U(2)$  gauge field. Instead of introducing these connections one can simply introduce  $G_2/SU(3)$  and  $SU(3)/U(2)$  valued chiral fields. What this observation suggests that this ansatz indeed predicts gluons and electroweak gauge bosons assignable to string like objects so that the mathematical picture would be consistent with physical intuition.

#### 6.3.4 The two interpretations of $CP_2$

An old observation very relevant for what I have called  $M^8 - H$  duality [K5] is that the moduli space of quaternionic sub-spaces of octonionic space (identifiable as  $M^8$ ) containing preferred hyper-complex plane is  $CP_2$ . Or equivalently, the space of two planes whose addition extends hyper-complex plane to some quaternionic subspace can be parametrized by  $CP_2$ . This  $CP_2$  can be called it  $CP_2^{mod}$  to avoid confusion. In the recent case this would mean that the space  $E^2(x) \subset E_x^2 \times T(CP_2)$  is represented by a point of  $CP_2^{mod}$ . On the other hand, the imbedding of space-time surface to  $H$  defines a point of "real"  $CP_2$ . This gives two different  $CP_2$ s.

1. The highly suggestive idea is that the identification  $CP_2^{mod} = CP_2$  (apart from isometry) is crucial for the construction of preferred extremals. Indeed, the projection of the space-time point to  $CP_2$  would fix the local polarization plane completely. This condition for  $E^2(x)$  would be purely local and depend on the values of  $CP_2$  coordinates only. Second condition for  $E^2(x)$  would involve the gradients of imbedding space coordinates including those of  $CP_2$  coordinates.
2. The conditions that the planes  $M_x^2$  form an integrable distribution at space-like level and that  $M_x^2$  is determined by the modified gamma matrices. The integrability of this distribution for  $M^4$  could imply the integrability for  $X^2$ .  $X^4$  would differ from  $M^4$  only by a deformation in degrees of freedom transversal to the string world sheets defined by the distribution of  $M^2$ s.

Does this mean that one can begin from vacuum extremal with constant values of  $CP_2$  coordinates and makes them non-constant but allows to depend only on transversal degrees of freedom? This condition is too strong even for simplest massless extremals for which  $CP_2$

coordinates depend on transversal coordinates defined by  $\epsilon \cdot m$  and  $\epsilon \cdot k$ . One could however allow dependence of  $CP_2$  coordinates on light-like  $M^4$  coordinate since the modification of the induced metric is light-like so that light-like coordinate remains light-like coordinate in this modification of the metric.

Therefore, if one generalizes directly what is known about massless extremals, the most general dependence of  $CP_2$  points on the light-like coordinates assignable to the distribution of  $M_x^2$  would be dependence on either of the light-like coordinates of Hamilton-Jacobi coordinates but not both.

### 6.4 What could be the construction recipe for the preferred extremals assuming $CP_2 = CP_2^{mod}$ identification?

The crucial condition is that the planes  $E^2(x)$  determined by the point of  $CP_2 = CP_2^{mod}$  identification and by the tangent space of  $E_x^2 \times CP_2$  are same. The challenge is to transform this condition to an explicit form.  $CP_2 = CP_2^{mod}$  identification should be general coordinate invariant. This requires that also the representation of  $E^2$  as  $(e^2, e^3)$  plane is general coordinate invariant suggesting that the use of preferred  $CP_2$  coordinates - presumably complex Eguchi-Hanson coordinates - could make life easy. Preferred coordinates are also suggested by number theoretical vision. A careful consideration of the situation would be required.

The modified gamma matrices define a quaternionic sub-space analogous to tangent space of  $X^4$  but not in general identical with the tangent space: this would be the case only if the action were 4-volume. I will use the notation  $T_x^m(X^4)$  about the modified tangent space and call the vectors of  $T_x^m(X^4)$  modified tangent vectors. I hope that this would not cause confusion.

#### 6.4.1 $CP_2 = CP_2^{mod}$ condition

Quaternionic property of the counterpart of  $T_x^m(X^4)$  allows an explicit formulation using the tangent vectors of  $T_x^m(X^4)$ .

1. The unit vector pair  $(e_2, e_3)$  should correspond to a unique tangent vector of  $H$  defined by the coordinate differentials  $dh^k$  in some natural coordinates used. Complex Eguchi-Hanson coordinates [K1] are a natural candidate for  $CP_2$  and require complexified octonionic imaginary units. If octonionic units correspond to the tangent vector basis of  $H$  uniquely, this is possible.
2. The pair  $(e_2, e_3)$  as also its complexification  $(q_1 = e_2 + ie_3, \bar{q}_1 = e_2 - ie_3)$  is expressible as a linear combination of octonionic units  $I_2, \dots, I_7$  should be mapped to a point of  $CP_2^{mod} = CP_2$  in canonical manner. This mapping is what should be expressed explicitly. One should express given  $(e_2, e_3)$  in terms of  $SU(3)$  rotation applied to a standard vector. After that one should define the corresponding  $CP_2$  point by the bundle projection  $SU(3) \rightarrow CP_2$ .
3. The tangent vector pair

$$(\partial_w h^k, \partial_{\bar{w}} h^k)$$

defines second representation of the tangent space of  $E^2(x)$ . This pair should be equivalent with the pair  $(q_1, \bar{q}_1)$ . Here one must be however very cautious with the choice of coordinates. If the choice of  $w$  is unique apart from constant the gradients should be unique. One can use also real coordinates  $(x, y)$  instead of  $(w = x + iy, \bar{w} = x - iy)$  and the pair  $(e_2, e_3)$ . One can project the tangent vector pair to the standard vielbein basis which must correspond to the octonionic basis

$$(\partial_x h^k, \partial_y h^k) \rightarrow (\partial_x h^k e_k^A e_A, \partial_y h^k e_k^A e_A) \leftrightarrow (e_2, e_3) ,$$

where the  $e_A$  denote the octonion units in 1-1 correspondence with vielbein vectors. This expression can be compared to the expression of  $(e_2, e_3)$  derived from the knowledge of  $CP_2$  projection.

### 6.4.2 Formulation of quaternionicity condition in terms of octonionic structure constants

One can consider also a formulation of the quaternionic tangent planes in terms of  $(e_2, e_3)$  expressed in terms of octonionic units deducible from the condition that unit vectors obey quaternionic algebra. The expressions for octonionic (see <http://tinyurl.com/5m51qr>) *resp.* quaternionic (see <http://tinyurl.com/3rr79p9>) structure constants can be found at [A2] *resp.* [A3].

1. The ansatz is

$$\begin{aligned} \{E_k\} &= \{1, I_1, E_2, E_3\} , \\ E_2 &= E_{2k}e^k \equiv \sum_{k=2}^7 E_{2k}e^k , \quad E_3 = E_{3k}e^k \equiv \sum_{k=2}^7 E_{3k}e^k , \\ |E_2| &= 1 , \quad |E_3| = 1 . \end{aligned} \tag{6.1}$$

2. The multiplication table for octonionic units expressible in terms of octonionic triangle (see <http://tinyurl.com/5m51qr>) [A2] gives

$$f^{1kl}E_{2k} = E_{3l} , \quad f^{1kl}E_{3k} = -E_{2l} , \quad f^{klr}E_{2k}E_{3l} = \delta_1^r . \tag{6.2}$$

Here the indices are raised by unit metric so that there is no difference between lower and upper indices. Summation convention is assumed. Also the contribution of the real unit is present in the structure constants of third equation but this contribution must vanish.

3. The conditions are linear and quadratic in the coefficients  $E_{2k}$  and  $E_{3k}$  and are expected to allow an explicit solution. The first two conditions define homogenous equations which must allow solution. The coefficient matrix acting on  $(E_2, E_3)$  is of the form

$$\begin{pmatrix} f_1 & 1 \\ -1 & f_1 \end{pmatrix} ,$$

where 1 denotes unit matrix. The vanishing of the determinant of this matrix should be due to the highly symmetric properties of the structure constants. In fact the equations can be written as eigen conditions

$$f_1 \circ (E_2 \pm iE_3) = \mp i(E_2 \pm iE_3) ,$$

and one can say that the structure constants are eigenstates of the hermitian operator defined by  $I_1$  analogous to color hyper charge. Both values of color hyper charged are obtained.

### 6.4.3 Explicit expression for the $CP_2 = CP_2^{mod}$ conditions

The symmetry under  $SU(3)$  allows to construct the solutions of the above equations directly.

1. One can introduce complexified basis of octonion units transforming like  $(1, 1, 3, \bar{3})$  under  $SU(3)$ . Note the analogy of triplet with color triplet of quarks. One can write complexified basis as  $(1, e_1, (q_1, q_2, q_3), (\bar{q}_1, \bar{q}_2, \bar{q}_3))$ . The expressions for complexified basis elements are

$$(q_1, q_2, q_3) = \frac{1}{\sqrt{2}}(e_2 + ie_3, e_4 + ie_5, e_6 + ie_7) .$$

These options can be seen to be possible by studying octonionic triangle in which all lines containing 3 units defined associative triple: any pair of octonion units at this kind of line can be used to form pair of complexified unit and its conjugate. In the tangent space of  $M^4 \times CP_2$  the basis vectors  $q_1$ , and  $q_2$  are mixtures of  $E_x^2$  and  $CP_2$  tangent vectors.  $q_3$  involves only  $CP_2$  tangent vectors and there is a temptation to interpret it as the analog of the quark having no color isospin.

2. The quaternionic basis is real and must transform like  $(1, 1, q_1, \bar{q}_1)$ , where  $q_1$  is any quark in the triplet and  $\bar{q}_1$  its conjugate in antitriplet. Having fixed some basis one can perform  $SU(3)$  rotations to get a new basis. The action of the rotation is by  $3 \times 3$  special unitary matrix. The over all phases of its rows do not matter since they induce only a rotation in  $(e_2, e_3)$  plane not affecting the plane itself. The action of  $SU(3)$  on  $q_1$  is simply the action of its first row on  $(q_1, q_2, q_3)$  triplet:

$$\begin{aligned} q_1 &\rightarrow (Uq)_1 = U_{11}q_1 + U_{12}q_2 + U_{13}q_3 \equiv z_1q_1 + z_2q_2 + z_3q_3 \\ &= z_1(e_2 + ie_3) + z_2(e_4 + ie_5) + z_3(e_6 + ie_7) . \end{aligned} \quad (6.3)$$

The triplets  $(z_1, z_2, z_3)$  defining a complex unit vector and point of  $S^5$ . Since overall phase does not matter a point of  $CP_2$  is in question. The new real octonion units are given by the formulas

$$\begin{aligned} e_2 &\rightarrow Re(z_1)e_2 + Re(z_2)e_4 + Re(z_3)e_6 - Im(z_1)e_3 - Im(z_2)e_5 - Im(z_3)e_7 , \\ e_3 &\rightarrow Im(z_1)e_2 + Im(z_2)e_4 + Im(z_3)e_6 + Re(z_1)e_3 + Re(z_2)e_5 + Re(z_3)e_7 . \end{aligned} \quad (6.4)$$

For instance the  $CP_2$  coordinates corresponding to the coordinate patch  $(z_1, z_2, z_3)$  with  $z_3 \neq 0$  are obtained as  $(\xi_1, \xi_2) = (z_1/z_3, z_2/z_3)$ .

Using these expressions the equations expressing the conjecture  $CP_2 = CP_2^{mod}$  equivalence can be expressed explicitly as first order differential equations. The conditions state the equivalence

$$(e_2, e_3) \leftrightarrow (\partial_x h^k e_k^A e_A, \partial_y h^k e_k^A e_A) , \quad (6.5)$$

where  $e_A$  denote octonion units. The comparison of two pairs of vectors requires normalization of the tangent vectors on the right hand side to unit vectors so that one takes unit vector in the direction of the tangent vector. After this the vectors can be equated. This allows to express the contractions of the partial derivatives with vielbein vectors with the 6 components of  $e_2$  and  $e_3$ . Each condition gives 6+6 first order partial differential equations which are non-linear by the presence of the overall normalization factor for the right hand side. The equations are invariant under scalings of  $(x, y)$ . The very special form of these equations suggests that some symmetry is involved.

It must be emphasized that these equations make sense only in preferred coordinates: ordinary Minkowski coordinates and Hamilton-Jacobi coordinates for  $M^4$  and Eguchi-Hanson complex coordinates in which  $SU(2) \times U(1)$  is represented linearly for  $CP_2$ . These coordinates are preferred because they carry deep physical meaning.

#### 6.4.4 Does TGD boil down to two string models?

It is good to look what have we obtained. Besides Hamilton-Jacobi conditions, and  $CP_2 = CP_2^{mod}$  conditions one has what one might call string model with 6-dimensional  $G_2/SU(3)$  as target space. The orbit of string in  $G_2/SU(3)$  allows to deduce the  $G_2$  rotation identifiable as a point of  $G_2/SU(3)$  defining what one means with standard quaternionic plane at given point of string world sheet. The hypothesis is that hyper-complex analyticity solves these equations.

The conjectured electric-magnetic duality implies duality between string world sheet and partonic 2-surfaces central for the proposed mathematical applications of TGD [K10, K11, K21, K28]. This duality suggests that the solutions to the  $CP_2 = CP_2^{mod}$  conditions could reduce to holomorphy with respect to the coordinate  $w$  for partonic 2-surface plus the analogs of Virasoro conditions. The dependence on light-like coordinate would appear as a parametric dependence.

If this were the case, TGD would reduce at least partially to what might be regarded as dual string models in  $G_2/SU(3)$  and  $SU(3)/U(2)$  and also to string model in  $M^4$  and  $X^4$ ! In the previous

arguments one ends up to string models in moduli spaces of string world sheets and partonic 2-surfaces. TGD seems to yield an inflation of string models! This not actually surprising since the slicing of space-time sheets by string world sheets and partonic 2-surfaces implies automatically various kinds of maps having interpretation in terms of string orbits.

## 7 In What Sense TGD Could Be An Integrable Theory?

During years evidence supporting the idea that TGD could be an integrable theory in some sense has accumulated. The challenge is to show that various ideas about what integrability means form pieces of a bigger coherent picture. Of course, some of the ideas are doomed to be only partially correct or simply wrong. Since it is not possible to know beforehand what ideas are wrong and what are right the situation is very much like in experimental physics and it is easy to claim (and has been and will be claimed) that all this argumentation is useless speculation. This is the price that must be paid for real thinking.

Integrable theories allow to solve nonlinear classical dynamics in terms of scattering data for a linear system. In TGD framework this translates to quantum classical correspondence. The solutions of Kähler-Dirac equation define the scattering data. This data should define a real analytic function whose octonionic extension defines the space-time surface as a surface for which its imaginary part in the representation as bi-quaternion vanishes. There are excellent hopes about this thanks to the reduction of the Kähler-Dirac equation to geometric optics.

In the following I will first discuss briefly what integrability means in (quantum) field theories, list some bits of evidence for integrability in TGD framework, discuss once again the question whether the different pieces of evidence are consistent with other and what one really means with various notions. As an outcome I represent what I regard as a more coherent view about integrability of TGD. The notion of octonion analyticity developed in the previous section is essential for the for what follows.

### 7.1 What Integrable Theories Are?

The following is an attempt to get some bird's eye of view about the landscape of integrable theories.

#### 7.1.1 Examples of integrable theories

Integrable theories are typically non-linear 1+1-dimensional (quantum) field theories. Solitons and various other particle like structures are the characteristic phenomenon in these theories. Scattering matrix is trivial in the sense that the particles go through each other in the scattering and suffer only a phase change. In particular, momenta are conserved. Korteweg- de Vries equation (see <http://tinyurl.com/3cyt8hk>) [B1] was motivated by the attempt to explain the experimentally discovered shallow water wave preserving its shape and moving with a constant velocity. Sine-Gordon equation (see <http://tinyurl.com/yaf1243x>) [B4] describes geometrically constant curvature surfaces and defines a Lorentz invariant non-linear field theory in 1+1-dimensional space-time, which can be applied to Josephson junctions (in TGD inspired quantum biology it is encountered in the model of nerve pulse [K20]). Non-linear Schrödinger equation (see <http://tinyurl.com/y88efbo7>) [B3] having applications to optics and water waves represents a further example. All these equations have various variants.

From TGD point of view conformal field theories represent an especially interesting example of integrable theories. (Super-)conformal invariance is the basic underlying symmetry and by its infinite-dimensional character implies infinite number of conserved quantities. The construction of the theory reduces to the construction of the representations of (super-)conformal algebra. One can solve 2-point functions exactly and characterize them in terms of (possibly anomalous) scaling dimensions of conformal fields involved and the coefficients appearing in 3-point functions can be solved in terms of fusion rules leading to an associative algebra for conformal fields. The basic applications are to 2-dimensional critical thermodynamical systems whose scaling invariance generalizes to conformal invariance. String models represent second application in which a collection of super-conformal field theories associated with various genera of 2-surface is needed to describe

loop corrections to the scattering amplitudes. Also moduli spaces of conformal equivalence classes become important.

Topological quantum field theories (see <http://tinyurl.com/lsvx7g3>) are also examples of integrable theories. Because of its independence on the metric Chern-Simons action (see <http://tinyurl.com/ydgsqm2c>) is in 3-D case the unique action defining a topological quantum field theory. The calculations of knot invariants (for TGD approach see [K10] ), topological invariants of 3-manifolds and 4-manifolds, and topological quantum computation (see <http://tinyurl.com/dkpo4y>) (for a model of DNA as topological quantum computer see [K6] ) represent applications of this approach. TGD as almost topological QFT means that the Kähler action for preferred extremals reduces to a surface term by the vanishing of Coulomb term in action and by the weak form of electric-magnetic duality reduces to Chern-Simons action. Both Euclidian and Minkowskian regions give this kind of contribution.

$\mathcal{N} = 4$  SYM is the a four-dimensional and very nearly realistic candidate for an integral quantum field theory. The observation that twistor amplitudes allow also a dual of the 4-D conformal symmetry motivates the extension of this symmetry to its infinite-dimensional Yangian variant [A5]. Also the enormous progress in the construction of scattering amplitudes suggests integrability. In TGD framework Yangian symmetry would emerge naturally by extending the symplectic variant of Kac-Moody algebra from light-cone boundary to the interior of causal diamond and the Kac-Moody algebra from light-like 3-surface representing wormhole throats at which the signature of the induced metric changes to the space-time interior [K24].

### 7.1.2 About mathematical methods

The mathematical methods used in integrable theories are rather refined and have contributed to the development of the modern mathematical physics. Mention only quantum groups, conformal algebras, and Yangian algebras.

The basic element of integrability is the possibility to transform the non-linear classical problem for which the interaction is characterized by a potential function or its analog to a linear scattering problem depending on time. For instance, for the ordinary Schrödinger function one can solve potential once single solution of the equation is known. This does not work in practice. One can however gather information about the asymptotic states in scattering to deduce the potential. One cannot do without information about bound state energies too.

In TGD framework asymptotic states correspond to partonic 2-surfaces at the two light-like boundaries of CD (more precisely: the largest CD involved and defining the IR resolution for momenta). From the scattering data coding information about scattering for various values of energy of the incoming particle one deduced the potential function or its analog.

1. The basic tool is inverse scattering transform known as Gelfand-Marchenko-Levitan (GML) transform (see <http://tinyurl.com/y9f7ybln>) described in simple terms in [B5].
  - (a) In 1+1 dimensional case the S-matrix characterizing scattering is very simple since the only thing that can take place in scattering is reflection or transmission. Therefore the S-matrix elements describe either of these processes and by unitarity the sum of corresponding probabilities equals to 1. The particle can arrive to the potential either from left or right and is characterized by a momentum. The transmission coefficient can have a pole meaning complex (imaginary in the simplest case) wave vector serving as a signal for the formation of a bound state or resonance. The scattering data are represented by the reflection and transmission coefficients as function of time.
  - (b) One can deduce an integral equation for a propagator like function  $K(t, x)$  describing how delta pulse moving with light velocity is scattered from the potential and is expressible in terms of time integral over scattering data with contributions from both scattering states and bound states. The derivation of GML transform [B5] uses time reversal and time translational invariance and causality defined in terms of light velocity. After some tricks one obtains the integral equation as well as an expression for the time independent potential as  $V(x) = K(x, x)$ . The argument can be generalized to more complex problems to deduce the GML transform.

2. The so called Lax pair (see <http://tinyurl.com/yc93nw53>) is one manner to describe integrable systems [B2]. Lax pair consists of two operators  $L$  and  $M$ . One studies what might be identified as “energy” eigenstates satisfying  $L(x, t)\Psi = \lambda\Psi$ .  $\lambda$  does not depend on time and one can say that the dynamics is associated with  $x$  coordinate whereas as  $t$  is time coordinate parametrizing different variants of eigenvalue problem with the same spectrum for  $L$ . The operator  $M(t)$  does not depend on  $x$  at all and the independence of  $\lambda$  on time implies the condition

$$\partial_t L = [L, M] .$$

This equation is analogous to a quantum mechanical evolution equation for an operator induced by time dependent “Hamiltonian”  $M$  and gives the non-linear classical evolution equation when the commutator on the right hand side is a multiplicative operator (so that it does not involve differential operators acting on the coordinate  $x$ ). Non-linear classical dynamics for the time dependent potential emerges as an integrability condition.

One could say that  $M(t)$  introduces the time evolution of  $L(t, x)$  as an automorphism which depends on time and therefore does not affect the spectrum. One has  $L(t, x) = U(t)L(0, x)U^{-1}(t)$  with  $dU(t)/dt = M(t)U(t)$ . The time evolution of the analog of the quantum state is given by a similar equation.

3. A more refined view about Lax pair is based on the observation that the above equation can be generalized so that  $M$  depends also on  $x$ . The generalization of the basic equation for  $M(x, t)$  reads as

$$\partial_t L - \partial_x M - [L, M] = 0 .$$

The condition has interpretation as a vanishing of the curvature of a gauge potential having components  $A_x = L$ ,  $A_t = M$ . This generalization allows a beautiful geometric formulation of the integrability conditions and extends the applicability of the inverse scattering transform. The monodromy of the flat connection becomes important in this approach. Flat connections in moduli spaces are indeed important in topological quantum field theories and in conformal field theories.

4. There is also a connection with the so called Riemann-Hilbert problem (see <http://tinyurl.com/ybay4qjg>) [A4]. The monodromies of the flat connection define monodromy group and Riemann-Hilbert problem concerns the existence of linear differential equations having a given monodromy group. Monodromy group emerges in the analytic continuation of an analytic function and the action of the element of the monodromy group tells what happens for the resulting many-valued analytic function as one turns around a singularity once (“mono-”). The linear equations obviously relate to the linear scattering problem. The flat connection  $(M, L)$  in turn defines the monodromy group. What is needed is that the functions involved are analytic functions of  $(t, x)$  replaced with a complex or hyper-complex variable. Again Wick rotation is involved. Similar approach generalizes also to higher dimensional moduli spaces with complex structures.

In TGD framework the effective 2-dimensionality raises the hope that this kind of mathematical apparatus could be used. An interesting possibility is that finite measurement resolution could be realized in terms of a gauge group or Kac-Moody type group represented by trivial gauge potential defining a monodromy group for  $n$ -point functions. Monodromy invariance would hold for the full  $n$ -point functions constructed in terms of analytic  $n$ -point functions and their conjugates. The ends of braid strands are natural candidates for the singularities around which monodromies are defined.

## 7.2 Why TGD Could Be Integrable Theory In Some Sense?

There are many indications that TGD could be an integrable theory in some sense. The challenge is to see which ideas are consistent with each other and to build a coherent picture where everything finds its own place.



1. 2-dimensionality or at least effective 2-dimensionality seems to be a prerequisite for integrability. Effective 2-dimensionality is suggested by the strong form of General Coordinate Invariance implying also holography and generalized conformal invariance predicting infinite number of conservation laws. The dual roles of partonic 2-surfaces and string world sheets supports a four-dimensional generalization of conformal invariance. Twistor considerations [K25] indeed suggest that Yangian invariance and Kac-Moody invariances combine to a 4-D analog of conformal invariance induced by 2-dimensional one by algebraic continuation.
2. Octonionic representation of imbedding space Clifford algebra and the identification of the space-time surfaces as quaternionic space-time surfaces would define a number theoretically natural generalization of conformal invariance. The reason for using gamma matrix representation is that vector field representation for octonionic units does not exist. The problem concerns the precise meaning of the octonionic representation of gamma matrices.

Space-time surfaces could be quaternionic also in the sense that conformal invariance is analytically continued from string curve to 8-D space by octonion real-analyticity. The question is whether the Clifford algebra based notion of tangent space quaternionicity is equivalent with octonionic real-analyticity based notion of quaternionicity.

The notions of co-associativity and co-quaternionicity make also sense and one must consider seriously the possibility that associativity-co-associativity dichotomy corresponds to Minkowskian-Euclidian dichotomy.

3. Field equations define hydrodynamic Beltrami flows satisfying integrability conditions of form  $J \wedge dJ = 0$ .
  - (a) One can assign local momentum and polarization directions to the preferred extremals and this gives a decomposition of Minkowskian space-time regions to massless quanta analogous to the 1+1-dimensional decomposition to solitons. The linear superposition of modes with 4-momenta with different directions possible for free Maxwell action does not look plausible for the preferred extremals of Kähler action. This rather quantal and solitonic character is in accordance with the quantum classical correspondence giving very concrete connection between quantal and classical particle pictures. For 4-D volume action one does not obtain this kind of decomposition. In 2-D case volume action gives superposition of solutions with different polarization directions so that the situation is nearer to that for free Maxwell action and is not like soliton decomposition.
  - (b) Beltrami property in strong sense allows to identify 4 preferred coordinates for the space-time surface in terms of corresponding Beltrami flows. This is possible also in Euclidian regions using two complex coordinates instead of hyper-complex coordinate and complex coordinate. The assumption that isometry currents are parallel to the same light-like Beltrami flow implies hydrodynamic character of the field equations in the sense that one can say that each flow line is analogous to particle carrying some quantum numbers. This property is not true for all extremals (say cosmic strings).
  - (c) The tangent bundle theoretic view about integrability is that one can find a Lie algebra of vector fields in some manifold spanning the tangent space of a lower-dimensional manifolds and is expressed in terms of Frobenius theorem (see <http://tinyurl.com/of6vfz5>) [A1]. The gradients of scalar functions defining Beltrami flows appearing in the ansatz for preferred extremals would define these vector fields and the slicing. Partonic 2-surfaces would correspond to two complex conjugate vector fields (local polarization direction) and string world sheets to light-like vector field and its dual (light-like momentum directions). This slicing generalizes to the Euclidian regions.
4. Infinite number of conservation laws is the signature of integrability. Classical field equations follow from the condition that the vector field defined by Kähler-Dirac gamma matrices has vanishing divergence and can be identified an integrability condition for the Kähler-Dirac equation guaranteeing also the conservation of super currents so that one obtains an infinite number of conserved charges.

5. Quantum criticality is a further signal of integrability. 2-D conformal field theories describe critical systems so that the natural guess is that quantum criticality in TGD framework relates to the generalization of conformal invariance and to integrability. Quantum criticality implies that Kähler coupling strength is analogous to critical temperature. This condition does affect classical field equations only via boundary conditions expressed as weak form of electric magnetic duality at the wormhole throats at which the signature of the metric changes.

For finite-dimensional systems the vanishing of the determinant of the matrix defined by the second derivatives of potential is similar signature and applies in catastrophe theory. Therefore the existence of vanishing second variations of Kähler action should characterize criticality and define a property of preferred extremals. The vanishing of second variations indeed leads to an infinite number of conserved currents [K8, K3] following the conditions that the deformation of Kähler-Dirac gamma matrix is also divergenceless and that the Kähler-Dirac equation associated with it is satisfied.

### 7.3 Could TGD Be An Integrable Theory?

Consider first the abstraction of integrability in TGD framework. Quantum classical correspondence could be seen as a correspondence between linear quantum dynamics and non-linear classical dynamics. Integrability would realize this correspondence. In integrable models such as Sine-Gordon equation particle interactions are described by potential in 1+1 dimensions. This too primitive for the purposes of TGD. The vertices of generalized Feynman diagrams take care of this. At lines one has free particle dynamics so that the situation could be much simpler than in integrable models if one restricts the considerations to the lines or Minkowskian space-time regions surrounding them.

The non-linear dynamics for the space-time sheets representing incoming lines of generalized Feynman diagram should be obtainable from the linear dynamics for the induced spinor fields defined by Kähler-Dirac operator. There are two options.

1. Strong form of the quantum classical correspondence states that each solution for the linear dynamics of spinor fields corresponds to space-time sheet. This is analogous to solving the potential function in terms of a single solution of Schrödinger equation. Coupling of space-time geometry to quantum numbers via measurement interaction term is a proposal for realizing this option. It is however the quantum numbers of positive/negative energy parts of zero energy state which would be visible in the classical dynamics rather than those of induced spinor field modes.
2. Only overall dynamics characterized by scattering data- the counterpart of  $S$ -matrix for the Kähler-Dirac operator- is mapped to the geometry of the space-time sheet. This is much more abstract realization of quantum classical correspondence.
3. Can these two approaches be equivalent? This might be the case since quantum numbers of the state are not those of the modes of induced spinor fields.

What the scattering data could be for the induced spinor field satisfying Kähler-Dirac equation?

1. If the solution of field equation has hydrodynamic character, the solutions of the Kähler-Dirac equation can be localized to light-like Beltrami flow lines of hydrodynamic flow. These correspond to basic solutions and the general solution is a superposition of these. There is no dispersion and the dynamics is that of geometric optics at the basic level. This means geometric optics like character of the spinor dynamics.

Solutions of the Kähler-Dirac equation are completely analogous to the pulse solutions defining the fundamental solution for the wave equation in the argument leading from wave equation with external time independent potential to Marchenko-Gelfand-Levitan equation allowing to identify potential in terms of scattering data. There is however no potential present now since the interactions are described by the vertices of Feynman diagram where the particle lines meet. Note that particle like regions are Euclidian and that this picture applies only to the Minkowskian exteriors of particles.

2. Partonic 2-surfaces at the ends of the line of generalized Feynman diagram are connected by flow lines. Partonic 2-surfaces at which the signature of the induced metric changes are in a special position. Only the imaginary part of the bi-quaternionic value of the octonion valued map is non-vanishing at these surfaces which can be said to be co-complex 2-surfaces. By geometric optics behavior the scattering data correspond to a diffeomorphism mapping initial partonic 2-surface to the final one in some preferred complex coordinates common to both ends of the line.
3. What could be these preferred coordinates? Complex coordinates for  $S^2$  at light-cone boundary define natural complex coordinates for the partonic 2-surface. With these coordinates the diffeomorphism defining scattering data is diffeomorphism of  $S^2$ . Suppose that this map is real analytic so that maps “real axis” of  $S^2$  to itself. This map would be same as the map defining the octonionic real analyticity as algebraic extension of the complex real analytic map. By octonionic analyticity one can make large number of alternative choices for the coordinates of partonic 2-surface.
4. There can be non-uniqueness due to the possibility of  $G_2/SU(3)$  valued map characterizing the local octonionic units. The proposal is that the choice of octonionic imaginary units can depend on the point of string like orbit: this would give string model in  $G_2/SU(3)$ . Conformal invariance for this string model would imply analyticity and helps considerably but would not probably fix the situation completely since the element of the coset space would constant at the partonic 2-surfaces at the ends of CD. One can of course ask whether the  $G_2/SU(3)$  element could be constant for each propagator line and would change only at the 2-D vertices?

This would be the inverse scattering problem formulated in the spirit of TGD. There could be also dependence of space-time surface on quantum numbers of quantum states but not on individual solution for the induced spinor field since the scattering data of this solution would be purely geometric.

#### Acknowledgements

I want to thank Tony Smith and Carlos Castro for useful discussions and references related to quaternions and octonions.

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